



City of Newburgh Council Work Session
*Sesion de trabajo del Concejal de la
Ciudad de Newburgh*

August 8, 2019
6:00 PM

Council Meeting Presentations

1. Presentation of Certificates of Recognition to the Boys & Girls Club of Newburgh Hudson Valley Panther's on becoming National 16U Gold Champions at the Super Showcase at ESPN Wide World of Sports in Orlando, FL

Presentación de Certificados de Reconocimiento al Club de Niños y Niñas de Newburgh Panteras del Valle de Hudson en convertirse Campeones de Oro Nacional 16U en la Súper Exhibición en el Mundo de los Deportes de ESPN en Orlando, FL.

Work Session Presentations

2. Passport Parking (Enforcement Solutions) Presentation
Estacionamiento (Soluciones de Aplicación) Presentación

Engineering/Ingeniería

3. City of Newburgh Water Filtration Plant Filter Project SEQRA

Resolution of the City Council of the City of Newburgh assuming lead agency status under State Environmental Quality Review Act for the Water Filtration Plant Filter and SCADA Improvements Project, declaring the Project to be a Type II Action, finding no significant adverse impact on the environment and authorizing the City Manager to execute all SEQRA documents. (Jason Morris)

Una resolución del Ayuntamiento de la Ciudad de Newburgh asumiendo la condición de Agencia Principal bajo la Ley Estatal de Revisión de la Calidad Ambiental para el Filtro de la Planta de Filtración de Agua y Proyecto de Mejoras SCADA, declarando que el Proyecto es una Acción de Tipo II, no encontrando ningún impacto adverso en el medio ambiente y autorizando al Gerente de la Ciudad a ejecutar todos los documentos SEQRA. (Jason Morris)

4. New York State Water Infrastructure Improvement Act (WIIA) Grant Application

Resolution authorizing the City Manager to apply for and accept if awarded a New York State Environmental Facilities Corporation Water Infrastructure Improvement Act grant for the Water Filtration Plant Filter and SCADA Improvements Project for the maximum total cost of \$5,070,000.00. (Jason Morris & Wayne Vradenburgh)

Una resolución autorizando al Gerente Municipal a solicitar y aceptar si es otorgada una subvención de la Ley de Mejora de la Infraestructura Hídrica de la Corporación de las Instalaciones Ambientales del Estado de Nueva York para el Filtro de la Planta de Filtración de Agua y Proyecto de Mejoras SCADA por el costo máximo de \$5,070,000.00. (Jason Morris y Wayne Vradenburgh)

5. Municipal Boundary Survey Proposal with the Chazen Companies

Resolution authorizing the City Manager to enter into an agreement with The Chazen Companies for professional land surveying services at the City of Newburgh Water Treatment Plant in the amount of \$5,000.00. (Jason Morris)

Una resolución autorizando al Gerente Municipal a entrar en un acuerdo con "The Chazen Companies" para servicios profesionales de agrimensura en la Planta de Tratamiento del Agua de la Ciudad de Newburgh por el monto de \$5,000.00. (Jason Morris)

6. Proposal with GPI for Professional Engineering Services to Relocate RPZ Device at Wastewater Treatment Plant

Resolution accepting a proposal and authorizing the City Manager to execute a contract with Greenman-Pederson, Inc. for professional engineering services for the relocation of a reduced pressure zone backflow device at the Wastewater Treatment Plant in an amount not to exceed \$6,700.00. (Jason Morris)

Una resolución aceptando una propuesta y autorizando al Gerente Municipal a ejecutar un contrato con Greenman-Pederson, Inc. Para servicios profesionales de ingeniería para la reubicación de un dispositivo de reflujo con una zona de presión reducida en la Planta de Tratamiento de Aguas Residuales por un monto que no exceda \$6,700.00. (Jason Morris)

7. Approval of Change Order #2 and a Reduction in Overall Contract Price with TAM Enterprises, Inc. for the LTCP Regulator#2 Improvements Project

Resolution authorizing Change Order No. 2 with TAM Enterprises, Inc. for a net contract reduction from \$1,257,750.00 to a final contract amount of \$1,198,790.85 in the Regulator No. 2 Improvements Project. (Jason Morris)

Una resolución autorizando el Cambio de Orden No. 2 con TAM Enterprises, Inc. Para una reducción neta del contrato de \$1, 257,750.00 a un monto final del contrato de \$1, 198,790.85 para el proyecto de Mejoras del Regulador No. 2. (Jason Morris)

8. Designation of Funds derived from Kiewit Shea Check No. 937854

Resolution designating funds from Kiewit-Shea Constructors, AJV check no. 937854 in the amount of \$70,000.00 to OCTC TIP Reserve Fund to meet project match requirements and in the amount of \$5,000.00 to A.1440.0205-Other Equipment for the purchase of a Photoionization Detector for the Engineering Department. (Jason Morris)

Una resolución designando fondos del cheque no. 937854 de Kiewit-Shea Constructors, AJV por la cantidad de \$70,000.00 para el Fondo de Reserva de OCTC TIP para cumplir con los requisitos de cumplimiento con el proyecto y en la cantidad de \$5,000.00 a A.1440.0205-Otro Equipo para la compra de un Detector de Fotoionización para el Departamento de Ingeniería (Jason Morris)

9. Proposal with ARCADIS for Professional Engineering Services Related to Phases 2, 3 and 4 of the City's Long Term Control Plan

Resolution authorizing the City Manager to accept a proposal and enter into an agreement for professional engineering services with Arcadis of New York Inc. for Phases II, III AND IV of the Combined Sewer Overflow Long Term Control Plan in an amount not to exceed \$1,236,000.00. (Jason Morris)

Una resolución autorizando al Gerente Municipal a aceptar una propuesta y entrar en un acuerdo para servicios profesionales de ingeniería con "Arcadis of New York, Inc." Para las fases II, III Y IV del Plan de Control a Largo Plazo del Desbordamiento Combinado del Alcantarillado por una cantidad que no exceda \$1,36,000.00.0 (Jason Morris)

10. 151 Liberty Street NYSDEC Right-of-Entry Consent Letter

Resolution authorizing the City Manager to execute a right of entry with the New York State Department of Environmental Conservation for access to property located at 151 Liberty Street. (Jason Morris)

Una resolución autorizando al Gerente Municipal a ejecutar un derecho de reingreso con el Departamento de Conservación Ambiental del Estado de Nueva York para acceder la propiedad ubicada en la 151 de la Calle Liberty. (Jason Morris)

11. BIN# 2022260 PIN#8761.57 Lake Street/Route 32 Over Quassaick Creek Bridge Rehabilitation Master Federal-Aid Local Project Agreement

A resolution authorizing the City Manager to execute a Master Federal-Aid Local Project Agreement with the New York State Department of Transportation Authorizing the implementation and Funding of the COSTSTO fund in the First instance 100% of the Federal-Aid and Non-Federal Aid eligible costs for the Lake Street /Route 32 over Quassaick Creek Bridge Rehabilitation Project. (Jason Morris)

Una resolución autorizando al Gerente Municipal a ejecutar un Contrato de un Proyecto Local Maestro de Ayuda Federal con el Departamento de Transporte del Estado de Nueva York autorizando la implementación y financiamiento del fondo COSTSTO en primera instancia 100% de los costos elegibles de la Ayuda Federal y Ayuda No Federal para el Proyecto de Rehabilitación del Puente Calle Lake/Ruta 32 sobre Quassaick Creek. (Jason Morris)

12. NYS Water Infrastructure Improvement Act (WIIA) Bond Resolution

Bond Resolution of the City of Newburgh, New York, adopted August 12, 2019, authorizing financing for Water Filtration Plant Improvements, stating the estimated total cost thereof is \$5,070,000, appropriating said amount for such purpose and authorizing the issuance of \$5,070,000 bonds to finance said appropriation and the expenditure of City water rate charges and \$3,000,000 grant funds expected to be received from the State of New York to be expended towards the cost of said object or purpose or redemption of the City's obligations issued therefor, or to be budgeted as an offset to taxes for the payment of the principal of and interest on said bonds. (Jason Morris & Todd Venning)

Resolución de Bonos de la Ciudad de Newburgh, Nueva York adoptada el 12 de agosto de 2019, autorizando financiamiento para Mejoras en la Planta de Filtración del Agua, indicando que el costo total estimado de la misma es de \$5,070,000, apropiando dicha cantidad para tal fin y autorizando la emisión de bonos de \$5,070,000 para financiar dicha consignación, los gastos de los cargos de agua de la Ciudad y se espera recibir \$3,000,000 en fondos del Estado de Nueva York para ser utilizados para el costo de dicho objetivo o propósito o redención de las obligaciones de la ciudad emitidos por lo tanto, o para ser presupuestados como compensación de impuestos para el pago del principal y los intereses sobre dichos bonos. (Jason Morris y Todd Venning)

13. Accept lowest bid for Energy procurement

Resolution to authorize the award of a bid and the execution of a contract for electric supply to the City of Newburgh for a two (2) year term and the award of a bid and the execution of a contract for gas supply services to the City of Newburgh for a two (2) year term to the lowest responsible bidder. (Todd Venning)

Resolución para autorizar la otorgación de una licitación y la ejecución de un contrato de suministro eléctrico a la ciudad de Newburgh por un período de dos (2) años y la otorgación de una oferta y la ejecución de un contrato de servicios de suministro de gas a la ciudad de Newburgh por un período de dos (2) años al postor más bajo responsable. (Todd Venning)

14. International Festival - award bid for carnival rides, concessions and amusements

Resolution awarding bid for carnival rides, concessions and amusements will be presented at Monday night's Council meeting. (Todd Venning)

Una resolución otorgando una licitación para juegos, concesiones, y diversiones será presentada en la reunión general del Concejal del lunes. (Todd Venning)

15. Proposed Contract with Passport Labs Inc. for Municipal Parking Services

Resolution authorizing the City Manager to enter into a software license and

service agreement with Passport Labs, Inc. for municipal parking services and related equipment. (Todd Venning)

Una resolución autorizando al Gerente Municipal a entrar en un acuerdo de licenciatura y servicio de programación con Passport Labs, Inc. Para servicios de estacionamiento municipal y equipo relacionado. (Todd Venning)

Planning and Economic Development/Planificación y Desarrollo Económico

16. 27 Johnston Street - Release of Restrictive Covenants

Resolution authorizing the execution of a release of restrictive covenants and right of re-entry from a deed issued to Brian Reilly and Barbara Reilly to the premises known as 27 Johnston Street (Section 30, Block 2, Lot 16) (Michelle Kelson)

Una resolución autorizando la ejecución de la liberación de cláusulas restrictivas y derecho de reingreso de una escritura emitida a Brian Reilly y Barbara Reilly a las instalaciones conocidas como la 27 Johnston Street (Sección 30, Block 2, Lot 16) (Michelle Kelson)

17. 166 & 170 First Street - Release of Restrictive Covenants

Resolution authorizing the execution of a release of restrictive covenants and right of re-entry from a deed issued to Labrador Associates, L.P. to the premises known as 166 First Street f/k/a 166-168 First Street (Section 23, Block 7, Lot 18) and 170 First Street (Section 23, Block 7, Lot 19) (Michelle Kelson)

Una resolución autorizando la ejecución de la liberación de cláusulas restrictivas y derecho de reingreso de una escritura emitida a Labrador Associates, L.P. a las instalaciones conocidas como la 166 de la Calle First f/k/a 166-168 de la Calle First (Sección 23, Block 7, Lot 18) y la 170 de la Calle First (Sección 23, Bloque 7, Lote 19) (Michelle Kelson)

18. 75 South Robinson Avenue - Satisfaction of Mortgage

Resolution authorizing the City Manager to execute a Satisfaction in connection with a mortgage issued to James M. Taylor, III and Kimberly Taylor for premises located at 75 South Robinson Avenue (Section 38, Block 5, Lot 23) (Michelle Kelson)

Una resolución autorizando al Gerente Municipal a ejecutar una Satisfacción en conexión con una hipoteca emitida a James M. Taylor, III y Kimberly Taylor para las instalaciones ubicadas en la 75 de la Avenida South Robinson (Sección 38, Bloque 5, Lote 23) (Michelle Kelson)

19. 9 Gidney Avenue - Release of Restrictive Covenants

Resolution authorizing the execution of a release of restrictive covenants and right of re-entry from a deed issued to Bryce Webster to the premises known as 9 Gidney Avenue (Section 11, Block 5, Lot 2) (Michelle Kelson)

Una resolución autorizando la ejecución de la liberación de cláusulas restrictivas y derecho de reingreso de una escritura emitida a Bryce Webster a las instalaciones conocidas como la 9 de la Avenida Gidney (Sección 11, Block 5, Lot 2) (Michelle Kelson)

20. Lander Street (4 Parcels) - Release of Restrictive Covenants

Resolution authorizing the City Manager to execute a release of restrictive covenants and agreements in connection with a right of re-entry and reverter held by the City of Newburgh for four (4) parcels of real property located on Lander Street. (Michelle Kelson)

Una resolución autorizando al Gerente de la Ciudad a ejecutar una liberación de cláusulas restrictivas y acuerdos en conexión con un derecho de reingreso y reversión mantenido por la Ciudad de Newburgh para cuatro (4) parcelas de bienes raíces ubicadas en la Calle Lander. (Michelle Kelson)

21. 184 Renwick Street - Release of Covenants

Resolution authorizing the execution of a Release of Covenants and Right of Re-Entry from a Deed issued to William J. McCartney, III to the premises known as 184 Renwick Street (Section 45, Block 15, Lot 11)

Una resolución autorizando la ejecución de la liberación de cláusulas restrictivas y derecho de reingreso de una escritura emitida a William J. McCartney, III a las instalaciones conocidas como la 184 de la Calle Renwick (Sección 45, Bloque 15, Lote 11)

22. Purchase of 33 Shipp Street

Resolution to authorize the conveyance of real property known as 33 Shipp Street (Section 14, Block 1, Lot 11) at private sale to Christopher Schuck for the amount of \$60,000.00. (Ali Church)

Una resolución autorizando el traspaso de bienes raíces conocidas como la 33 de la Calle Shipp (Sección 14, Bloque 1, Lote 11) en una venta privada a Christopher Schuck por el monto de 60,000.00. (Ali Church)

23. Purchase of 412 Liberty Street

Resolution to authorize the conveyance of real property known as 412 Liberty Street (Section 10, Block 1, Lot 46) at private sale to Christopher Manzione for the amount of \$220,000.00. (Ali Church)

Una resolución autorizando el traspaso de bienes raíces conocidas como la 412 de la Calle Liberty (Sección 10, Bloque 1, Lote 46) en una venta privada a Christopher Manzione por el monto de \$220,000.00. (Ali Church)

24. Purchase of 139 William Street

Resolution to authorize the conveyance of real property known as 139 William Street (Section 45, Block 10, Lot 26) at private sale to Cynthia Torres for the amount of \$15,000.00. (Ali Church)

Una resolución autorizando el traspaso de bienes raíces conocidas como la 139 de la Calle William (Sección 45, Bloque 10, Lote 26) en una venta privada a Cynthia Torres por el monto de \$15,000.00. (Ali Church)

25. License Agreement with the Newburgh Community Land Bank for Use of 182 Broadway for an "Artist in Vacancy" Installation

Resolution authorizing the City Manager to enter into a license agreement with the Newburgh Community Land Bank for use and access to 182 Broadway (Section 30, Block 1, Lot 32) as a display site for its Artist in Vacancy Initiative. (Ali Church)

Un acuerdo de licenciatura con el Banco Agrario Comunitario de Newburgh para el uso y acceso a la 182 Broadway (Sección 30, Bloque 1, Lote 32) como un sitio de exposición para la Iniciativa Artistas en Vacancia. (Ali Church)

26. Amend the 2019 Personnel Book to Add the Neighborhood Stabilization Coordinator

Resolution amending the 2019 Personnel Analysis Book to change Neighborhood Stabilization Coordinator from temporary to provisional in the City of Newburgh Department of Planning and Development. (Ali Church)

Una resolución enmendando el Libro de Análisis del Personal del 2019 para cambiar al Coordinador de Estabilización de Vecindarios de temporal a provisional en el Departamento de Planificación y Desarrollo de la Ciudad de Newburgh. (Ali Church)

27. Transfer of 139 Johnston Street and 141 Johnston Street to the Newburgh Community Land Bank

Resolution authorizing the transfer of real property known as 139 Johnston Street (Section 18, Block 2, Lot 15) and 141 Johnston Street (Section 18, Block 2, Lot 14) to the Newburgh Community Landbank. (Ali Church)

Una resolución autorizando la transferencia de bienes raíces conocidas como la 139 de la Calle Johnston (Sección 18, Bloque 2, Lote 15) y 141 de la Calle Johnston (Sección 18, Bloque 2, Lote 14) al Banco Agrario Comunitario de Newburgh. (Ali Church)

28. Columbia University license agreement extension for 191 South Street

Resolution authorizing the City Manager to execute an Addendum to a License Agreement with the Trustees of Columbia University to allow continued use and access to 191 South Street (Section 18, Block 2, Lot 42) for a temporary pop-up park demonstration project. (Michelle Kelson and Ali Church)

Una resolución autorizando al Gerente Municipal a ejecutar una adenda a un Acuerdo de Licenciatura con administradores de la Universidad Columbia para permitir el uso continuo y acceso a la 191 de la Calle South (Sección 18, Bloque 2, Lote 42) para un proyecto temporal de demostración de parque emergente. (Michelle Kelson y Ali Church)

Police Department

29. Accept Donation from Orange County for Cadet Program

Resolution authorizing the City Manager to accept a donation in the amount of \$5,000.00 from the County of Orange for the administration of the City of Newburgh Police Department Junior Cadet Program. (Chief Doug Solomon)

Una resolución autorizando al Gerente Municipal a aceptar una donación por el monto de \$5,000.00 del Condado de Orange para la administración del Programa de Cadetes Junior del Departamento de Policía de la Ciudad de Newburgh. (Jefe Doug Solomon)

30. Community Resource Officer Program Agreement

Resolution authorizing the City Manager to enter into an agreement with the Newburgh Enlarged City School District to provide police services in connection with the Community Resource Officer Program for compensation in the amount of \$100,000.00.(Chief Doug Solomon)

Una resolución autorizando al Gerente Municipal a entrar en un acuerdo con el Distrito Escolar de Newburgh para proporcionar servicios en conexión con el Programa de Oficial de Recursos Comunitarios compensado por el monto de \$100,000.00. (Jefe Doug Solomon)

31. Military Leave MOU with PBA - amend prior agreement

Resolution approving an amendment to a Memorandum of Understanding with the Patrolmen's Benevolent Association of Newburgh, New York, Inc. to provide additional benefits under Section 242 of the Military Law for Police Officer Robert F. Pedrick III while serving active duty in the military effective on or about January 16, 2018. (Michelle Kelson)

Una resolución aprobando una enmienda al memorando de entendimiento con la Asociación de Patrulleros Benevolentes de Newburgh, Nueva York, Inc. Para proporcionar beneficios adicionales bajo la sección 242 de la Ley Militar para el Oficial de la Policía Robert F. Pedrick III mientras se desempeña en servicio activo militar efectivo en o alrededor del 16 de enero de 2018 (Michelle Kelson)

32. Military Leave MOA with PBA - new agreement

Resolution approving a Memorandum of Agreement with the Patrolmen's Benevolent Association of Newburgh, New York, Inc. to provide certain additional benefits to members who have been called to active military duty. (Michelle Kelson)

Una resolución aprobando una enmienda al memorando de entendimiento con la Asociación de Patrulleros Benevolentes de Newburgh, Nueva York, Inc. Para proporcionar ciertos beneficios adicionales a miembros que han sido llamados al servicio militar activo. (Michelle Kelson)

Ordinances/ Decretos

33. Ordinance Amending Requirements for Issuance and Placement of Taxi Vehicle Stickers

Ordinance amending section 272-12, entitled “Vehicle License Required; Fee; Stickers,” section 272-15, entitled “Vehicle License Card,” section 272-16, entitled “Inspections Required,” and section 272-17, entitled “Vehicle Markings, Safety and Equipment; Owner, Operator and/or Driver Responsibilities” of the code of the City of Newburgh. (Michelle Kelson)

Ordenanza enmendando sección 272-12, titulada “Licencia de Vehículo, Requerido; Tarifa; Etiquetas,” sección 272-15, titulado “Tarjeta de Licencia Vehicular,” sección 272-16, titulado “Inspecciones Requeridas” y sección 272-17, titulado “Marcas Vehiculares, Seguridad y Equipo; Dueño, Operador y/o Responsabilidades del Chofer” del código de la Ciudad de Newburgh. (Michelle Kelson)

Local Laws/Leys Locales

34. Rescind Local Law No. 1-2012 authorizing installment payment agreements for delinquent taxes

Resolution scheduling a public hearing for September 9, 2019 to hear public comment concerning a Local Law amending Chapter 270 “Taxation” of the Code of the City of Newburgh rescinding Section 270-23.1 through Section 270-23.11 of Article III “Collection of Delinquent Taxes” providing for the installment payment of eligible delinquent taxes. (Michelle Kelson)

Una resolución programando una audiencia pública para el 9 de septiembre para escuchar comentarios públicos sobre una Ley Local enmendando el Capítulo 270 “Impuestos” del Código de la Ciudad de Newburgh rescindiendo Sección 270-23.1 hasta Sección 270.23.11 del Artículo III “Colección de Impuestos Morosos” proporcionando pagos a plazos de los impuestos morosos elegibles. (Michelle Kelson)

Discussion Items/Temas de Discusión

35. Arts and Cultural Commission appointments

Resolution re-appointing Trisha Halverson and Belinda McKeon and appointing Michelle Roach, Naomi Miller and Joy Saldana to the City of Newburgh Arts and Cultural Commission for three year terms.

Una resolución renombrando a Trisha Halverson y Belinda McKeon y nombrando a Michelle Roach, Naomi Miller y Joy Saldana a la Comisión de Artes y Cultura de la Ciudad de Newburgh por términos de tres años.

36. Ceremonial street naming & other honors - application process revisions

Honorary Designation Policy – Version No. 2

Póliza de Designación Honoraria – Versión No. 2

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF NEWBURGH ASSUMING LEAD AGENCY STATUS UNDER STATE ENVIRONMENTAL QUALITY REVIEW ACT FOR THE WATER FILTRATION PLANT FILTER AND SCADA IMPROVEMENTS PROJECT, DECLARING THE PROJECT TO BE A TYPE II ACTION, FINDING NO SIGNIFICANT ADVERSE IMPACT ON THE ENVIRONMENT AND AUTHORIZING THE CITY MANAGER TO EXECUTE ALL SEQRA DOCUMENTS

WHEREAS, by Resolution No. 113-2018 of May 14, 2019 and Resolution No. 5-2019 of January 14, 2019, the City Council of the City of Newburgh authorized the City Manager to enter into an agreements with Arcadis of New York, Inc., for professional engineering services in connection with the Water Filtration Plant Filter and SCADA Improvements Project; and

WHEREAS, the City of Newburgh proposes to undertake the Water Filtration Plant Filter and SCADA Improvements Project (the "Project") which will include repairing and upgrading filter control equipment and incorporating of other supervisory control and data acquisition improvements and upgrades; and

WHEREAS, the City desires to comply with the New York State Environmental Quality Review Act ("SEQRA") and the regulations contained within 6 NYCRR Part 617 (the "Regulations") with respect to the Project; and

WHEREAS, under Section 617.5(c)(2), the replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building, energy, or fire codes, the proposed filter and SCADA improvements to the Water Filtration Plant is considered within the type of activities defined as a Type II Action, and therefore, the Project is classified as a categorical exclusion to SEQRA;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York the Project constitutes a "Type II", as the quoted term is defined in the SEQRA Regulations and that no further review for SEQRA purposes is required; and

BE IT FURTHER RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and hereby is authorized to sign and file any/and all other documents that may be necessary in connection with this SEQRA classification for the Project.

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO APPLY FOR AND ACCEPT
IF AWARDED A NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION
WATER INFRASTRUCTURE IMPROVEMENT ACT GRANT FOR THE
WATER FILTRATION PLANT FILTER AND SCADA IMPROVEMENTS PROJECT
FOR THE MAXIMUM TOTAL COST OF \$5,070,000.00**

WHEREAS, The Clean Water Infrastructure Act (CWIA) invests \$3 billion in clean and drinking water infrastructure projects and water quality protection across New York. As part of CWIA, the New York State Environmental Facilities Corporation (EFC) is offering \$350 million in grants for clean and drinking water projects during the 2019-2020 state fiscal year to selected municipalities with infrastructure projects that protect public health and/or improve water; and

WHEREAS, by Resolution No. 113-2018 of May 14, 2018 and Resolution No. 5-2019 of January 14, 2019, the City Council of the City of Newburgh authorized the City Manager to enter into an agreements with Arcadis of New York, Inc., to prepare a preliminary engineering reports to support the City of Newburgh EFC funding application for the Water Filtration Plant Filter and SCADA Improvements Project; and

WHEREAS, the City intends to undertake the Water Filtration Plant Filter and SCADA Improvements Project at a maximum total project cost of \$5,070,000.00 with EFC providing \$3,000,000.00 or 60% of the project amount in grant funding, if successfully awarded; and

WHEREAS, the source of City funding for the Project shall be derived from a 2019 bond authorization; and

WHEREAS, this Council has determined that undertaking the Water Filtration Plant Filter and SCADA Improvements Project and applying for and accepting if awarded New York State Environmental Facilities Corporation New York State Water Infrastructure Improvement Act grant is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that Water Filtration Plant Filter and SCADA Improvements Project is hereby approved at the maximum total project cost in the amount of \$5,070,000.00; and

BE IT FURTHER RESOLVED, by the Newburgh City Council that the City Manager be and he hereby is authorized to apply for and accept if awarded New York State Environmental Facilities Corporation New York State Water Infrastructure Improvement Act grant for the Water

Filtration Plant Filter and SCADA Improvements Project in an amount not to exceed \$5,070,000.00; and

BE IT FURTHER RESOLVED, by the Newburgh City Council that the City Manager be and he is hereby authorized to execute all necessary Agreements, certifications or reimbursement requests on behalf of the City of Newburgh and to execute all such further contracts and documentation and take such further actions as may be appropriate and necessary to accept such grant and administer the program funded thereby; and

BE IT FURTHER RESOLVED, by the Newburgh City Council that the sum of \$5,070,000 is hereby appropriated from a 2019 Bond authorization.



GRANT SUMMARY

NYS WATER INFRASTRUCTURE IMPROVEMENT ACT (WIIA) & NYS INTERMUNICIPAL WATER INFRASTRUCTURE GRANTS (IMG) PROGRAM

OVERVIEW

The Clean Water Infrastructure Act (CWIA) invests \$3 billion in clean and drinking water infrastructure projects and water quality protection across New York. As part of CWIA, the New York State Environmental Facilities Corporation (EFC) is offering \$350 million in grants for clean and drinking water projects during the 2019-2020 state fiscal year to selected municipalities with infrastructure projects that protect public health and/or improve water quality. Grant eligible critical water infrastructure projects include those that combat emerging contaminants such as PFOA, PFOS and 1,4 dioxane with system upgrades and innovative pilot technologies, and those that address combined and/or sanitary sewer overflow impacts from flooding.

EFC and the NYS Department of Health (DOH) will evaluate all projects for which applications have been received by the due date (no later than 5:00 p.m. on September 13, 2019) based on factors including protection of public health and water quality and the readiness of the project to proceed expeditiously. Available grant funds may not be sufficient to offer grants to all eligible applicants.

AVAILABLE FUNDING

WIIA Clean Water Projects

An applicant with an eligible clean water project may receive a WIIA grant award as described below:

- A project, including phases of the project, with estimated project costs less than \$50 million is limited to the lesser of \$5 million or 25% of net eligible project costs.
- A project, including phases of the project, with estimated project costs equal to or greater than \$50 million but less than \$100 million is limited to the lesser of \$12.5 million or 25% of net eligible project costs.
- A project, including phases of the project, with estimated project costs equal to or greater than \$100 million is limited to the lesser of \$25 million or 25% of net eligible project costs.

Municipalities may apply for this grant on more than one project, but each municipality is limited to receiving no more than \$5 million per year. If a municipality is awarded grant



funds totaling more than \$5 million for a project or projects, the grant(s) will be allocated over multiple years in increments not to exceed \$5 million per year.

WIIA Drinking Water Projects

An applicant with an eligible drinking water project may receive a WIIA grant award as described below:

- A project, including phases of the project, is limited to the lesser of \$3 million or 60% of net eligible project costs.
- Municipalities are limited to \$3 million of combined Drinking Water State Revolving Fund (DWSRF) grant and WIIA grants annually.¹

Municipalities may apply for this grant on more than one project, but each municipality is limited to receiving no more than \$3 million per year.

IMG Clean Water and Drinking Water Projects

Cooperating municipalities with an eligible project may receive an IMG grant award as described below:

- The lesser of \$10 million or 40% of net eligible project costs.
- IMG grant awardee seeking SRF financing will only be eligible to apply for market-rate financing.

ELIGIBILITY REQUIREMENTS

WIIA and IMG grants are available only to the following: county, city, town, village, district corporation, county or town improvement district, school district, Indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, any public benefit corporation or public authority established pursuant to the laws of New York or any agency of the State that is empowered to construct and operate a water quality infrastructure project. (School districts are eligible for DWSRF financial assistance but are ineligible for Clean Water State Revolving Fund (CWSRF) financial assistance.)

Eligible projects include water quality infrastructure projects at municipally-owned sewage treatment works² OR municipally-owned public water systems³ for:

- Construction, replacement or repair of infrastructure; or
- Compliance with environmental and public health laws and regulations related to water quality.

¹ If the Applicant is a Water or Sewer Authority, the grant award amount limitation will be assigned to the municipality(ies) served by the project.

² Sewage treatment works as defined in Environmental Conservation Law Section 17-1903.

³ Eligible project as defined in paragraphs (a), (b), (c), and (e) of subdivision 4 of Section 1160 of the Public Health Law.

Each WIIA project must meet the following criteria:

- Result in construction of the project;
- Not have started construction before October 1, 2018; and
- Not have completed construction before May 1, 2019.

Each IMG project must meet the following criteria:

- Serve multiple municipalities;
- Be a shared drinking water or sewage treatment infrastructure project, such as shared water quality infrastructure or the interconnection of multiple municipal water systems;
- Have a valid and binding project Intermunicipal Agreement (IMA) between at least two cooperating municipalities related to financing of the IMG project;
- Designate a “lead municipality” that applies on behalf of all cooperating municipalities;
- Result in construction of the project;
- Not have started construction before October 1, 2018;
- Not have completed construction before May 1, 2019;
- Not have closed an SRF financing; and
- Not be for the construction of water infrastructure that exclusively supports new development, whether residential or commercial.

PROGRAM REQUIREMENTS

Program requirements for WIIA and IMG recipients include minority- and women-owned business enterprise (MWBE) participation, equal employment opportunity, service-disabled veteran-owned business (SDVOB) participation, smart growth and environmental review.

There are additional program requirements for recipients of WIIA and IMG funds that also utilize SRF financial assistance, such as American Iron and Steel and Davis-Bacon federal prevailing wage requirements.

All contracts entered into by recipients that are to be paid for with WIIA funds, IMG funds, or SRF financial assistance must demonstrate compliance with EFC’s program requirements, and must include the required contractual language from the applicable EFC bid packet. Any contracts, including those that are executed prior to grant application, that do not include the required contractual language will be disqualified from funding.

Additional information on program requirements is available on EFC’s website at www.efc.ny.gov, including EFC’s bid packets and the current Intended Use Plans for the SRF programs.



APPLICATIONS

Applicants who would like to be considered for a WIIA and/or IMG grant must submit a grant application and the following required documentation to EFC no later than 5:00 p.m. on **September 13, 2019**.

- Engineering Report⁴, including project schedule and budget, if not previously submitted
- Smart Growth Assessment Form, if not previously submitted
- Environmental Review Documentation
- SHPO Project Review Determination Letter
- Authorizing Resolution: If debt will finance any portion of the project, a bond resolution is required, otherwise a board resolution is required
- If applying for IMG, a valid and binding Intermunicipal Agreement (IMA) between at least two cooperating municipalities related to the financing and necessary for the project
- If seeking SRF financing in addition to grant funds, a complete SRF Financing Application, if not previously submitted
- Proof of District Formation, if applicable

Applications must be submitted to NYSWaterGrants@efc.ny.gov. Large documents accompanying the application may also be submitted through the EFC Large File Upload Submission Form at <http://goo.gl/NwTA6D>. Applications that are submitted without all required information and documentation may be deemed unacceptable and may not be processed.

CONTACT US

Please direct all questions about the WIIA or IMG grant programs and application to:

Mr. Dwight Brown
Division of Engineering & Program Management
New York State Environmental Facilities Corporation
625 Broadway
Albany, New York 12207-2997
PHONE: (518) 402-6924
FAX: (518) 402-6954
E-mail: NYSWaterGrants@efc.ny.gov

⁴ The Engineering Report for projects must conform to EFC's Engineering Report Outline, available at <http://www.efc.ny.gov/2019-cw-iup> for clean water projects, and <http://www.efc.ny.gov/DWSRFApply> for drinking water projects.

RESOLUTION NO.: _____-2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO AN
AGREEMENT WITH THE CHAZEN COMPANIES
FOR PROFESSIONAL LAND SURVEYING SERVICES
AT THE CITY OF NEWBURGH WATER TREATMENT PLANT
IN THE AMOUNT OF \$5,000.00**

WHEREAS, The City of Newburgh has determined to survey the municipal boundary line at the City of Newburgh Water Treatment Plant; and

WHEREAS, the City has obtained a proposal from The Chazen Companies to perform the survey services at a cost of \$5,000.00 with the funding for such services to be derived from F.1440.0448; and

WHEREAS, said work is appropriate and necessary to maintain and protect the water supply of the City of Newburgh;

NOW, THEREFORE BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to accept a proposal and enter into an agreement with Chazen Engineering, Land Surveying & Landscape Architecture Co., D.P.C. ("The Chazen Companies") for professional land surveying services at the City of Newburgh Water Treatment Plant.

July 17, 2019 Rev01

Jason Morris, PE
City Engineer
City of Newburgh
83 Broadway
Newburgh, NY 12550
VIA EMAIL: jmorris@cityofnewburgh-ny.gov

*Re: City of Newburgh Water Treatment Facility Route 207,
Proposal to Survey Municipal Line
Job # PM19-204*

Dear Mr. Morris:

The Chazen Companies (Chazen) thank you for the opportunity to present this proposal for Professional Land Surveying Services to survey the municipal line the bisects the City of Newburgh Water Treatment facility located on Route 207.

Scope of Services

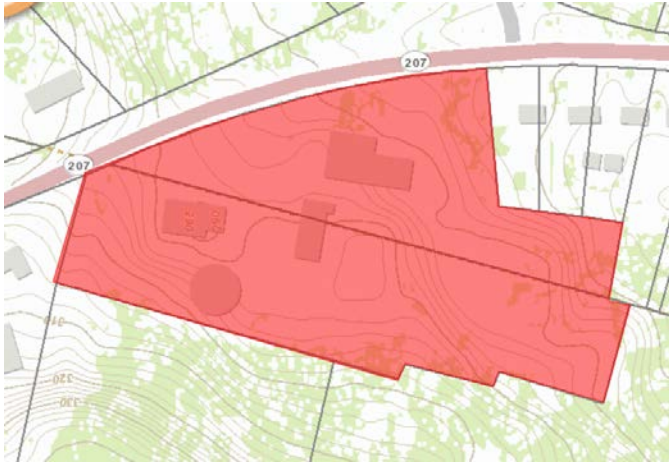
The following represents the tasks that you have requested, and that we believe are necessary to accomplish your desired objectives. Please refer to the Fee and Time Schedule Summary table near the end of this proposal for the costs and time schedules associated with each task.

Task 0100 – Municipal Boundary Survey

It is our understanding that the accurate location of the Town line is needed to facilitate discussions with the Town of Newburgh and Town of New Windsor. Municipal boundaries can be challenging to determine. They are often not described with metes and bounds and a survey of the line often not completed. A proper re-tracement of the line will require that Chazen complete land records research at the Orange County Clerk's office, The Towns of Newburgh and New Windsor and potentially with the City of Newburgh. When available records have been collected, Chazen will evaluate the record and potentially complete additional field surveying to determine the location of the municipal line relative the site property lines. As such, the exact effort and cost to complete the survey is unknown therefore, Chazen has provided a time and materials cost to complete the work.

Scope – Chazen will complete boundary survey with metes and bounds for the segment of the Newburgh/New Windsor Town line that bisects the City of Newburgh's water treatment facility. Chazen will research the origins of the Town line to determine if any existing mapping, deed or incorporation documents exist that describe and position the Town line. Chazen will complete the necessary field survey to locate evidence of the line and will map the town line relative to the previously completed boundary and as-built survey of the facility.

Project Site



Deliverables – The client will be provided with a certified copy of the survey in both digital PDF and signed and stamped hard copy.

Client Responsibilities – Please provide copies of available records and maps that map assist in the municipal boundary determination.

Schedule – Chazen is prepared to begin work upon authorization. It is expected that the final survey will be available for delivery approximately 6 weeks form authorization to proceed.

Professional Services Fee Schedule

Chazen proposes to bill each task as indicated in the following Fee and Time Schedule Summary. Invoices will be issued monthly for all services performed during that month and are payable upon receipt. Lump Sum tasks will be billed according to milestone completions for each deliverable, or commensurately with the percentage of the task which has been completed.

Fee and Time Schedule Summary

Tasks		Fee Estimates		Proposed Schedules
Task No.	Task Description	Lump Fee Bill	Sum and Materials Estimate	Projected Start / End Dates
01	Municipal Boundary Survey	----	\$5,000	Start: upon authorization End: Delivery in approximately 6 weeks

Agreement

Attached please find a copy of our Standard Agreement. Receipt of an executed copy of this Agreement or appropriate City of Newburgh authorization will be our authorization to schedule the performance of this work. This proposal is valid for 30 days from the date hereof.

Please feel free to contact me at (845) 486-1473 if you have any questions whatsoever. Chazen looks forward to working with you on this project.

Sincerely,

Steven J. Alex

Steven J. Alex, PLS, Sr. Principal
Vice President, Land Surveying

cc: All Proposals

AGREEMENT MADE this _____ day of _____ 2019 by and between Chazen Engineering, Land Surveying & Landscape Architecture Co., D.P.C. (CELSLA), a New York professional corporation with its principal place of business at 21 Fox Street, Poughkeepsie, New York 12601 (hereafter referred to as "The Chazen Companies" or "Chazen") and City of Newburgh (hereafter referred to as "Client").

1. **PURPOSE:** Client hereby retains Chazen to perform the services described in the Proposal For Professional Services dated July 17, 2019 which is hereby made a part of this Agreement.

2. **COMPENSATION:** Chazen's compensation for services shall be as stated in the Proposal For Professional Services. Chazen shall submit invoices on or about the tenth day of each month. Invoices shall be payable upon receipt. Invoices not paid within 30 days will be assessed a finance charge of 1.5% per month. At the beginning of each calendar year Chazen reserves the right to adjust its billing rates in accordance with Chazen's new annual fee schedule. Chazen may suspend its performance under this Agreement until all delinquent amounts due for services and expenses have been paid. All amounts due and owed Chazen under this Agreement shall be paid in full at the completion of services. Chazen may refuse to release reports, maps and materials prepared by Chazen for Client until all arrearages are paid in full. If Chazen is required to retain an attorney and/or collection agency to collect amounts due Chazen under this Agreement, Client agrees to pay Chazen's reasonable attorney's and/or collection fees together with the costs and disbursements of any such action.

☐ A retainer in the amount of \$_____ will be required prior to the initiation of services. This retainer will be held until the end of the project and applied to Client's final invoice. Any excess amount will be returned to Client.

☒ Final payment will be due upon delivery of the final work product (e.g. report, survey, etc.).

3. **COOPERATION:** Client agrees to keep Chazen informed of changes to the project scope and schedule, and shall arrange for and provide Chazen entry to property in order to perform the services. Client shall give Chazen prompt notice of any potentially hazardous or injurious conditions Client knows of or has reason to know of which may be present on property Chazen must enter. Client agrees to allow Chazen to display appropriate promotional signage during construction, and to allow Chazen to make a photographic record of the project prior to, during, and subsequent to construction. Client agrees to allow Chazen to use photographic images, along with information about the project and/or a description of the services provided, for promotional purposes without restriction or monetary compensation.

4. **PROJECT DOCUMENTS:**

- A. All Documents which may include, but are not limited to, Plans, Specifications, Survey Plats, Technical Reports and Correspondence are instruments of service with respect to this Project, and Chazen shall retain an ownership and property interest therein, including the right to reuse the Documents. The right to alter the Documents belongs only to Chazen.
- B. Client and Client's contractors or other consultants may rely only upon printed copies (also known as hard copies) of Documents that are signed and sealed by a Licensed Professional employed by Chazen. If there is any discrepancy between printed copies and any electronic copies, the most recent version of the printed and certified copies govern.
- C. Any electronic copies (files) provided will be provided solely as a convenience and shall NOT be considered "Contract Documents," "Construction Documents" or any type of certified document. All documents considered "Contract Documents," "Construction Documents" or any type of certified document shall consist only of printed copies having an original signature and seal of a Licensed Professional employed by Chazen.
- D. Be advised that electronic copies of Documents can deteriorate or be inadvertently modified without Chazen's consent, or may be otherwise corrupted or defective. Accordingly, Client and Client's contractors or other consultants may not rely upon the accuracy of any electronic copies of Documents.

- E. Any use, conclusion or information obtained or derived from electronic copies of Documents by Client or Client's contractors or other consultants will be at Client's sole risk and without liability to Chazen. Client shall defend, indemnify, and hold harmless Chazen and its officers, directors, representatives and agents from and against all claims, demands, liabilities, causes of action, suits, judgments, damages, and expenses (including attorneys' fees) arising from any use whatsoever or reliance on electronic copies of Documents.
- F. Client understands that Documents are not intended or represented to be suitable for any purpose other than that for which they were created. Any reuse or modification of Documents by Client or Client's contractors or other consultants will be at Client's sole risk and without liability to Chazen. Client shall defend, indemnify, and hold harmless Chazen and its officers, directors, representatives and agents from and against all claims, demands, liabilities, causes of action, suits, judgments, damages, and expenses (including attorneys' fees) arising from any reuse or modification of Documents.
- G. Client understands that it is a violation of New York State Education Law for any person to alter in any way any Document that has been signed and sealed by a Professional Engineer or Land Surveyor, unless he or she is acting under the direction of a licensed Professional Engineer or Land Surveyor and that the altering professional signs and seals the document and describes the alteration.

5. **RISKS, INSURANCE & LIMITATION OF LIABILITY:** Client and Chazen have discussed the risks, rewards and benefits of the project and Chazen's fees for services under this Agreement. Client and Chazen have also discussed the allocation of risk associated with their respective duties under this Agreement and agree, to the fullest extent permitted by law:

- A. Chazen shall carry and maintain Workers Compensation, General Liability, Automobile Liability and Professional Liability insurance. Chazen will provide Client certificates of insurance upon request. Chazen will be responsible for the negligent actions of Chazen, and the employees and subcontractors of Chazen, within the terms and conditions of the insurance coverage maintained by Chazen, subject to the limitation of liability set forth in paragraph 5(C) below. Chazen will not be responsible for any loss or liability, or any violation of law, rule, regulation or decree by Client or the employees, agents, contractors, or consultants of Client.
- B. Chazen agrees that it will not bring hazardous or toxic materials onto Client's property. Client understands that the ordinary course of work performed by Chazen may result in the excavation and relocation of hazardous or toxic materials that were on or under the property before Chazen began its work. Client understands that Client is solely responsible for the cost of investigating, removing, and remediating such materials.
- C. Chazen's liability for claims related to professional services errors or omissions under this Agreement, however arising, shall be limited to the lesser of \$1,000,000 or the total compensation received by Chazen from Client, and Client hereby releases Chazen from any liability or contribution above such amount. This limitation of liability shall include but not be limited to Chazen's negligence, errors, or omissions. In no event shall Chazen be liable for incidental or consequential damages, including loss of profits or revenue resulting from any cause or causes.

6. **TERMINATION:** In the event of substantial failure by either party to perform under this Agreement, the aggrieved party may terminate this Agreement upon seven (7) days written notice. If this Agreement is terminated, Client shall remit all monies due Chazen within 30 days. Chazen at its sole discretion, may terminate this Agreement when it reasonably believes there may be condition(s) which threaten the health and safety of Chazen personnel and subcontractors. Chazen assumes no duty to report hazardous or dangerous conditions not caused by Chazen and shall rely exclusively upon Client to report any such conditions.

7. **SEVERABILITY:** If any provision of this Agreement is held invalid such provision shall have no effect, but all remaining provisions shall continue in full force and effect. Each provision of this Agreement shall be interpreted so as to render it valid.

8. **NOTICES:** All notices shall be in writing and shall be sufficient if sent by first class mail or overnight mail to the addresses of Client and Chazen as shown herein. Notices shall be deemed as received three (3) business days after mailing. Each party hereby agrees to accept all mailed and hand delivered communications.

9. **ENTIRE AGREEMENT:** This Agreement and any attachments and exhibits identified herein represent all of the promises, agreements, conditions, understandings, and undertakings between Client and Chazen.

Professional Services Agreement
Project Name: City of Newburgh Survey

Proposal Number: PM19-204
Project Number: _____

10. **AMENDMENTS:** This Agreement shall bind Client and Chazen and their successors and assigns. The parties may, by written agreement(s), modify and amend this Agreement. Any such amendment must be in writing and be signed by the party against whom enforcement of the amendment is sought. No breach of any part of this Agreement shall be deemed waived unless expressly waived in writing by the party who might assert such a breach. The failure of any party to insist in any one or more instances upon strict performance of this Agreement shall not be construed as a waiver of the right to insist upon strict performance.
11. **GOVERNING LAW:** This Agreement shall be governed and construed by the laws of the State of New York. For purposes of any legal action or suit related to or arising out of this Agreement venue shall be Dutchess County, New York.
12. **COUNTERPARTS:** This Agreement, and any amendments or revisions thereto, may be executed in two or more counterparts each of which shall be deemed an original, but which together shall constitute one and the same instrument(s).
13. **REPRESENTATIONS:** Client and Chazen state that each has full power and authority to make, execute and perform this Agreement. Signatory for Client states that he is an officer, owner, partner, agent or attorney for Client. Neither Client nor Chazen is bankrupt or have availed themselves of any debtor's remedies nor are currently contemplating such.

CLIENT City of Newburgh

THE CHAZEN COMPANIES
Chazen Engineering, Land Surveying
& Landscape Architecture Co., D.P.C.

By: _____

By: _____

Printed Name: _____

Steven J. Alex, PLS, Vice President
Printed Name: _____

Date: _____

Date: _____

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION ACCEPTING A PROPOSAL AND AUTHORIZING
THE CITY MANAGER TO EXECUTE A CONTRACT WITH
GREENMAN-PEDERSON, INC. FOR PROFESSIONAL ENGINEERING SERVICES FOR
THE RELOCATION OF A REDUCED PRESSURE ZONE BACKFLOW DEVICE AT THE
WASTEWATER TREATMENT PLANT IN AN AMOUNT NOT TO EXCEED \$6,700.00**

WHEREAS, the City of Newburgh solicited, received and evaluated proposals for professional engineering services for the relocation of a reduced pressure zone backflow device located at the City of Newburgh Wastewater Treatment Plant; and

WHEREAS, the City has received a proposal from Greenman-Pederson, Inc. which has been identified as qualified firm to provide said services; and

WHEREAS, such engineering services shall include an evaluation of proposed device locations, preparation of plans, specifications, bid and contract documents, and construction administration services; and

WHEREAS, the cost of such proposal shall not exceed \$6,700.00 and the funds shall be derived from G.8130.0200;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he hereby is authorized to accept a proposal and execute a contract with Greenman-Pederson, Inc., for professional engineering services in connection with the relocation of a reduced pressure zone backflow device located at the City of Newburgh Wastewater Treatment Plant in an amount not to exceed \$6,700.00.

ENGINEERING SERVICES PROPOSAL

To: City of Newburgh

Attn: Mr. Jason Morris, PE

Re: City of Newburgh – RPZ Relocation at the Wastewater Treatment Plant

Dear Mr. Morris:

This letter is in response to your recent request for an Engineering proposal for the above-mentioned project. Our proposal provides for basic engineering services which will include contract documents, bidding and construction administration services.

Scope of work, as we understand it, is as follows:

- Site visit and evaluation of proposed device locations.
- Plumbing construction documents (drawing and specifications).
- Preparation of NYS DOH Application for Backflow Prevention.
- Construction Administration shall include submittal review and NYS DOH sign off on the device installation.

Exclusions:

- Design of exterior water service piping relocation. An additional proposal may be provided if it is determined by the City Water Department that this is required.

For the base work, we are proposing a fixed fee of **sixty-seven hundred dollars (\$6,700)** plus reimbursables.

Any additional services required of GPI will be performed on an hourly basis with a mutually agreed Not-To-Exceed amount at the time services are required.

GPI's 2019 Hourly Rates are as follows:

Vice President \$195/hour	Senior Engineer \$175/hr.	Senior Project Manager \$165/hr.
Engineer \$140/hr.	Project Manager \$140/hr.	Senior Designer \$140/hr.
Designer \$120/hour	CADD Drafter \$90/hr.	Admin. Staff \$70/hr.

If you are in agreement with this proposal, please sign and date this, as well as initialing the following Terms and Conditions, then return a copy and retain a copy for your files. This will serve as our agreement for the project. If you have any questions or corrections on the above, please call. Thank you.

Greenman-Pedersen, Inc.

City of Newburgh

Signed: 

Name: Daniel A. Hampson, PE

Title: Vice President

Date: 7/19/19

Professional Service Terms and Conditions

Greenman-Pedersen, Inc. shall perform the services outlined in this agreement for the stated fee arrangement.

Access to Site

Unless otherwise stated, Greenman-Pedersen, Inc. will have access to the site for activities necessary for the performance of the services. Greenman-Pedersen, Inc. will take reasonable precautions to minimize damage due to these activities but has not included in the fee the cost of restoration of any resulting damage.

Billings/Payments

Invoices for services and reimbursable expenses shall be submitted, at Greenman-Pedersen, Inc.'s option, either upon completion of the services or on a monthly basis. Invoices shall be payable within 30 days after the invoice date. A service charge of 1.5% (or the legal rate) per month will be applied to the unpaid balance after 60 days. In addition, if payment is not received within 60 days, Greenman-Pedersen, Inc. has the right to stop work, and the City of Newburgh shall indemnify and hold harmless Greenman-Pedersen, Inc. against all damages resulting from such stoppage. The City of Newburgh agrees to pay all costs of collection attributed to late payment, including reasonable attorneys' fees. Retainers shall be credited on the final invoice.

Hidden Conditions and Hazardous Materials

A condition is hidden if concealed by existing finishes or is not capable of investigation by reasonable visual observation. If Greenman-Pedersen, Inc. has reason to believe that such a condition may exist, the City of Newburgh shall authorize and pay for all costs associated with the investigation of such a condition. If (1) the City of Newburgh fails to authorize such investigation after due notification, or (2) Greenman-Pedersen, Inc. has no reason to believe that such a condition exists, Greenman-Pedersen, Inc. shall not be responsible for the existing condition nor any resulting damages to persons or property. Greenman-Pedersen, Inc. shall have no responsibility for the discovery, presence, handling, removal, disposal or exposure of persons to hazardous materials of any form, unless specifically agreed upon prior to the commencement of service.

Indemnification

Greenman-Pedersen, Inc. and the City of Newburgh mutually agree, to the fullest extent permitted by law, to indemnify and hold each other harmless from any and all damage, liability or cost (including reasonable attorneys' fees and defense costs) to the extent caused by their own negligent acts, errors or omissions and those of anyone for whom they are legally liable and arising from the project that is the subject of this agreement. Neither party is obligated to indemnify the other in any manner whatsoever for the other's own negligence.

Risk Allocation

In recognition of the relative risks and benefits of the project to both the City of Newburgh and Greenman-Pedersen, Inc., the City of Newburgh agrees, to the fullest extent permitted by law, to limit Greenman-Pedersen, Inc.'s total liability to the City of Newburgh, for any and all damages or claim expenses (including attorneys' fees) arising out of this agreement, from any and all causes, to the total amount of \$100,000, the amount of Greenman-Pedersen, Inc.'s fee (whichever is greater) or other amount agreed upon when added under Special Conditions.

Termination of Services


This agreement may be terminated upon 10 days written notice by either party should the other fail to perform their obligations hereunder. In the event of termination, the City of Newburgh shall pay Greenman-Pedersen, Inc. for all services, rendered to the date of termination, all reimbursable expenses, and reasonable termination expenses.

Ownership of Documents

All documents produced by Greenman-Pedersen, Inc. under this agreement shall remain the property of Greenman-Pedersen, Inc. and may not be used by the City of Newburgh for any other purpose without the written consent of Greenman-Pedersen, Inc. The City of Newburgh agrees to indemnify and hold harmless Design Professional from any claims that arise due to the reuse, misuse or alterations of the work documents.

Dispute Resolution

Any claim or dispute between the City of Newburgh and Greenman-Pedersen, Inc. shall be submitted to non-binding mediation, subject to the parties agreeing to a mediator(s). Unless otherwise specified, this agreement shall be governed by the laws of the principal place of business of Greenman-Pedersen, Inc.

Initials:  (Greenman-Pedersen, Inc.)

(City of Newburgh) _____

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING CHANGE ORDER NO. 2
WITH TAM ENTERPRISES, INC. FOR A NET CONTRACT REDUCTION FROM
\$1,257,750.00 TO A FINAL CONTRACT AMOUNT OF \$1,198,790.85
IN THE REGULATOR NO. 2 IMPROVEMENTS PROJECT**

WHEREAS, by Resolution No. 48-2018 of February 26, 2018, the City Council of the City of Newburgh, New York awarded a bid to TAM Enterprises, Inc. in the amount of \$1,257,750.00 for construction related to the Regulator No. 2 Improvements Project (the "Project"); and

WHEREAS, by Resolution No. 27-2018 of February 11, 2018, the City Council approved Change Order No. 1 with the TAM Enterprises, Inc. for electrical services in the amount of \$15,114.00 in the Project; and

WHEREAS, TAM Enterprises, Inc. has submitted Change Order No. 2 to provide for additional work, including a permanent generator, stormwater work, and lighting, in the amount of \$59,676.85; and

WHEREAS, Change Order No. 2 also includes a net contract decrease in the amount of \$58,959.15, which reduces the final contract price from \$1,257,750.00 to a final contract amount of \$1,198,790.85 with funding for the change order derived from HG1.8197.0200.8166.2017;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he hereby is authorized to execute Change Order No. 2 with the TAM Enterprises, Inc. for a net contract decrease in the amount of \$58,959.15, which reduces the final contract price from \$1,257,750.00 to a final contract amount of \$1,198,790.85 in the Regulator No. 2 Improvements Project.

Jason Morris, P.E.
City Engineer
City of Newburgh
83 Broadway
Newburgh, New York 12550

Arcadis of New York, Inc.
855 Route 146
Suite 210
Clifton Park
New York 12065
Tel 518 250 7300
Fax 518 371 2757
www.arcadis.com

Subject:

Regulator No. 2 Improvements Project
Bid No. 1.18
CW SRF Project No. C3-7322-11-00
Change Order 2 – Contract Closeout

Date:
July 30, 2019

Contact:
A.J. Brooks

Phone:
518.250.7374

Email:
a.j.brooks@arcadis.com

Our ref:
04881009.0000

Dear Mr. Morris:

Pursuant to your request, Arcadis has prepared Change Order No. 2 for the City's consideration and action. Change Order No. 2 is a net decrease of the contract price of \$58,959.15 and adds no additional time to contract duration. The Regulator No. 2 Improvement Project is required by a New York State Department of Environmental Conservation (NYS DEC) Order on Consent as a result of the Combined Sewer Overflow (CSO) Long Term Control Plan (LTCP).

The contract with TAM Enterprises, Inc. (TAM) includes the following payment items:

Payment Item No.	Description	Payment Amount
1	General Construction	\$1,100,000.00
2	Contingency Allowance	\$100,000.00
3	Tree Trimming	\$24,000.00
4	Repair of Spalled Concrete	\$18,750.00
5	Repairing Cracks on Interior Structural Surfaces	\$15,000.00
TOTAL CONTRACT PRICE:		\$1,257,750.00

To date, TAM has used all of the Tree Trimming allowance and approximately \$15,000 of the Contingency Allowance for re-connecting power to the regulator structure, which was authorized by the City on January 29, 2019 under Change Order No. 1.

During the course of construction TAM encountered that the existing conditions differed from what was showed on the original 1969 plans for the Regulator No. 2 structure that were used as the basis of design. When TAM attempted to install the 60-inch slide gate on the regulator structure, they discovered that the structure was constructed around the existing 60-inch trunk sewer, with no foundation poured. This differed from the construction drawings and it that prevented TAM from installing the slide gate per the Contract Documents. A stainless-steel plate was requirement to be installed between the wall of the regulator and the slide gate for proper mounting. There were cracks in the concrete bench that had to be repaired as well. These changes that resulted from unforeseen conditions amounted to \$8,335.72.

In order to make the electrical service more resilient, the electric power line was buried all the way to the Central Hudson power pole which resulted an additional cost above what was previously authorized under the Contingency Allowance.

With available contingency funds available, Arcadis in conjunction with the City staff, recommends adding a permanent generator in lieu of a portable generator to power Regulator No. 2 during outages. This will make the regulator more resilient. Since this is the largest regulator structure in the City the addition of a permanent emergency standby generator will make the system more robust and will greatly reduce the potential for overflows during power outages. It is also recommended that a light-pole mounted flood light be added on top of the regulator structure to assist in making the site safer especially form a health and safety standpoint. The site also experiences some surface runoff that comes from the north west and it would be advantageous to construct a drivable swale to direct stormwater run-off off the site and at the same time dissipate energy and minimize the potential for erosion.

These additional items result in a total of \$59,676.8. Arcadis has reviewed TAM's contract change proposals and negotiated on the City's behalf to reduce their original proposal amounts. The revised amounts that have been agreed to by TAM, based on the net changes to the Work, and found them to be fair and reasonable. The table below summarizes these recommended changes to the Work.

Description of Extra Work	Payment Amount
Permanent Emergency Standby Generator	\$19,259.02
Crack Repairs	\$7,288.50
Drainage Swale	\$12,043.00
Lighting	\$7,480.75
Steel Plate	\$1,047.22
Additional Electrical Work	\$9,200.00
Replacement Gate Stem	\$3,358.36
TOTAL OF EXTRA WORK:	\$59,676.85

As was mentioned by adding these additional items there would be a net decrease of the price by \$58,959.15 which would make the final contract price be \$1,198,790.85.

This Change Order also includes a five-year warranty for the epoxy coating that was applied to the galvanized stay-in-place formwork that TAM used to construct the top deck of the regulator structure. The

Mr. Jason Morris
City of Newburgh
July 30, 2019

epoxy coating was applied to prevent the galvanized metal from corroding due to the sewer gases present in the regulator structure. If the epoxy surface coating that was applied fails within the five years of the date of the execution of the Change Order, it will be re-applied at no additional cost to the City.

Attached please find three copies of partially executed Change Order No. 2, along with marked-up copies of these Change Order Proposals, which have been agreed to by the Contractor. If accepted, please authorize this work under Change Order No. 2 by signing where indicated for signature by the Owner on the Change Order form. Please keep one original for the City's records and return two copies to this office. If you have any questions or concerns, please do not hesitate to call either Robert Ostapczuk or myself at (518) 250 7300.

Sincerely,

Arcadis of New York, Inc.



A.J. Brooks, P.E.
Project Manager

Copies:

B. Smith, TAM Enterprises
B. Cutler, TAM Enterprises
R. Ostapczuk, Arcadis

Enclosures

Date of Issuance: 7/30/2019

Effective Date:

Owner: City of Newburgh

Owner's Contract No.: 1.18

Contractor: TAM Enterprise, Inc.

Contractor's Project No.:

Engineer: Arcadis of New York, Inc.

Engineer's Project No.: 04881009.0000

Project: Regulator No. 2

Contract Name: General Construction

The Contract is modified as follows upon execution of this Change Order:

Description: Additional work includes installation of a new 15KW permanent standby generator, a drivable swale to improve site drainage and prevent erosion, repair of cracks in the concrete benching of the regulator structure, a flood light and light pole for the regulator structure, stainless steel plate for installation of the 60-inch slide gate, and a replacement stem for the 36-inch slide gate. This Change order closes out the Contract allowances, and includes a five-year Contractor warranty for the epoxy coating applied to the galvanized stay-in-place formwork used to construct the top slab of the regulator structure.

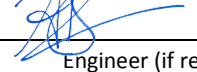
Attachments: Contractor Change Order Proposals

CHANGE IN CONTRACT PRICE	CHANGE IN CONTRACT TIMES
Original Contract Price: \$1,257,750.00	Original Contract Times: Substantial Completion: 150 Ready for Final Payment: 180 days
Increase from previously approved Change Orders No. to No. 1: \$ 0.00	Increase from previously approved Change Orders to No. 1: Substantial Completion: 0 Ready for Final Payment: 0 days
Contract Price prior to this Change Order: \$1,257,750.00	Contract Times prior to this Change Order: Substantial Completion: 150 Ready for Final Payment: 180 days
Decrease of this Change Order: \$58,959.15	Increase of this Change Order: Substantial Completion: 0 Ready for Final Payment: 0 days
Contract Price incorporating this Change Order: \$1,198,790.85	Contract Times with all approved Change Orders: Substantial Completion: 150 Ready for Final Payment: 180 days

RECOMMENDED:

ACCEPTED:

ACCEPTED:

By:  A.J. Brooks
Engineer (if required)

By: _____
Owner (Authorized Signature)

By: _____
Contractor (Authorized Signature)

Title: Project Engineer

Title: _____

Title: _____

Date: July 30, 2019

Date: _____

Date: _____

Approved by Funding Agency (if applicable)

By: _____

Date: _____

Title: _____



CITY OF NEWBURGH REGULATOR No. 2 IMPROVEMENTS PROEJCT CHANGE LOG - GENERAL

CONTRACT: General
CONTRACTOR: TAM Enterprises, Inc.

CONTRACT AMOUNT: \$ 1,257,750.00
CONTRACT CHANGE ORDER TOTAL \$ (58,959.15)
CONTRACT AMOUNT TO DATE: \$ 1,198,790.85

CONTRACTOR PROPOSAL #	CHANGE ORDER #	DESCRIPTION	Proposal Received	Authorization Sent	CONTRACTOR'S PROPOSAL	FINALIZED AMOUNT
1	1	Electrical Power Connection	1/29/2019	1/29/2019	\$15,114.00	\$15,114.00
13169	2	Permanent Standby Generator	4/1/2019		\$21,500.00	\$19,259.02
N/A	2	Crack Repairs	3/11/2019		\$15,000.00	\$7,288.50
13170	2	Drainage Swale	4/1/2019		\$15,100.00	\$12,043.00
N/A	2	Lighting	5/21/2019		\$7,512.00	\$7,480.75
N/A	2	Steel Plate	3/11/2019		\$910.63	\$1,047.22
N/A	2	Additional Electrical Conduit for Power Connection	6/4/2019		\$22,500.00	\$9,200.00
13309	2	Gate Stem	6/6/2019		\$3,358.36	\$3,358.36
N/A	2	Concrete Repair (18"x22" = 2.75 sqft)			\$687.50	\$687.50
N/A	2	Credit for Painting Electrical Kiosk Panel			(\$687.50)	(\$687.50)
<i>Note: Finalized Amounts shown in Bold Italics indicate Forced Change Orders and are subject to change after Final Negotiations</i>				Totals:	\$100,995	\$74,791

Change Order Amount: \$ (58,959.15)

Contingency Allowance \$ 74,790.85

Tree Trimming Allowance \$ 24,000.00

Repair of Spalled Concrete Allowance \$ (18,750.00)

Repair of Cracks \$ (15,000.00)

Final Contract Amount \$ 1,198,790.85



**114 Hartley Road
Goshen, NY 10924**
Phone: (845)-294-8882
Fax: (845)-294-8883

Bill To

City of Newburgh
Accts Payable
83 Broadway, 4th Floor
Newburgh, NY 12550

Proposal

Date	Proposal #
4/1/2019	13169

P.O. No.

Terms Net 30

Qty	Description	Rate	Amount
	Proposal: - Regulator #2		
	Supply & install (1) 20KW Generac Generator. Includes: - Transfer switch. - Labor & Material.		
	For the sum of:	21,500.00	21,500.00

Direct Price from Backup: \$16,747.00
15% OH&P: \$2,471.10
Total Price: \$19,259.02

A Service Charge of 1.5%, 18% APR, will be added to all overdue accounts.
Accounts are considered overdue on the 31st day past the invoice date.
You will also be liable for all legal and collection fees.

Total

\$21,500.00

Payments/Credit

Customer Signature: _____

Accepted By: _____

24 Hour Emergency Service • Hydro Vacuum Excavation • Pump Station, Installation & Maintenance
High Pressure Water Jetting • Video Inspection of Underground Lines • Installation of Water & Sewer Lines
Clearing of Catch Basins • Man-Hole Rehabs • Sewer & Water Plant Rehabs • Confined Space Entry
Pipe Lining Services • Soil Remediation Services • Pipe Location Services • Industrial Tank Pumping
Excavation Services • Emergency Sewer By-pass Pumping • Emergency Utility Services

Estimate Summary - Costs and Prices

Direct Biditems

Manhours	Labor	Perm Materials	Const Materials	Equipment	Subs	Direct Total	Indirect Charge	Addon Bond	Total Cost	Balanced Bid (TO)		Bid Prices	
										Markup	Total	Markup	Total
10 - 100 - Install Generator						1 LS							
24	2,162	13,700		884		16,747		1,458	18,204	2,620	20,824.40	2,620	20,824.40
24.00									18,204.30	14.39%	20,824.40	14.39%	20,824.40
Direct Totals													
24	2,162	13,700		884		16,747		1,458	18,204	2,620	20,824	2,620	20,824

Addon/Bond

Additional Cost		Addon/Bond Cost
General Liability	1 % of JB	208
Umbrella Insurance	1 % of JB	208
Homeoffice	3 % of JB	625
Bond from Summary Table		416
Totals from Addon and Bond		
		1,458

Summary Information

Last Summary: 3/29/2019 4:37:00 PM

Last Spread: 3/29/2019 4:37:00 PM

MATERIALS REPORT

Biditem	Activity	Resource	Sel Vendor	Quantity	Unit	Unit Cost	Tax/OT %	Pcs/W	Total	Esc %
10	EMRGEN	2GENERAC		1.000	LS	13,200.000	100.00	1.00	13,200.00	100.00
Subtotal:	2GENERAC	Generac 20K & Xfer Switch		1.000	LS	13,200.000	100.00		13,200.00	100.00
10	EMRGEN	2MISC		1.000	LS	500.000	100.00	1.00	500.00	100.00
Subtotal:	2MISC	Miscellaneous Mat		1.000	LS	500.000	100.00		500.00	100.00

COST TYPE TOTAL 13,700.00

REPORT TOTAL 13,700.00

*Cost Types Selected: Permanent Materials, Construction Materials, Subcontractor, Other
 Non-labor/equipment Resource*

Subtotal selected vendor, quantity, units, and unit price only display if they are the same for all line items in the subtotal.

Cliff Bell

From: CINDY HUNTER <crhunter@optonline.net>
Sent: Friday, March 29, 2019 4:10 PM
To: Cliff Bell
Subject: RE: Generac 20K Diesel & Transfer Switch/City of Newburgh Regulator #2

HEY CLIFF,

GENERAC DIESEL 20KW GENERATOR WITH TRANSFER SWITCH AND LARGER FUEL TANK:

YOUR COST : \$13,200 - THIS UNIT IS LIQUID COOLED

THANKS - ROB PRO TOOL

On Fri, Mar 29, 2019 at 12:22 PM, Cliff Bell wrote:

Hello Rob: Can you provide a quote for the above?

Thank you.

Clifford Bell | Estimator

TAM Enterprises, Inc.

114 Hartley Road, Goshen, NY 10924

cliff@tamentinc.com

P: (845)-294-8882

F: (845)-294-8883

C: (845)-772-1826

www.tamentinc.com

Protector™ Series

Diesel Generator Set

INCLUDES:

- Two Line LCD Multilingual Digital Evolution™ Controller (English/Spanish/French/Portuguese) with external viewing window for easy indication of generator status and breaker position.
- Isochronous Electronic Governor
- Sound Attenuated Aluminum Enclosure
- Smart Battery Charger
- UV/Ozone Resistant Hoses
- $\pm 1\%$ Voltage Regulation
- Integrated Base Tank Provides Up to 40 Hours of Run Time
- 5 Year Limited Warranty*
- UL 2200 / UL142 / ULC S601 Listed
- Meets code requirements for External Vent and Fill

Standby Power Rating

- Model RD015 - 15 kW 60 Hz
- Model RD020 - 20 kW 60 Hz
- Model RD030 - 30 kW 60 Hz
- Model RD048 - 48 kW 60 Hz (single phase only)
- Model RD050 - 50 kW 60 Hz (three phase only)



QUIET-TEST

Meets EPA Emission Regulations
CA/MA Emissions Compliant



* 5 year warranty applicable to U.S. and Territories/Canada. International warranty is 3 year limited.

FEATURES

- **INNOVATIVE DESIGN & PROTOTYPE TESTING** are key components of GENERAC'S success in "IMPROVING POWER BY DESIGN." But it doesn't stop there. Total commitment to component testing, reliability testing, environmental testing, destruction and life testing, plus testing to applicable CSA, NEMA, EGSA, and other standards, allows you to choose GENERAC POWER SYSTEMS with the confidence that these systems will provide superior performance.
- **TEST CRITERIA:**
 - ✓ **PROTOTYPE TESTED**
 - ✓ **SYSTEM TORSIONAL TESTED**
 - ✓ **NEMA MG1-22 EVALUATION**
 - ✓ **MOTOR STARTING ABILITY**
- **SOLID-STATE, FREQUENCY COMPENSATED VOLTAGE REGULATION.** This state-of-the-art power maximizing regulation system is standard on all Generac models. It provides optimized FAST RESPONSE to changing load conditions and MAXIMUM MOTOR STARTING CAPABILITY by electronically torque-matching the surge loads to the engine. Digital voltage regulation at $\pm 1\%$.
- **SINGLE SOURCE SERVICE RESPONSE** from Generac's extensive dealer network provides parts and service know-how for the entire unit, from the engine to the smallest electronic component.
- **GENERAC TRANSFER SWITCHES.** Long life and reliability are synonymous with GENERAC POWER SYSTEMS. One reason for this confidence is that the GENERAC product line includes its own transfer systems and controls for total system compatibility.

GENERAC



GENERAC**application & engineering data****15 • 20 • 30 • 48 • 50 kW****GENERATOR SPECIFICATIONS**

Type	Synchronous
Rotor Insulation Class	H (15 & 20 kW) or F (30, 48 & 50 kW)
Stator Insulation Class	H
Telephone Interference Factor (TIF)	< 50
Alternator Output Leads 1-Phase	3 wire
Alternator Output Leads 3-Phase	6 wire
Bearings	Single Sealed Cartridge
Coupling	Direct, Flexible Disc
Excitation System	Direct

VOLTAGE REGULATION

Type	Electronic
Sensing	Single Phase
Regulation	± 1%
Features	Adjustable Voltage & Gain

GOVERNOR SPECIFICATIONS

Type	Electronic Isochronous
Steady State Regulation	± 0.25%

ELECTRICAL SYSTEM

Battery Charge Alternator	50 Amp (15 & 20 kW) or 70 Amp (30, 48 & 50 kW)
Smart Battery Charger	2 Amp
Recommended Battery (battery not included)	Group 27F, 700 CCA
System Voltage	12 Volts

GENERATOR FEATURES

Revolving field heavy duty generator
 Directly connected to the engine
 Operating temperature rise 120°C above a 40°C ambient
 Class H insulation is NEMA rated
 Class F insulation is NEMA rated
 All models fully prototype tested

ENCLOSURE FEATURES

Aluminum weather protective enclosure	Ensures protection against mother nature. Electrostatically applied textured epoxy paint for added durability.
Enclosed critical grade muffler	Quiet, critical grade muffler is mounted inside the unit to prevent injuries and maximize sound dampening.
Small, compact, attractive	Makes for an easy, eye appealing installation.
SAE	Sound attenuated enclosure ensures quiet operation.

GENERAC**operating data****15 • 20 • 30 • 48 • 50 kW****GENERATOR OUTPUT VOLTAGE/kW - 60 Hz**

		kW (Standby)	Amp (Standby)	CB Size
RD015	120/240 V, 1Ø, 1.0 pf	15	62	70
	120/208 V, 3Ø, 0.8 pf	15	52	60
	120/240 V, 3Ø, 0.8 pf	15	45	50
RD020	120/240 V, 1Ø, 1.0 pf	20	83	100
	120/208 V, 3Ø, 0.8 pf	20	69	80
	120/240 V, 3Ø, 0.8 pf	20	60	70
RD030	120/240 V, 1Ø, 1.0 pf	30	125	150
	120/208 V, 3Ø, 0.8 pf	30	104	125
	120/240 V, 3Ø, 0.8 pf	30	90	100
	277/480 V, 3Ø, 0.8 pf	30	45	50
RD048/ RD050	120/240 V, 1Ø, 1.0 pf	48	200	200
	120/208 V, 3Ø, 0.8 pf	50	173	200
	120/240 V, 3Ø, 0.8 pf	50	150	175
	277/480 V, 3Ø, 0.8 pf	50	75	90

SURGE CAPACITY IN AMPS**Voltage Dip @ < .4 pf**

		15%	30%
RD015	120/240 V, 1Ø	53	129
	120/208 V, 3Ø	37	90
	120/240 V, 3Ø	32	78
RD020	120/240 V, 1Ø	87	211
	120/208 V, 3Ø	59	143
	120/240 V, 3Ø	51	124
RD030	120/240 V, 1Ø	66	168
	120/208 V, 3Ø	59	144
	120/240 V, 3Ø	51	125
	277/480 V, 3Ø	26	64
RD048/ RD050	120/240 V, 1Ø	69	189
	120/208 V, 3Ø	90	218
	120/240 V, 3Ø	78	189
	277/480 V, 3Ø	36	87

ENGINE FUEL CONSUMPTION

		gal/hr	L/hr
RD015	25% of rated load	0.51	1.93
	50% of rated load	0.79	2.99
	75% of rated load	1.14	4.31
	100% of rated load	1.48	5.58
RD020	25% of rated load	0.67	2.6
	50% of rated load	1.05	3.97
	75% of rated load	1.52	5.32
	100% of rated load	1.98	7.48
RD030	25% of rated load	0.92	3.5
	50% of rated load	1.45	5.5
	75% of rated load	1.96	7.4
	100% of rated load	2.74	10.4
RD048/ RD050	25% of rated load	1.35	5.11
	50% of rated load	2.15	8.14
	75% of rated load	3.06	11.58
	100% of rated load	3.98	15.07

15 • 20 • 30 • 48 • 50 kW

operating data

ENGINE COOLING

	15 kW	20 kW	30 kW	48/50 kW
Air flow (inlet air including alternator and combustion air in cfm/cmm)	2824/80	2824/80	3038/86	2824/80
System coolant capacity (gal/liters)	2.8/10.6	2.8/10.6	2.8/10.6	2.8/10.6
Heat rejection to coolant (BTU per hr/MJ per hr)	63,535/67	63,535/67	111,000/117.1	135,900/143.4
Maximum operation air temperature on radiator (°C/°F)	50/122			
Maximum ambient temperature (°C/°F)	50/122			

COMBUSTION REQUIREMENTS

Flow at rated power (cfm/cmm)	84.76/2.4	84.76/2.4	90/2.55	190/5.38
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SOUND EMISSIONS

Sound output in dB(A) at 23 ft (7 m) with generator in exercise mode*	65
Sound output in dB(A) at 23 ft (7 m) with generator operating at normal load*	70

*Sound levels are taken from the front of the generator. Sound levels taken from other sides of the generator may be higher depending on installation parameters.

EXHAUST

Exhaust flow at rated output (cfm/cmm)	98.88/2.8	98.88/2.8	230/6.51	448/12.7
Exhaust temperature at rated output (°C/°F)	604.4/1120	604.4/1120	454.4/850	604.4/1120

ENGINE PARAMETERS

Rated Synchronous RPM	1800			
HP at rated kW	26.4	33.5	49	85

POWER ADJUSTMENT FOR AMBIENT CONDITIONS

Temperature Deration	3% for every 5 °C above 25 °C or 1.7% for every 5 °F above 77 °F
Altitude Deration (15, 30, 48 & 50 kW)	1% for every 100 m above 915 m or 3% for every 1000 ft above 3000 ft
Altitude Deration (20 kW)	1% for every 100 m above 305 m or 3% for every 1000 ft above 1000 ft

CONTROLLER FEATURES

2-Line Plain Text Multilingual LCD Display	Simple user interface for ease of operation.
Mode Buttons: Auto	Automatic Start on Utility failure. Programmable 7 day exerciser.
Manual	Start with starter control, unit stays on. If utility fails, transfer to load takes place.
Off	Stops unit. Power is removed. Control and charger still operate.
Ready to Run/Maintenance Messages	Standard
Engine Run Hours Indication	Standard
Programmable start delay between 2-1500 seconds	Standard (programmable by dealer only)
Utility Voltage Loss/Return to Utility Adjustable	From 140-171 V/190-216 V
Future Set Capable Exerciser/Exercise Set Error Warning	Standard
Run/Alarm/Maintenance Logs	50 Events Each
Engine Start Sequence	Cyclic cranking: 16 sec on, 7 rest (90 sec maximum duration).
Starter Lock-out	Starter cannot re-engage until 5 sec after engine has stopped.
Smart Battery Charger	Standard
Charger Fault/Missing AC Warning	Standard
Low Battery/Battery Problem Protection and Battery Condition Indication	Standard
Automatic Voltage Regulation with Over and Under Voltage Protection	Standard
Under-Frequency/Overload/Stepper Overcurrent Protection	Standard
Safety Fused/Fuse Problem Protection	Standard
Automatic Low Oil Pressure/High Oil Temperature Shutdown	Standard
Overcrank/Overspeed (@ 72 Hz)/RPM Sense Loss Shutdown	Standard
High Engine Temperature Shutdown	Standard
Internal Fault/Incorrect Wiring Protection	Standard
Common External Fault Capability	Standard
Field Upgradable Firmware	Standard



**114 Hartley Road
Goshen, NY 10924**
Phone: (845)-294-8882
Fax: (845)-294-8883

Bill To

City of Newburgh
Accts Payable
83 Broadway, 4th Floor
Newburgh, NY 12550

Proposal

Date	Proposal #
4/1/2019	13170

P.O. No.

Terms Net 30

Qty	Description	Rate	Amount
	Proposal: - Regulator #2		
	Supply & install (1) Drainage Swale, as designed at Regulator #2 City of Newburgh: - Price includes all labor & material.		
	For the sum of:	15,100.00	15,100.00

Direct Brice from Backup: \$10,472.00
15% OH&P: \$1,571.00
Total Price: \$12,043.00

A Service Charge of 1.5%, 18% APR, will be added to all overdue accounts.
Accounts are considered overdue on the 31st day past the invoice date.
You will also be liable for all legal and collection fees.

Total

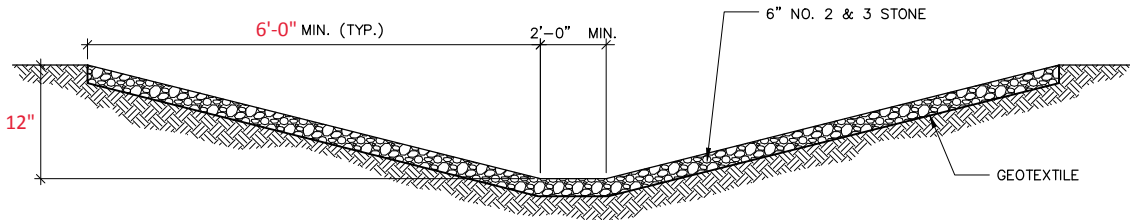
\$15,100.00

Payments/Credit

Customer Signature: _____

Accepted By: _____

24 Hour Emergency Service • Hydro Vacuum Excavation • Pump Station, Installation & Maintenance
High Pressure Water Jetting • Video Inspection of Underground Lines • Installation of Water & Sewer Lines
Clearing of Catch Basins • Man-Hole Rehabs • Sewer & Water Plant Rehabs • Confined Space Entry
Pipe Lining Services • Soil Remediation Services • Pipe Location Services • Industrial Tank Pumping
Excavation Services • Emergency Sewer By-pass Pumping • Emergency Utility Services

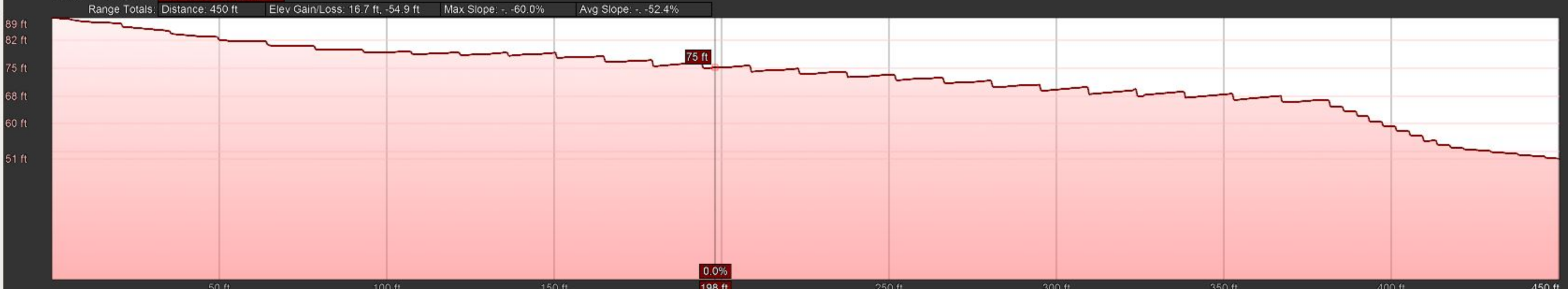


DRIVABLE SWALE SECTION

NOT TO SCALE



Graph: Min, Avg, Max **Elevation: 51, 73, 89 ft**
Range Totals: Distance: 450 ft Elev Gain/Loss: 16.7 ft, -54.9 ft Max Slope: -, -60.0% Avg Slope: -, -52.4%



Estimate Summary - Costs and Prices

Direct Biditems

Manhours	Labor	Perm Materials	Const Materials	Equipment	Subs	Direct Total	Indirect Charge	Addon Bond	Total Cost	Balanced Bid (TO)		Bid Prices	
										Markup	Total	Markup	Total
10 - 1o - Driveable Swale													
1 LS													
54	4,586	3,994		1,892		10,472		924	11,396	1,800	13,196.31	1,800	13,196.31
54.00									11,396.16	15.8%	13,196.31	15.8%	13,196.31
Direct Totals													
54	4,586	3,994		1,892		10,472		924	11,396	1,800	13,196	1,800	13,196

Addon/Bond

Additional Cost		Addon/Bond Cost
General Liability	1 % of JB	132
Umbrella Insurance	1 % of JB	132
Homeoffice	3 % of JB	396
Bond from Summary Table		264
Totals from Addon and Bond		
		924

Summary Information

Last Summary: 3/29/2019 3:50:00 PM
Last Spread: 3/29/2019 3:50:00 PM

MATERIALS REPORT

Biditem	Activity	Resource	Sel Vendor	Quantity	Unit	Unit Cost	Tax/OT %	Pcs/W	Total	Esc %
10	ESC	2AGC010		114.810	TN	30.000	100.00	1.00	3,444.44	100.00
Subtotal:	2AGC010			114.810	TN	30.000	100.00		3,444.44	100.00
	#1 Clean Stone (3-1/2" - 1-1									
10	ESC	2FABRIC		1.000	EA	550.000	100.00	1.00	550.00	100.00
Subtotal:	2FABRIC			1.000	EA	550.000	100.00		550.00	100.00
	Fabric for Underlying Swale									

COST TYPE TOTAL	3,994.44
-----------------	----------

REPORT TOTAL	3,994.44
--------------	----------

*Cost Types Selected: Permanent Materials, Construction Materials, Subcontractor, Other
Non-labor/equipment Resource*

Subtotal selected vendor, quantity, units, and unit price only display if they are the same for all line items in the subtotal.

SPRING BLACK FRIDAY SALE + FREE PARCEL SHIPPING WITH MYLOWE'S. SHOP NOW >



Open until 10PM!
Chester Lowe's ✓

Prices, promotions, styles, and availability may vary. Our local stores do not honor online pricing. Prices and availability of products and services are subject to change without notice. Errors will be corrected where discovered, and Lowe's reserves the right to revoke any stated offer and to correct any errors, inaccuracies or omissions including after an order has been submitted.

Item # 204109 Model # 38216

Hanes Geo Components 432-ft x 12.5-ft Black Woven Geotextile

8 Ratings



100%
Recommend
this
product

Community
Q&A
[View Now](#)



\$498.00

- Separator fabric for aggregate
- For use under driveways and parking lots to prevent loss of aggregate
- Enhances pavement life, helps prevent potholes



**Ships to Store
FREE**

✓ Ready for
pickup:
Estimated by
04/15/2019



Delivery

✓ Ready for
delivery:
Estimated on
04/15/2019

Feedback



BRING YOUR NEXT PROJECT TO LIFE NOW

5% OFF* Every Day or up to 24 Months Special Financing*

. Credit offers cannot be combined. Minimum purchase required for Special Financing. **Valid 4/4/19 – 4/17/19.**

[GET DETAILS](#)

Product Information

Description

- Separator fabric for aggregate
- For use under driveways and parking lots to prevent loss of aggregate
- Enhances pavement life, helps prevent potholes

Specifications

Series	N/A	Weight (lbs.)	175
Type	Woven geotextile	Tensile Strength (lbs./inch)	200
Length (Feet)	432	Water Flow Rate per Cubic Foot (GPM)	5
Width (Feet)	12.5	Roll Size (Sq. Yards)	600
Manufacturer Color/Finish	Black	Color/Finish Family	Black
Material	Polypropylene	Certifications	AASHTO M288 Class 3
Biodegradable	×		

Feedback

Need Help?

Call 1-800-445-6937

Products & Sales

Call 1-877-GO-LOWES

Cliff Bell

From: Leigh Rivas <leigh@hvcsllc.com>
Sent: Friday, March 29, 2019 5:56 PM
To: Cliff Bell
Cc: Brian TAM
Subject: Re: #2 and #3 to Newburgh Regulator #2

I'll hold the \$17.00/ton FOB I quoted you for the suffern project; however pricing will increase on further projects: \$17.75/ton.

Trucking also increased as well, as I'm sure you've experienced lately.

Thanks,

Leigh

Sent from my iPhone

On Mar 29, 2019, at 11:55 AM, Cliff Bell <cliff@tamentinc.com> wrote:

Hello Leigh: Can you quote 125 tons of #2/#3 Stone please.

Thank you.

Clifford Bell | Estimator
TAM Enterprises, Inc.
114 Hartley Road, Goshen, NY 10924
cliff@tamentinc.com
P: (845)-294-8882
F: (845)-294-8883
C: (845)-772-1826
www.tamentinc.com

From: Leigh Rivas <leigh@hvcsllc.com>
Sent: Wednesday, March 13, 2019 3:18 PM
To: Cliff Bell <cliff@tamentinc.com>
Cc: S Wheatley <swheatley@arconcrete.com>; Brian TAM <brian@tamentinc.com>
Subject: Re: Casting

Cliff,

Yes, steve verified these are ordered.

Leigh

Sent from my iPhone

On Mar 13, 2019, at 3:07 PM, Cliff Bell <cliff@tamentinc.com> wrote:

Please acknowledge receipt of approval for release to production.

Clifford Bell | Estimator
TAM Enterprises, Inc.
114 Hartley Road, Goshen, NY 10924

Invoice

Parson Environmental Products, Inc.

P.O. Box 25

Wernersville, PA 19565

800-356-9023 * fax 610-582-6064

www.parsonenvironmental.com

DATE	INVOICE NO.
3/1/2019	219158

BILL TO
Tam Enterprises 114 Hartley Rd Goshen, NY 10924

SHIP TO
Same Must call 24 hrs before delivery Contact: Tony 845-294-8882

P.O. NO.	REP	TERMS	SHIP DATE	SHIP VIA	FOB
Vbl - Mark	MPF	Net 30 Days	2/27/2019	R & L	Factory
ITEM	DESCRIPTION	QTY	UNIT PRICE	AMOUNT	
NI QP	Parson Quick Plug - pails	72	37.50	2,700.00	
FRT	Freight		235.00	235.00	
				Material Price: \$2,935.00 Labor Price: \$3,402.83 Subtotal: \$6,337.83 15% OH&P: \$950.67 Total Price: \$7,288.50	

THIS CONTRACT IS MADE & ACCEPTED IN PA. PA HAS JURISDICTION OF ANY & ALL DISPUTES ARISING THERE FROM	Total \$2,935.00
--	-------------------------



PARSON QUICK PLUG

DESCRIPTION: PARSON QUICK PLUG is a hydraulic cement material that is mixed with clean, potable water to produce an extremely rapid setting plug. It is inserted into prepared areas to stop active leaks under low to moderate pressure. PARSON QUICK PLUG may also be used for repairing cracks in concrete. It is ideal for sealing around pipes and conduits. It is non-corrosive and produces extremely high strengths.

ADVANTAGES:

- Simple to use - Just add clean, potable water.
- Stops leaks in approximately 1 minute!
Fills holes & cracks in floors and walls, etc.
- Excellent freeze-thaw resistance
- Nonmetallic
- High early strength

COMPRESSIVE STRENGTH

(ASTM C-109)

15 minutes	750 psi
1 hour	1,500 psi
1 day	3,700 psi
7 days	4,900 psi
28 days	5,500 psi

...SO EASY TO USE



1. Cut out the area to be plugged to minimum depth and width of 1". Clean with high pressure water blast to remove oil, grease, loose mortar, paints, coatings, etc.



2. To mix, add enough clean water to Quick Plug to make putty consistency.



3. Work putty between hands, into a ball-shaped mass until it begins to stiffen.



4. Force Quick Plug into opening with hand or trowel. Hold for approximately 1 minute or until material has set.

PHYSICAL PROPERTIES:

Set time ASTM C-191	60 seconds
Sulfate Resistance, Passed ASTM C-88	25 Cycles Min.
Freeze-Thaw Resistance C-666	100 Cycles, no damage
Expansion ASTM C-827	0.10 %
Pull Out Strength, lbs. ASTM C-234	14,500 lbs.
Bond Strength ASTM C-321	1 hour >400 psi
	24 hours >1,000 psi
Shrinkage ASTM C-596	0% @ 90% RH
Density	105-110 pcf

PRECAUTIONS: The setting time of PARSON QUICK PLUG will vary according to ambient and surface temperatures. To hasten set time, use heated mixing water. The dry powder material may also be heated up to 100°F. Cool water or ice should be used to prevent extremely rapid set. Do not add any other cements or aggregates to PARSON QUICK PLUG. Do not retemper material.

SAFETY: PARSON QUICK PLUG contains ingredients that will cause irritation and burns to sensitive skin and eyes. Wear protective glasses and rubber gloves. If product is splashed into eyes, wash immediately with clear water and consult a physician.

STORAGE: Shelf life is 12 months when kept dry and stored at 50-80°F with the container tightly sealed.

PACKAGING: PARSON QUICK PLUG is available in 5 gallon pails containing 50 lbs. of material.

COVERAGE: Approximately 17 cu. inches per lb. * 50 lbs. = 850 cu. inches
1 lb. PARSON QUICK PLUG will fill an opening approximately 1.45 ft. long by 1" wide and 1" deep.



			M	T	W	T	F	Rate
Geoffrey Burns	Laborer	Overtime	1.5					\$ 105.53
		Standard	7					\$ 86.58
Matthew Coneen	Laborer	Overtime					1	\$ 105.53
		Standard					7	\$ 86.58
Matthew Coneen	Operator - A	Overtime	1.5	1.5	1	2.5		\$ 126.34
		Standard	7	7	7	7		\$ 100.45
Edmund Conklin	Laborer	Overtime				2.5		\$ 105.53
		Standard				7.5	2.5	\$ 86.58
Keith Hanlon	Electrician	Overtime				0.5	2	\$ 110.88
		Standard				7	7	\$ 73.92
Patrick McGrail	Laborer	Overtime	1	1	0.5	0.25		\$ 105.53
		Standard	8	8	8	8	6	\$ 81.83
Doug Miller	Operator - A	Overtime						\$ 126.34
		Standard			5			\$ 100.45
Doug Miller	Laborer	Overtime	1.5		1			\$ 105.53
		Standard	7		2.5			\$ 86.58
Jonathan Redman	Laborer	Overtime	1.5	1.5				\$ 105.53
		Standard	7	7		4.5		\$ 86.58
Norman Wyss	Operator - C	Overtime				2		\$ 121.09
		Standard				6.5	5.25	\$ 96.95
All Staff total per day			\$ 3,945.90	\$ 2,417.19	\$ 2,361.13	\$ 4,448.04	\$ 2,667.21	
Laborer Staff total per day			\$ 3,053.24	\$ 1,524.53	\$ 1,029.39	\$ 1,983.81	\$ 1,419.02	

Crack Repair Labor Total: \$ 3,402.83



Nivert Metal Supply, Inc.
1100 Marshwood Road, Throop, Pa. 18512
Sales: 570-344-7141/sales@nivertmetal.com
Purchasing: 570-344-3546/purchasing@nivertmetal.com
Accounting: 570-344-9683
www.nivertmetal.com

INVOICE

Pg 1 of 1

1079534

SOLD TO: 00003495
T A M ENTERPRISES INC
114 HARTLEY RD
GOSHEN, NY 10924

Ph: (845) 294-8882

SHIP TO:
T A M ENTERPRISES INC
114 HARTLEY RD
GOSHEN, NY 10924

Currency	Sales Person	Payment Terms	Ship Via	Shipping Terms
US DOLLARS	KRISTIN DECKER	1/2% 10 NET 30	OUR TRUCK	PREPAID
Invoice Date	Order Date	Ship Date	Order Number	Purchase Order
02/18/19	02/13/19	02/15/19	W080956	VERBAL NORM

Ln	Back Ordered	Ordered	Unit	Description	Shipped	Unit Price	Value
0001		2	PC	STAINLESS FLAT SFL 3/8" x 6" T304L EDGED 12'R	184	3.5273 LB	649.02
0002		2	PC	STEEL PLATE CPL 1" HR A36 18" x 18"	184	1.4218 LB	261.61

#69
2016 freight+line
Crane Truck

Material Price: \$910.63
15% OH&P: \$136.59
Total Price: \$1,047.22

Total Weight: 368 LB

Deduct \$4.55 if paid by 03/01/19
02/18/19 10:46:41 SRO

Merchandise:	910.63
Surcharges:	
No Tax Costs:	
ORA	0.00
Total Due:	910.63

From: [Brian TAM](#)
To: [Brooks, A.J.](#); [Brian Cutler](#)
Subject: RE: Newburgh Reg 2
Date: Tuesday, June 4, 2019 11:48:10 PM

Actual additional footage: 200 ft
@ \$46/ft inclusive of OH&P

Total Cost =\$9,2000

Good afternoon, the additional footage was 150 ft at \$ 150.00 per foot. Thank you .

From: Brooks, A.J. <A.J.Brooks@arcadis.com>
Sent: Tuesday, May 21, 2019 9:35 AM
To: Brian TAM <brian@tamentinc.com>; Brian Cutler <bcutler@tamentinc.com>
Subject: Newburgh Reg 2

Brian,

Please find attached a log of the changes that you have submitted on for Reg 2. We want to make sure we capture everything that you believe to be an extra. For the last proposal you sent for the lighting the only backup that was provided was for the material cost, could you provide the full back up showing labor and markup? I also went through my e-mail and could not find a cost for the additional electrical conduit required for re-connecting the power line. If you have any questions, please do not hesitate to reach out to me.

Thank you,
AJ

A.J. Brooks, PE | Project Water Engineer | a.j.brooks@arcadis.com
Arcadis | Arcadis of New York, Inc./Arcadis CE, Inc.
855 Route 146, Suite 210, Clifton Park, NY | 12065 | USA
T. +1 518 250 7374 | F. + 1 518 371 2757

Professional Registration / PR-NY, #098840

Connect with us! www.arcadis.com | [LinkedIn](#) | [Twitter](#) | [Facebook](#) | [Connect App](#)



Be green, leave it on the screen.

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**114 Hartley Road
Goshen, NY 10924**
Phone: (845)-294-8882
Fax: (845)-294-8883

Bill To

City of Newburgh
Accts Payable
83 Broadway, 4th Floor
Newburgh, NY 12550

Proposal

Date	Proposal #
6/6/2019	13309

P.O. No.

Terms Net 30

Qty	Description	Rate	Amount
	Change Order #1 - Regulator #2 Improvements		
	Change out stem & cover on 36" x 36" gate.		
	For the sum of: \$3,358.36	3,358.36	3,358.36

A Service Charge of 1.5%, 18% APR, will be added to all overdue accounts.
Accounts are considered overdue on the 31st day past the invoice date.
You will also be liable for all legal and collection fees.

Total

\$3,358.36

Payments/Credit

Customer Signature: _____

Accepted By: _____

24 Hour Emergency Service • Hydro Vacuum Excavation • Pump Station, Installation & Maintenance
High Pressure Water Jetting • Video Inspection of Underground Lines • Installation of Water & Sewer Lines
Clearing of Catch Basins • Man-Hole Rehabs • Sewer & Water Plant Rehabs • Confined Space Entry
Pipe Lining Services • Soil Remediation Services • Pipe Location Services • Industrial Tank Pumping
Excavation Services • Emergency Sewer By-pass Pumping • Emergency Utility Services

Estimate Response Form Report



6/5/2019 11:30:53 AM

WATERMAN VALVE LLC

25500 ROAD 204

EXETER CA 93221

559.562.4000

Customer: C010569

Prospect:

CREDIT CARD

Phone:

Fax:

Estimate	Terms	Quote Date	Expiration Date	Salesperson	Customer Currency
E000253468	CREDIT CARD	6/5/2019	7/5/2019		USD US DOLLAR

Line	Quantity	Item	Unit Price	Extended Price
1	1.000		1,161.27000	
	EA	20010		1,161.27
		1 1/2" 316 SS STEM 122 1/2" LONG		

THREAD ONE END 42" OF 4 TPI LH 29 DEGREE STUB ACME

OTHER END DRILL FOR 36" X 36" SS-251-1

REFERENCE JOB NUMBER A29907

RB-18-0499

2- WEEKS TO SHIP

EST FRT TO 10924 321.00

2	1.000		220.65000	
	EA	20011		220.65
		2 1/2" X 3"X 66" LONG PLASTIC STEM COVER		

UV RATED

WITH MYLAR MEASURING STRIP

CREDIT CARD SALE ONLY.

CREDIT CARD SALE ONLY.

Sale Amount:	1,381.92
Order Disc(0.00%):	0.00
Sales Tax:	0.00
Misc Charges:	0.00
Total Amount:	1,381.92



**114 Hartley Road
Goshen, NY 10924**
Phone: (845)-294-8882
Fax: (845)-294-8883

May 21st, 2019

A.J Brooks
Arcadis
855 Route 146, Suite 210
Clifton Park, NY 12605

RE: Change order # 1 – Proposal – Newburgh Regulator # 2 Improvements

Mr. Brooks:

As requested by A.J Brooks of Arcadis, TAM Enterprises, Inc. (TAM) has provided the attached quotation for completion of the above referenced project. The estimate is based on A Written Directive dated May 2nd 2019 given by Arcadis to provide and install the Specified Light pole and fixture using existing conduit that was to be used for the Blower. This work has not been shown and specified in the contract documents. Specifically, the general scope of services priced for this quotation is limited to:

1. Furnish and installation of specified Light pole and fixture with switch:

Light Pole, Light fixture and switch (material cost) - \$4125.00 LS
Additional Conduit, wire, fittings and Anchors (material cost) - \$290.00 LS
Material pick up (Labor cost) – 2 Hours @ \$85.00 per hour = \$170.00 round trip
Electrician (labor cost) - 2 men @ 960.00 per day = \$1,920.00 total

Sub-Total = \$6,505.00

OH & P @ 15% = \$7,480.75

The above scope will be completed for the lump sum amount of:

\$7,480.75

(Seven Thousand Four Hundred and Eighty Dollars and Seventy-Five cents)

Pricing Assumptions, Exclusions & Notes:

- All work will be performed During Normal business Hours 8:00am-4:30pm
- This work will add 2 days to the contract

Please contact us with any questions regarding the change order proposal.

Sincerely,

Chad Young

Chad Young - Estimator / Project Manager

Cc: Brian Smith/TAM

Customer Signature: _____

Accepted By: _____

Proposal Valid for a period of 30 days from Date provided on Quote.

A Service Charge of 1.5%, 18% APR, will be added to all overdue accounts. Accounts are considered overdue on the 31st day past the invoice date. You will also be liable for all legal and collection fees.



Kandel Brothers of Middletown, Inc.
151 North Street
Middletown, NY 10940
Phone (845)343-3200

Customer Quote

Page 1

Quote #	Quote Date	Valid Until / Status
7307	05/06/2019	06/05/2019 / Approved
Store	Store Phone #	SalesRep
KBM	(845)343-3200	Alfred Szajer
Customer Acct #	Job Name	Ship Via / Shipping Method
TAMENT	CITY OF NEWBURGH - RFCOP NO. 2	Company / Best Way

Bid To

TAM ENTERPRISES INC
 114 HARTLEY ROAD
 GOSHEN, NY 10924

Ship To

Receiving
 114 HARTLEY ROAD
 GOSHEN, NY 10924

Line #	Vendor Item #	Item Description	Qty	UM	Unit Price	UM	Ext Price
1		LOT OF LIGHTING:	1	EA	4125.00	EA	4125.00

		Qty Type Mfg Description					
		2 FIXT COOP GLEON-AF-04-LED-E1-T4W-BK					
		1 POLE COOP SSA4T12WDN2CH					
		1 AB COOP 317QB404					

SUBJECT TO DRAWING APPROVAL							
PRE-SHIPPED ANCHOR BOLTS PLUS FREIGHT							
BALANCE FREIGHT ALLOWED							

Sub Total	4,125.00	
Shipping & Handling	0.00	
Orange County 8.1250 %	335.16	
Quote Total	4,460.16	US\$

Conditions Of Quote

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**RESOLUTION DESIGNATING FUNDS FROM KIEWIT-SHEA CONSTRUCTORS, AJV
CHECK NO. 937854 IN THE AMOUNT OF \$70,000.00 TO OCTC TIP RESERVE FUND
TO MEET PROJECT MATCH REQUIREMENTS AND IN THE AMOUNT OF \$5,000.00
TO A.1440.0205-OTHER EQUIPMENT FOR THE PURCHASE OF A
PHOTOIONIZATION DETECTOR FOR THE ENGINEERING DEPARTMENT**

WHEREAS, by Resolution No. 136-2018 of May 29, 2018, the City Council of the City of Newburgh established a capital reserve fund to be known as OCTC TIP Reserve Fund for the purpose of accumulating moneys to finance the cost of a type of transportation infrastructure improvements as set forth in the Orange County Transportation Council Transportation Improvement Program for the City of Newburgh pursuant to General Municipal Law Section 6-c, as amended; and

WHEREAS, by Resolution No. 283-2018 of October 9, 2018, the City Council of the City of Newburgh approved a license agreement with Kiewit Shea Constructors, AJV (“Kiewit Shea License Agreement”) authorizing the transportation of steel pipe liners to facilitate the completion of the Delaware Aqueduct Roundout West Bypass Tunnel Project by the New York City Department of Environmental Conservation; and

WHEREAS, the Kiewit Shea License Agreement provided for the payment to the City of a transportation infrastructure impact fee in the amount of \$75,000.00 and Kiewit Shea Constructors, AJV have submitted to the City check no. 937854 in the amount of \$75,000.00; and

WHEREAS, the City proposes to allocate \$70,000.00 of the transportation infrastructure impact fee to OCTC TIP Reserve Fund and \$5,000.00 to the purchase of a photoionization detector for the Engineering Department; the same being in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Newburgh Kiewit Shea check no. 937854 in the amount of \$75,000.00 be allocated as follows: \$70,000.00 to OCTC TIP Reserve Fund and \$5,000.00 to A.1440.0205—Other Equipment for the purchase of a photoionization detector for the Engineering Department.

FIELD
DISBURSEMENT
ACCOUNT

CHECK NO.

937854

27-1
1040

Kiewit-Shea Constructors, AJV

COMPANY NAME

PAYING FOR Infrastructure Impacts

CO-JOB 2067-102843

DATE 6/6/2019

TO THE
ORDER OF

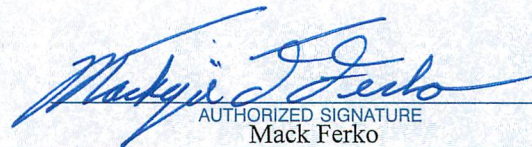
City of Newburgh

\$ 75,000.00

PAY

*****Seventy five thousand 00/100 *****

DOLLARS

PAYABLE THROUGH
FIRST NATIONAL BANK
OMAHA, NE 68102
AUTHORIZED SIGNATURE
Mack Ferko

⑈937854⑈ ⑆104000016⑆ 09 10182 9⑈

RESOLUTION NO.: 136 - 2018

OF

MAY 29, 2018

RESOLUTION ESTABLISHING A CAPITAL RESERVE FUND FOR THE MATCH
REQUIRED IN THE ORANGE COUNTY TRANSPORTATION COUNCIL
TRANSPORTATION IMPROVEMENT PROGRAM
FOR PROJECTS IN THE CITY OF NEWBURGH

WHEREAS, the City Council of the City of Newburgh recognizes the City's infrastructure is in need of improvements; and

WHEREAS, a number of capital projects to improve the City's transportation infrastructure are included in the Orange County Transportation Council Transportation Improvement Program and such projects required City matching funds; and

WHEREAS, City has received its share of 2017 sales tax revenue in the amount of \$536,000.00 pursuant the agreement with Orange County;

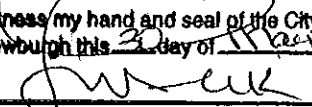
NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Newburgh as follows; pursuant to pursuant to General Municipal Law Section 6-c, as amended, there is hereby established a capital reserve fund to be known as the "OCTC TIP Reserve Fund" (hereinafter "OCTC TIP Reserve Fund"), which purpose is to accumulate moneys to finance the cost of a type of transportation infrastructure improvements as set forth in the Orange County Transportation Council Transportation Improvement Program for the City of Newburgh; and

BE IT FURTHER RESOLVED by the City Council of the City of Newburgh that the City Comptroller is hereby directed to deposit and secure the moneys of the OCTC TIP Reserve Fund in the manner provided by Section 10 of the General Municipal Law and the City Comptroller may invest the moneys in the OCTC Reserve Fund in the manner provided by Section 11 of the General Municipal Law, and consistent with the investment policy of the City of Newburgh with any interest earned or capital gains realized on the moneys so deposited or invested shall accrue to and become part of the OCTC Reserve Fund. The City Comptroller shall account for the OCTC Reserve Fund in a manner which maintains the separate identity of the OCTC TIP Reserve Fund and shows the date and amount of each sum paid into the fund, interest earned by the fund, capital gains or losses resulting from the sale of investments of the fund, the amount and date of each withdrawal from the fund and the total assets of the fund, showing cash balance and a schedule of investments, and shall, at the end of each fiscal year, render to the City Council a detailed report of the operation and condition of the OCTC TIP Reserve Fund; and

BE IT FURTHER RESOLVED by the City Council of the City of Newburgh that except as otherwise provided by law, expenditures from this OCTC TIP Reserve Fund shall be made only for the purpose for which the OCTC TIP Reserve Fund is established and no expenditure shall be made from this OCTC TIP Reserve Fund without the approval of the City Council and such additional actions or proceedings as may be required by Section 6-c of the General Municipal Law or any other law, including a permissive referendum if required by subdivision 4 of Section 6-c.; and

BE IT FURTHER RESOLVED by the City Council of the City of Newburgh that Resolution No. 333-2017, the 2018 Budget of the City of Newburgh is here by amended as follows:

	INCREASE:
A.0000.0599.1000.0000	
Appropriation from Fund Balance	\$536,000.00
A.0000.0882.0000	
Reserve for OCTC Project Matches	<u>\$536,000.00</u>
 TOTAL:	 \$536,00.00

I, Lorena Vitek, City Clerk of the City of Newburgh,
hereby certify that I have compared the foregoing with the
original resolution adopted by the Council of the City of
Newburgh at a regular meeting held May 21, 2018
and that it is a true and correct copy of such original.
Witness my hand and seal of the City of
Newburgh this 30 day of May, 20 18


City Clerk

RESOLUTION NO.: 283 - 2018

OF

OCTOBER 9, 2018

A RESOLUTION AUTHORIZING THE CITY MANAGER TO EXECUTE A
MEMORANDUM OF UNDERSTANDING WITH KIEWIT SHEA CONSTRUCTORS, AJV
FOR THE TRANSPORT OF STEEL PIPE LINERS THROUGH THE CITY OF
NEWBURGH TO FACILITATE THE COMPLETION OF
THE DELAWARE AQUEDUCT RONDOUT WEST BYPASS TUNNEL PROJECT

WHEREAS, the Kiewit Shea Constructors, AJV has requested to travel through the City of Newburgh for the purpose of transporting steel pipe liners to facilitate the completion of the Delaware Aqueduct Roundout West Bypass Tunnel; and

WHEREAS, the parties have reached an agreement regarding the details and logistics surrounding the transportation of the steel pipe sections, a copy of which is attached hereto and made a part of hereof; and

WHEREAS, this Council has reviewed such agreement and has determined that entering into the same would be in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to enter into the attached agreement with Kiewit Shea Constructors, AJV has requested to travel through the City of Newburgh for the purpose of transporting steel pipe liners to facilitate the completion of the Delaware Aqueduct Roundout West Bypass Tunnel Project by the New York City Department of Environmental Conservation.

I, Lorane Vitak, City Clerk of the City of Newburgh,
hereby certify that I have compared the foregoing with the
original resolution adopted by the Council of the City of
Newburgh at a regular meeting held October 10, 2018
and that it is a true and correct copy of such original.

Witness my hand and seal of the City of
Newburgh this 10 day of Oct, 2018

[Signature]
City Clerk

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO ACCEPT A PROPOSAL
AND ENTER INTO AN AGREEMENT FOR PROFESSIONAL ENGINEERING SERVICES
WITH ARCADIS OF NEW YORK INC. FOR PHASES II, III AND IV OF
THE COMBINED SEWER OVERFLOW LONG TERM CONTROL PLAN
IN AN AMOUNT NOT TO EXCEED \$1,236,000.00**

WHEREAS, by Resolution No. 219-2011 of October 24, 2011, the City Council of the City of Newburgh, New York authorized the City Manager to execute an Order on Consent with the New York State Department of Environmental Conservation ("NYS DEC") to resolve violations at the Wastewater Treatment Plant and for the development of the CSO Long Term Control Plan ("LTCP"); and

WHEREAS, the City has submitted its Phase I LTCP, the requirements for which will be deemed satisfied upon the approval of the NYS DEC and by Resolution No. 303-2015 of November 23, 2015, the City Council of the City of Newburgh authorized the City Manager to execute a Modification Order on Consent approving a Schedule of Compliance for Phase I through V of the LTCP; and

WHEREAS, Arcadis of New York, Inc. has submitted a letter proposal for professional engineering services to complete the planning and preliminary engineering for projects included in Phase II, III and IV of the Schedule of Compliance; and

WHEREAS, the scope of services will include Tasks 1 through 6 of the proposal, involving Downing Pond Drain Outlet and Storm Sewer, Water Street Sewer Separation, Broadway Reconstruction between Grand and Dubois Streets, North Interceptor Relocation, Wastewater Treatment Plant Disinfection and Regulator No. 2 Optimization; and

WHEREAS, the cost of said services to be performed is an amount not to exceed \$1,236,000.00 and funding for such project shall be derived from an existing grant and loan from the New York State Environmental Facilities Corporation under project CWSRF#C3-7332-11-00; and

WHEREAS, this Council determines that accepting the proposal and executing a contract with Arcadis of New York, Inc. in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to accept the letter proposal and execute an agreement with Arcadis of New York, Inc. for professional services to complete the planning and preliminary engineering for projects included as part of Phase II, III, and IV of the Long Term Control Plan for the City's Combined Sewer Collection System, with other provisions as Corporation Counsel may require, in an amount not to exceed \$1,236,000.00.

Mr. Jason Morris, P.E.
City Engineer
83 Broadway
Newburgh, New York 12550

Transmitted Via Email: jmorris@cityofnewburgh-ny.gov

Arcadis of New York, Inc.
855 Route 146
Suite 210
Clifton Park
New York 12065
Tel 518 250 7300
Fax 518 250 7301
www.arcadis.com

Subject:

Water

**Proposal for Engineering Services
CSO Long Term Control Plan Implementation**

Date:
July 31, 2019

Dear Mr. Morris:

Contact:
Robert Ostapczuk, PE

Arcadis is pleased to provide the City of Newburgh (City) with this letter proposal for engineering services for planning and preliminary engineering required to comply the Order on Consent schedule for the Long Term Control Plan (LTCP). We appreciate the confidence the City has in our ability to deliver quality projects on time and under budget for your critical infrastructure. In aligning ourselves with your goal to maximize potential grant funding from either Water Infrastructure Investment Act (WIIA) grants, Intermunicipal grants (IMG), Water Quality Improvement Grants (WQIP), or applicable others, Arcadis will complete the scope of services in accordance with schedule of deliverables. Engineering reports will be available ahead of May 2020 to allow the City to apply for future grant funding applications which require submission of engineering reports.

Phone:
518-250-7300

Email:
robert.ostapczuk
@arcadis.com

Our ref:
66004881.0000

SCOPE OF SERVICES

In order to meet water quality standards and the United States Environmental Protection Agency's (USEPA) presumptive approach from the Combined Sewage Overflow (CSO) Control Policy, the City elected to maximize combined sewer flows to the wastewater treatment plant where wet weather flows will be screened and disinfected prior to discharge to the Hudson River. This centralized treatment methodology has been combined with strategic sewer separation and green infrastructure projects in the combined system to increase the combined sewer capture rate as required by the Consent Order. In order to meet the dates

outlined in the consent order compliance schedule and to better position the City for future grant funding opportunities, Arcadis proposes the following scope of services.

Task 1: New Drain Outlet and Storm Sewer at Downing Pond

This task involves the evaluation of the feasibility of separating the Downing Park Pond outlet storm sewer from the combined sewer system and connecting it to the separate storm sewer system, along with an evaluation of the pond outlet structure for the ability to regulate pond levels. This feasibility study will include the consideration of connecting to the Johnston or Chambers Street separate storm sewers, both of which discharge to the Hudson River and represent the nearest possible connection points with the potential capacity. The drainage area of each of these separate storm sewers will be evaluated to determine their capacities along with a determination on the availability of additional stormwater conveyances.

Task 1-1 Data Review

Arcadis will conduct a kickoff meeting with the City and collect available information on Downing Pond and Johnstown and Chambers Street storm sewers. Arcadis will review data provided by the City including GIS files and construction drawings along with EDR reports of the sewer corridors to assess historical uses and potential environmental risks.

Task 1-2 Field Investigations

Bathymetric Survey: Arcadis will subcontract with a minority or women owned business, if available, to perform a bathymetric survey of Downing Pond. As part of this survey, the existing bathymetry will be documented in 25-foot by 25-foot grids and the surveyor will probe the bottom of the pond to determine if additional capacity could be restored by removing sediment that has accumulated in the bottom of the pond. The surveyor will also survey the elevation of the pond outlet. Arcadis will review storm sewer connectivity tributary to Downing Pond in the field.

Flow Metering: The City will install temporary flow meters to obtain actual flow measurements during wet and dry conditions for the existing storm sewers during a two-month period. This flow data will be analyzed and used to calibrate the flow model. The City will install a rain gauge at the wastewater treatment plant that will provide 1-minute rainfall data during the period when the flow meters are installed.

Topographical Survey: Arcadis will subcontract with a minority or women owned business, if available, to complete an instrument field survey. The survey will depict road rights of way, surface features, topography (1-foot contour intervals), improvements, and underground utilities as marked out by Dig Safely New York (water, sewer, electric, natural gas). Elevations of the existing utilities, where access is possible through manholes and structures, will be obtained. The survey datum will be NAVD 88 and be completed in AutoCAD format.

CCTV: Arcadis will subcontract with a minority or women owned business, if available, to conduct a Closed Circuit Televised (CCTV) inspection, with NASSCO coding, of the existing combined sewer and

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confirm locations of catch basin connections to be disconnected and reconnected to the new separate storm sewer. This task includes the CCTV of 1,500 linear feet of combined sewer.

Task 1-3 Downing Pond Hydrologic and Hydraulic Evaluation

The Downing Pond drainage area will be delineated using available Light Detection and Ranging (LiDAR) data. The City's existing GIS will be reviewed to define additional flows that may be tributary to Downing Pond and to determine if surface flows may be directed away from the pond based on existing infrastructure. The hydrologic analysis will be completed using PondPack V8i (Bentley) which is an industry-standard program for producing peak flow rates for a range of standard storm events. The peak flow rates to be analyzed for this project will be the 2-year, 10-year, 25-year and 100-year, 24-hour storms.

Although Arcadis has a hydraulic model of the interceptor sewers and trunks developed as part of the LTCP, the existing model does not include the area being evaluated for this study. A new basic hydraulic model of the proposed and existing storm sewers will be developed to determine their capacity. The hydraulic analysis will be completed using InfoWorks, the same modeling program as was used by Arcadis in the development of the LTCP.

Based on the available storage capacity in Downing Pond, Arcadis will evaluate adding real time controls on the pond's outlet structure to regulate flows into the storm sewer in an effort to optimize the system capacity and to provide the City with control over the water surface elevation in the pond. Arcadis will meet with the City to discuss connections to each storm sewer and prepare preliminary estimates for each design storm.

Task 1-4 Prepare Engineering Report

Arcadis will prepare an engineering report that will generally comply with New York State Environmental Facilities Corporation (NYS EFC) and NYS DEC requirements for approval and funding solicitation. Arcadis will prepare a draft report that describes the findings of the evaluation and meet with the City to review their comments and how we will address them.

Arcadis will submit a final report to the NYS DEC for review and approval. Arcadis will review comments received from the NYS DEC and prepare a response letter and revise the report accordingly. Arcadis will submit a final report to the City and NYS DEC.

Task 1-5 State Environmental Quality Review

Arcadis will prepare a short form environmental assessment form (EAF) for the City's consideration, expecting issuance of the Negative Declaration as an Unlisted Action.

Task 1-6 Funding Support

Arcadis will support the City for the solicitation of funding from state and federal sources as appropriate for the project including WIIA, IMG and WQIP Grants.

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Task 2: Water Street Sewer Separation

This task involves the feasibility of separating the combined sewers along Water Street through Park Place and connecting the storm sewer downstream of the regulator into the existing CSO outfall sewer as required by the approved LTCP and Order on Consent. Additionally, separate storm sewers that discharge to the combined sewer on Water Street maybe separated at this point, providing further benefits to the City.

Task 2-1 Data Review

Arcadis will conduct a kickoff meeting with the City and collect available information on the combined sewer systems to be evaluated. Arcadis will review data provided by the City including GIS files and construction drawings along with EDR reports of the two locations to assess historical uses and potential environmental impacts.

Task 2-2 Sewer Separation Preliminary Evaluation

Arcadis will review existing GIS sewer information, to the extent possible, to verify the locations of combined and storm sewer structures on Water Street to develop a simple InfoWorks model of the drainage areas and receiving sewers and provide a description of the improvements that would be necessary for separation. Arcadis will also prepare a second model including areas along Powell and Central that could be connected to the separate storm sewer in the future to determine the storm sewer size and if the existing 24-inch CSO discharge sewer has sufficient capacity. As with Task 1, the model will be developed for the 2-year, 10-year and 25-year and 24-hour storms. Arcadis will prepare a Technical Memorandum outlining our recommendations.

Arcadis will meet with the City to discuss the analysis that was completed and review the Technical Memorandum to determine project definition

Task 2-3 Field Investigations

CCTV: Arcadis will subcontract with a minority or women owned business, if available, to conduct a Closed Circuit Televised (CCTV) inspection with NASSCO coding of the existing combined sewers and confirm locations of catch basin connections to be disconnected and reconnected to the new separate storm sewer. This task includes the CCTV of approximately 4,000 linear feet of combined sewer on the alternative recommended in Task 2-2.

Smoke Testing: Arcadis will subcontract with a minority or women owned business, if available, to perform smoke testing on the combined sewer to confirm locations of catch basin connections on the alternative recommended in Task 2-2. It is anticipated that only one day of smoke testing will be needed. Arcadis will be present during the smoke testing to collect information. To prepare for smoke testing, it is critical that area residents are notified in advance. Arcadis will provide the City with brightly colored door hanger notifications to be distributed prior to smoke testing events. The City will deliver the notifications to the residents and contact the police and fire department prior to the smoke test.

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Topographical Survey: Arcadis will subcontract with a minority or women owned business, if available, to complete an instrument field survey. The survey will depict road rights of way, surface features, topography (1-foot contour intervals), improvements, and underground utilities as marked out by Dig Safely New York (water, sewer, electric, natural gas). Elevations of the existing utilities, where access is possible through manholes and structures, will be obtained. The survey datum will be NAVD 88 and be completed in AutoCAD format.

Task 2-4 Prepare Engineering Report

Arcadis will prepare an engineering report that will generally comply with NYS EFC and NYS DEC requirements for approval and funding solicitation. Arcadis will prepare a draft report that describes the findings of the evaluation and meet with the City to review their comments and how we will address them.

Arcadis will submit a final report to the NYS DEC for review and approval. Arcadis will review comments received from the NYS DEC and prepare a response letter and revise the design accordingly. Arcadis will submit a final report to the City and NYS DEC.

Task 2-5 State Environmental Quality Review

Arcadis will prepare a short form EAF for the City's consideration and issuance of the Negative Declaration as an Unlisted Action.

Task 2-6 Funding Support

Arcadis will support the City for the solicitation of funding from state and federal sources as appropriate for the project including WIIA, IMG and WQIP Grants.

Task 3: Reconstruction of Broadway Between Grand and Dubois Streets

This task involves the inspection and evaluation of a 100 year old, 48-inch by 60-inch brick combined sewer that collects combined sewage and stormwater from the Broadway corridor and extends from Broadway to South William Street where it connects to the North Interceptor sewer. Arcadis will also evaluate this section of the Broadway corridor for implementation of green infrastructure practices to reduce peak stormwater flows to combined sewer.

Task 3-1 Data Review

Arcadis will conduct a kickoff meeting with the City and collect available information provided by the City. Arcadis will review data provided by the City including GIS files and available drawings and reports.

Task 3-2 Field Investigations

Sewer Evaluation: The 48-inch x 60-inch combined sewer is approximately 2,400 linear feet in length and is estimated to carry an average flow of 2-3 million gallons per day. Arcadis will subcontract with RedZone Robotics to perform a thorough inspection of this sewer which will include CCTV, NASSCO coding, sonar,

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and laser profiling. This multi-sensor approach enables accurate and complete inspections in sewers that are partially flowing, or even completely submerged.

Geoprobe: Subsurface soil data will be collected at up to 15 selected locations to facilitate the understanding of subsurface conditions by a geotechnical subcontractor. The subsurface explorations will be limited to 8-feet deep geoprobes and are only intended to capture soil conditions, and if encountered, proximity to rock and the water table. Boring holes will be backfilled with 1-1/2 sack slurry and cold patched. Prior to drilling, the geotechnical contractor will explore the intended location of each geoprobe with Ground Penetrating Radar (GPR) to search for potential interferences that could be damaged by, or be damaging to, the geoprobe. This information will be used to ascertain the proper location of each. In addition, Dig Safely, New York will be contacted to mark out the utility locations in the area to support the GPR exploration and geoprobe tests.

Task 3-3 Sewer Condition Assessment

Arcadis will review the information collected, summarize the condition of the sewer, describe and quantify structural problems of concern, areas of I&I and provide recommendations and cost estimates for rehabilitation or replacement.

Task 3-4: Green Infrastructure Preliminary Design

Incorporation of green infrastructure can reduce combined sewer overflows by retaining peak stormwater flows due to precipitation, as well as add beauty and reduce heat-island effects in urban environments. Arcadis will evaluate the addition of green infrastructure along Broadway within the study area from Dubois Street to Grand Street. This approximate stretch of 1,500 feet of road will be studied for the possible placement of green infrastructure that will work within the confines of the streetscape, which could include bioswales, tree planters, or rain gardens.

Arcadis will conduct a planning meeting with the City to review green infrastructure concepts and show successful green infrastructure projects from other municipalities. Goals of the planning meeting will be to gauge the City's acceptance of repurposing sidewalks and parking spaces for green infrastructure versus creating a green infrastructure median in the roadway.

Based on the acceptance of a plan for green infrastructure on the studied section of Broadway, Arcadis will develop conceptual plans and renderings of up to three conceptual layouts. Arcadis will meet with the City to discuss the alternatives and develop recommendations for a final conceptual design.

Task 3-5 Public Outreach

Arcadis will prepare visual aids and conduct one public meeting to solicit input from the public at the location secured by the City. The goals of the meeting will include:

- Establish early communication with the affected public including key stakeholders and regulatory agencies;
- Encourage dialogue with the public to explain why the project is beneficial;

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- Solicit the opinions and address issues and concerns from the public, stakeholders, and interested parties during project development;
- Make the technical aspects of the project clear and understandable to the lay person, and;
- Build awareness of the issues which need to be consider, while gaining broad support for the project by involving the public throughout the design process.

Task 3-6 Prepare Engineering Report

Arcadis will prepare an engineering report that will generally comply with NYS EFC and NYS DEC requirements for approval and funding solicitation. Arcadis will prepare a draft report that describes the findings of the evaluation and for the City's review and comment. Arcadis will meet with the City to review their comments and how we will address them.

Arcadis will submit a final report to the NYS DEC for review and approval. Arcadis will review comments received from the NYS DEC and prepare a response letter and revise the report accordingly. Arcadis will submit a final report to the City and NYS DEC.

Task 3-7 State Environmental Quality Review

Arcadis will prepare a short form EAF for the City's consideration and issuance of the Negative Declaration as an Unlisted Action.

Task 3-8 Funding Support

Arcadis will support the City for the solicitation of funding from state and federal sources as appropriate for the project including WIIA, IMG and WQIP Grants.

Task 4: Relocation of North Interceptor

The North Interceptor, a combined sewer that is between 24 and 30 inches in diameter was originally constructed in the 1960's and located along the east side of the City. The size and shape of this existing interceptor sewer creates maintenance problems for the City, and the current location of the interceptor sewer prevents development of the formerly developed hillside located between Colden Street and Water Street. When the City was originally planning and negotiating the terms of the LTCP Consent Order, the City included a project consisting of reconstructing and relocating the North Interceptor sewer in an effort to eliminate maintenance issues and to allow for future development of City owned land while maximizing the environmental benefits of a new, properly sized intercepting sewer through a significant reduction in untreated combined sewer overflows. A new, relocated interceptor sewer will deliver more combined sewage to a future floatables control and disinfection facility to be constructed in the area immediately adjacent to the wastewater treatment plant. The current untreated discharge to the Hudson River from the existing 13 combined sewer outfall locations will decrease by nearly 100 overflow events per year, or approximately 56 million gallons per year of untreated raw sewage.

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Task 4-1 Data Review

In coordination with Task 5, Arcadis will develop a request for information and assess available data provided to Arcadis from the City. Data will include interceptor construction drawings, overflow data from each regulating chamber and the wastewater treatment plant flows. Arcadis will review EDR reports and of the interceptor corridor to assess historical uses and potential environmental risks.

Task 4-2 Field Investigations

Arcadis will complete field investigations to document existing conditions of the existing and proposed north interceptor corridors as shown in the City of Newburgh CSO Long Term Control Plan Addendum, dated March 2015.

Survey: Arcadis will subcontract with a minority or women owned business, if available, to complete an instrument field survey of the north interceptor, corridor and regulators. The survey will represent road rights of way, surface features, topography (1-foot contour intervals), improvements, and underground utilities as marked out by Dig Safely New York (water, sewer, electric, natural gas, etc.). Elevations of the existing utilities, where access is possible through manholes and structures, will be completed. The survey will be based upon City Datum. Arcadis will include an allowance of \$12,000 for CSX flagging services to complete the survey where the interceptor crosses the railroad tracks and runs parallel to the tracks before entering the wastewater treatment plant site.

Ground Penetrating Radar: Arcadis will subcontract with a minority or women owned business, if available, to complete a ground penetrating radar (GPR) survey of proposed boring locations to identify possible utilities in the vicinity.

Geotechnical Borings: Arcadis will subcontract with a minority or women owned business, if available, to perform a geotechnical evaluation of the project site, encompassing the following activities:

- Review of readily available background materials, including published geologic maps and literature, topographic maps, Sanborn mapping and aerial photographs. Review geotechnical data available from the City, utilities, and adjoining properties regarding previous work at or near the site, if available.
- A site reconnaissance to document the surficial geologic conditions will be conducted. During the reconnaissance, boring locations will be marked in the field for coordination with Dig Safely New York. City will accompany Arcadis in the field for the purpose of reviewing and accepting boring locations.
- A geophysical survey along the proposed alignment to identify existing utilities and estimate the depth to bedrock along the alignment.
- A subsurface investigation consisting of the drilling, sampling, and logging of up to seven (7) borings with a truck-mounted drill rig. The borings at four (4) locations will be sampled continuously to refusal and rock cored to a minimum of 5 feet below the invert of the proposed pipe. The borings at three (3) locations, will be sampled continuously to 12 feet and on a 5-foot interval to refusal, after which a 5-foot rock core will be collected to confirm that the bedrock

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surface was reached. The borings will be drilled to evaluate the subsurface conditions and to collect samples for laboratory testing.

- The samples will be transported to a laboratory for testing. The borings will be backfilled with bentonite hole-plug and pavement areas patched.
- Data compilation of the information obtained from the background review, subsurface investigation, geophysical survey, and laboratory testing will be presented a Geotechnical Data Report for the project. This report will provide bidders with information related to the soil types, depth to bedrock, type and structure of the bedrock, and rock strength for use during bidding.

Interceptor Evaluation: Arcadis will subcontract with RedZone Robotics to perform a thorough inspection of this sewer which will include CCTV, NASSCO coding, sonar, and laser profiling. This multi-sensor approach enables accurate and complete inspections in sewers that are partially flowing, or even completely submerged. The evaluation of the north interceptor will be from the wastewater treatment plant to Regulator No. 10, approximately 9,500 linear feet.

Task 4-3 North Interceptor Preliminary Design

Arcadis will prepare conceptual plans and profiles of the north interceptor. It is anticipated that the geotechnical boring program will indicate that microtunneling/boring will be required to construct the relocated 48-inch diameter sewer along Colden Street. Arcadis will assess construction costs and risk for replacing the interceptor in the existing trench with temporary bypass pumping and constructing a new sewer located near the existing trench. Arcadis will assess relocation of the final reach of the interceptor that runs along the CSX railroad to the east, off the CSX ROW with a larger support structure. Arcadis will assess sewer performance for dry and wet weather flow. The Infoworks model of the interceptor will be utilized to assess performance and optimize the connections to existing regulators.

Arcadis will conduct a preliminary design review workshop to discuss the preliminary design of the north interceptor and solicit feedback.

Task 4-4 Prepare Engineering Report

Arcadis will prepare an engineering report of the recommended alternative. The report will generally comply with NYS EFC and NYS DEC requirements for approval and funding solicitation. The report will outline the evaluation and findings of the previous tasks. Arcadis will prepare a draft report for the City's review and comment. Arcadis will meet with the City to review their comments and how we will address them.

Arcadis will submit a final report to the NYS DEC for review and approval. Arcadis will review comments received from the NYS DEC and prepare a response letter and revise the report accordingly. Arcadis will submit a final report to the City and NYS DEC.

Task 4-5 Public Participation and SEQR

Arcadis will prepare visual aids and conduct one public meeting to solicit input from the public at the location secured by the City. The goals of the meeting will include:

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- Establish early communication with the affected public including key stakeholders and regulatory agencies;
- Encourage dialogue with the public to explain why the project is beneficial;
- Solicit the opinions and address issues and concerns from the public, stakeholders, and interested parties during project development;
- Make the technical aspects of the project clear and understandable to the lay person, and;
- Build awareness of the issues which need to be consider, while gaining broad support for the project by involving the public throughout the design process.

Arcadis will prepare a Full EAF for the for the City's consideration and issuance of the Negative Declaration. We will review local, state and federal databases and mapping to review potential environmental features of the site. If a coordinated review is required, we will prepare a letter for the City to circulate to interested and involved agencies.

Task 4-6 Funding Support

Arcadis will support the City for the solicitation of funding from state and federal sources as appropriate for the Project including WIIA, IMG and WQIP Grants.

Task 5: Floatables Control and Disinfection Facility Engineering Report

In order to meet the 85% capture requirement of the US EPA CSO Control Policy, it was determined that the most cost-effective option was to capture wet weather flows by upgrading both the North and South Interceptors and constructing a floatables control and disinfection facility in a centralized location. The facility would be located adjacent to the wastewater treatment plant for ease of operations and minimizing odors in residential or commercial districts. By replacing the North interceptor and adding a second sewer to parallel the South Interceptor, this will also greatly enhance aging infrastructure in the City.

Task 5-1 NYS DEC Coordination

Arcadis will facilitate a meeting with the NYS DEC to discuss the Floatables Control and Disinfection Facility (Facility) project. Discussion will be focused on the anticipated SPDES permit requirements for the Facility primarily pertaining to discharge limitations, compliance reporting requirements, and permitting. We will discuss the target microorganism (i.e. fecal coliform vs. enterococci) and the impacts to both water quality and project requirements. This meeting will be used to further develop the basis of design and evaluate disinfection strategies.

Task 5-2 Data Review

In coordination with Task 4, Arcadis will develop a request for information and assess available data provided to Arcadis from the City. Data will include interceptor construction drawings, wastewater treatment plant construction drawings, engineering reports for the headworks, and three years of flows and loads.

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Arcadis will review FIRM maps to assess the 100- and 500-year storm flood elevations and establish design criteria for construction in the flood plain and NYSDEC requirements for accommodating sea level rise. Arcadis will review EDR reports of the site to assess historical uses and potential environmental risks.

Task 5-3 Field Investigations

Arcadis will complete field investigations to document existing conditions of the site adjacent to the wastewater treatment plant.

Survey: Arcadis will subcontract with a minority or women owned business, if available, to complete an instrument field survey of the site. The survey will represent property boundaries, surface features, topography (1-foot contour intervals), improvements, buildings and facilities and underground utilities as marked out by City staff (water, plant water, electric, etc.). Elevations of the existing utilities, where access is possible through manholes and structures, will be completed.

Geotechnical Report: Arcadis will subcontract with a specialized engineering firm to perform a geotechnical evaluation of the project site, encompassing the following activities:

- Review of readily available background materials, including published geologic maps and literature, topographic maps, and aerial photographs. Review geotechnical data available from the City regarding previous work at the site, if available.
- Review of seismic data, including fault hazard maps, seismic hazard maps, and other readily available data regarding geologic and seismic hazards within the project areas.
- Coordinate with Central Hudson Gas and Electric for subsurface explorations in accordance with the Site Management Plan.
- A site reconnaissance to document the surficial geologic conditions will be conducted. During the reconnaissance, boring locations will be marked in the field for coordination with Dig Safely New York. City and Central Hudson staff will accompany Arcadis in the field for the purpose of reviewing and accepting boring locations.
- Subsurface exploration consisting of the drilling, sampling, and logging of up to four (4) small-diameter borings with a truck-mounted drill rig. The borings will be drilled up to a depth of approximately 40 feet, or refusal, whichever is shallower. The borings will be drilled to evaluate the subsurface conditions and to collect samples for laboratory testing. Relatively undisturbed and bulk samples will be obtained at selected intervals from the borings. The soil samples will be transported to a laboratory for testing. The borings will be backfilled with on-site soils and pavement areas patched.
- Laboratory testing of representative soil samples. Laboratory tests will include evaluation of in-situ moisture and density, sieve analysis, Proctor density, consolidation, shear strength, and soil corrosivity.
- Data compilation and engineering analysis of the information obtained from the background review, subsurface evaluation, and laboratory testing. This engineering analyses and recommendations will

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provide the basis for structural engineering, seismic planning, and guidance on construction phase activities related to slope stability, backfill requirements, pavement and design.

- Preparation of a Geotechnical Evaluation Report for the project. The report will be illustrated with site plans and boring locations, boring logs, and laboratory test results. The report will present findings, conclusions, and geotechnical recommendations for the project including design of foundations, groundwater control measures, excavation support and protection of adjacent structures and utilities.

Wastewater Sampling and Disinfectant Bench Test: Arcadis will collect samples of the influent wastewater flow during a wet weather event. Three samples will be collected during the wet weather event. Each sample will be analyzed for E. Coli, fecal coliform, Enterococci, TSS and UVT without chemical disinfectant added and then after 15 minutes with various doses of sodium hypochlorite and peracetic acid (PAA). The bench test will be utilized to determine the log inactivation of the indicator organism and Enterococci based on the chemical dosage. Arcadis recommends consideration of utilizing Enterococci as the indicator organism as the US EPA published an update to the Recreational Water Quality Criteria (RWQC) in November 2012, but New York has yet to adopt it.

Task 5-4 Screening and Disinfection Evaluation

Arcadis will evaluate up to three screening technologies that will minimize debris from entering the disinfection facility and maximize flows to the wastewater treatment plant during wet weather. The screening facility is envisioned to be located along the interceptor sewer. Arcadis will evaluate the new screens and ancillary equipment being installed at the wastewater treatment plant relative to projected loadings. Arcadis will assess the equipment based on reliability, constructability, odors, operability, maintenance requirements and lifecycle costs.

Arcadis will evaluate three disinfection methods including UV, sodium hypochlorite and PAA. UV would be installed in open channels in a building. A contact tank would be required for sodium hypochlorite and PAA with a hydraulic residence time of 15 minutes at the design flow. Arcadis will assess the equipment based on reliability, constructability, odors, operability, maintenance requirements and lifecycle costs.

Arcadis will assess the electrical demand for each alternative and determine where available power can be accessed. Either a new service will be required, or power can be provided from the wastewater treatment plant. While unlikely for UV disinfection, it is possible that the existing service at the wastewater treatment plant maybe sufficient for the chemical addition disinfection alternatives. Backup power required for each alternative will be assessed based on the existing generator at the wastewater treatment plant and a new generator dedicated to the facility. For the chemical addition disinfection alternatives, Arcadis will estimate the power requirements and odor control equipment.

Arcadis will conduct a preliminary design review workshop to discuss the findings of the screening and disinfection evaluation. Based on the discussion and feedback of this workshop, Arcadis will prepare an alternatives analysis technical memorandum and final screening and disinfection system recommendations.

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Task 5-5 Preliminary Design (30%) Development

Arcadis will develop design criteria for support facilities required for the recommended alternative including structures, electrical systems, SCADA, flushing systems. Arcadis will utilize the existing Infoworks model of the interceptor developed under Task 4 to assess the hydraulic impacts of the Facility. Arcadis will prepare a 3D model of the facilities in REVIT. Arcadis will prepare a computational fluid dynamic (CFD) model of the screening facility and contact tanks, if required to assess flow patterns and short circuiting. Arcadis will prepare electrical one-line diagrams and P&IDs of the Facility.

Arcadis will conduct up to two design review workshops with the City to review the REVIT model and design criteria established.

Task 5-6 Public Participation and SEQR

Arcadis will prepare visual aids and conduct one public meeting to solicit input from the public at the location secured by the City. The goals of the meeting will include:

- Establish early communication with the affected public including key stakeholders and regulatory agencies;
- Encourage dialogue with the public to explain why the project is beneficial;
- Solicit the opinions and address issues and concerns from the public, stakeholders, and interested parties during project development;
- Make the technical aspects of the project clear and understandable to the lay person, and;
- Build awareness of the issues which need to be consider, while gaining broad support for the project by involving the public throughout the design process.

Arcadis will prepare a Full EAF for the for the City's consideration and issuance of the Negative Declaration. We will review local, state and federal databases and mapping to review potential environmental features of the site. If a coordinated review is required, we will prepare a letter for the City to circulate to interested and involved agencies.

Task 5-7 Prepare Engineering Report

Arcadis will prepare an engineering report of the recommended alternative. The report will generally comply with NYS EFC and NYS DEC requirements for approval and funding solicitation. The report will outline the evaluation and findings of the previous tasks. Arcadis will prepare a draft report for the City's review and comment. Arcadis will meet with the City to review their comments and how we will address them.

Arcadis will submit a final report to the NYS DEC for review and approval. Arcadis will review comments received from the NYS DEC and prepare a response letter and revise the design accordingly. Arcadis will submit a final report to the City and NYS DEC.

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Task 5-8 Funding Support

Arcadis will support the City for the solicitation of funding from state and federal sources as appropriate for the Project including WIIA, IMG and WQIP Grants.

Task 6 Regulator No. 2 Operational Optimization

The City will provide Arcadis with influent flow and precipitation data from the wastewater treatment after 12 months of data has been obtained. Arcadis will review to evaluate the performance and effectiveness of the real time controls at Regulator No. 2. Arcadis will determine if modifications to flow settings or the control programming need to be completed. Modifications may include gate resting position, flow rates, gate closure and opening rates, and flow setpoints.

SCHEDULE

Please refer to the project schedule attached.

COMPENSATION

Arcadis will complete the scope of services in accordance with the following not to exceed budget. Arcadis will be reimbursed in accordance with the rate schedule attached. Please note that there is an MWBE goal of 23% for this work. Arcadis has identified several MWBE subconsultants to provide professional services that account for 26% of the overall fee amount. In addition, Redzone Robotics provides industry leading robotic sewer inspection techniques that will be employed for the evaluation of the 100-year-old 48-inch x 60-inch combined sewer and the north interceptor. This technology will allow the City to keep the sewer and interceptor in service during the inspection with costly bypass pumping.

Task	Not to Exceed Budget
Task 1 New Drain Outlet and Storm Sewer at Downing Pond	\$89,000
Task 2: Separation of Combined Sewers on Water Street	\$84,000
Task 3: Reconstruction of Broadway Between Grand and Dubois Streets	\$129,000
Task 4: Relocation of North Interceptor	\$329,000
Task 5: Floatables Control and Disinfection Facility	\$234,000
Task 6 Regulator No. 2 Operational Optimization	\$15,000

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Mr. Jason Morris, PE
City of Newburgh
July 23, 2019

Subconsultant Utilization Plan

Arcadis will utilize the following subconsultants on the project for the various tasks and has included the following allowances, which are included in the not to exceed budgets above.

Subconsultant	Subconsultant Allowance
Arold Construction (WBE)	\$42,000
Brooks & Brooks Land Surveying (WBE)	\$136,000
EDR	\$2,500
JHB Engineering (MBE)	\$43,500
Redzone Robotics	\$120,000
CSX Railroad	\$12,000
Subtotal Subcontractors	\$356,000

We look forward to continuing assisting the City of Newburgh manage and improve its critical wastewater infrastructure and, again, appreciate the confidence you have in our people. If you have any questions, please do not hesitate to call me at 518-250-7300 at your earliest convenience.

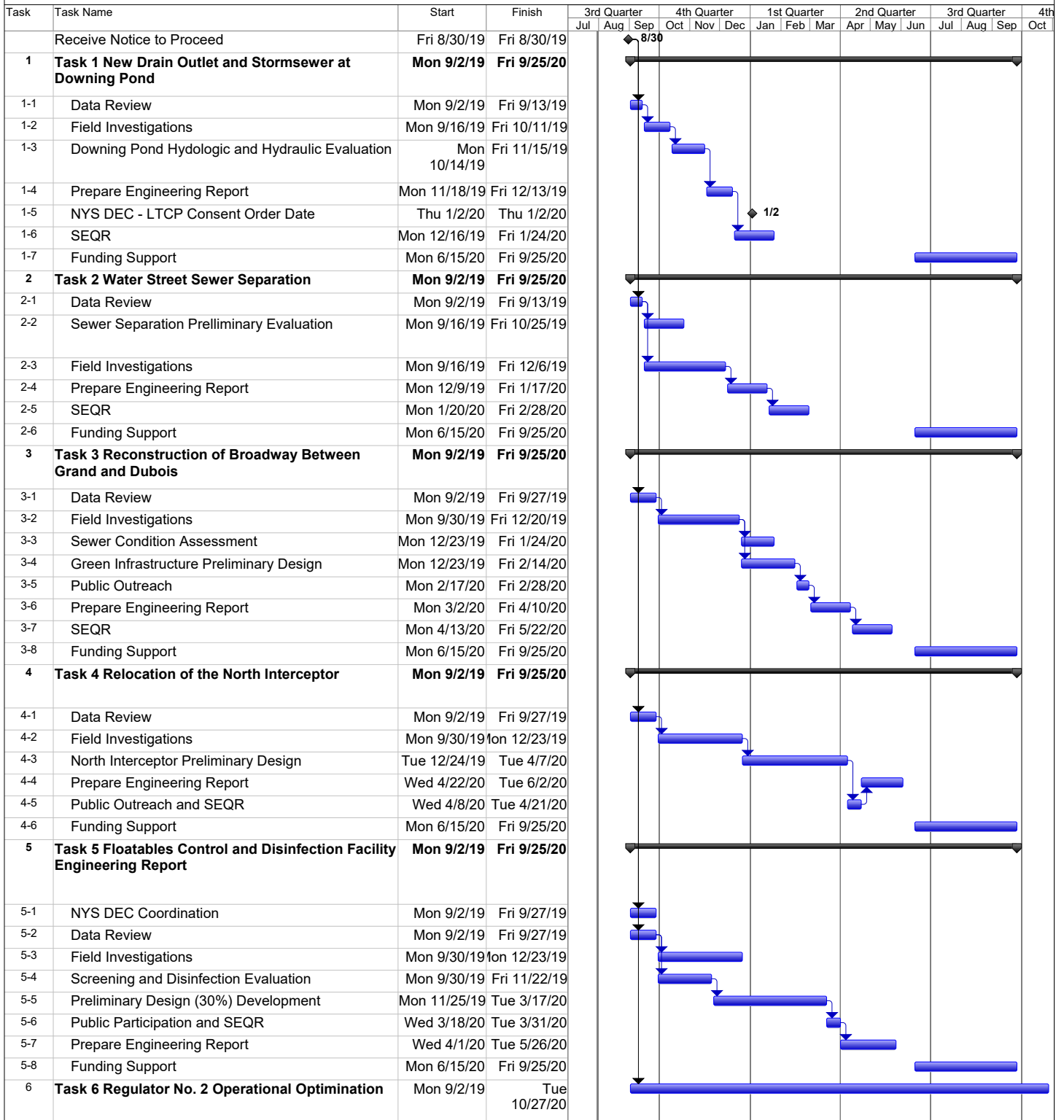
Sincerely,

Arcadis of New York, Inc.

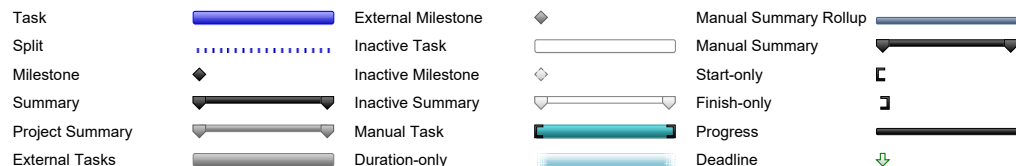


Robert E. Ostapczuk, PE
Vice President

This proposal and its contents shall not be duplicated, used or disclosed — in whole or in part — for any purpose other than to evaluate the proposal. This proposal is not intended to be binding or form the terms of a contract. The scope and price of this proposal will be superseded by the contract. If this proposal is accepted and a contract is awarded to Arcadis as a result of — or in connection with — the submission of this proposal, Arcadis and/or the client shall have the right to make appropriate revisions of its terms, including scope and price, for purposes of the contract. Further, client shall have the right to duplicate, use or disclose the data contained in this proposal only to the extent provided in the resulting contract.



Project: Project Schedule
Date: Wed 7/31/19



RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO EXECUTE
A RIGHT OF ENTRY WITH THE NEW YORK STATE
DEPARTMENT OF ENVIRONMENTAL CONSERVATION
FOR ACCESS TO PROPERTY LOCATED AT 151 LIBERTY STREET**

WHEREAS, the New York State Department of Environmental Conservation (“NYS DEC”) notified the City of Newburgh of its intent to enter City-owned property located at 151 Liberty Street to conduct a site investigation and/or cleanup of a petroleum spill; and

WHEREAS, the NYS DEC has requested the City’s consent to enter the City-owned property for this purpose; and

WHEREAS, this Council has determined that consenting to the proposed entry is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that the City Manager be and he hereby is authorized to execute the Notice of Entry, as annexed hereto, consenting to the entry of the New York State Department of Environmental Conservation on City-owned property located at 151 Liberty Street to conduct a site investigation and/or cleanup of a petroleum spill.

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER
TO EXECUTE A MASTER FEDERAL-AID LOCAL PROJECT AGREEMENT
WITH THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION
AUTHORIZING THE IMPLEMENTATION AND FUNDING OF THE COSTS TO FUND
IN THE FIRST INSTANCE 100% OF THE FEDERAL-AID AND NON-FEDERAL AID
ELIGIBLE COSTS FOR THE LAKE STREET/ROUTE 32 OVER QUASSAICK CREEK
BRIDGE REHABILITATION PROJECT**

WHEREAS, a project for the Lake Street/Route 32 over Quassaick Creek Bridge Rehabilitation in the City of Newburgh, Orange County, PIN 8761.39 (the "Project") is eligible for funding under Title 23 U.S. Code, as amended, that calls for the apportionment of the costs such program to be borne at the ratio of 95% federal funds and 5% non-federal funds; and

WHEREAS, the City of Newburgh will design, let and construct the Project; and

WHEREAS, the City of Newburgh desires to advance the Project by making a commitment of 100% of the costs of the work for the Project or portions thereof;

NOW, THEREFORE, the Newburgh City Council duly convened does hereby

RESOLVE, that the Newburgh City Council hereby approves the above-subject Project; and it is hereby further

RESOLVED, that the Newburgh City Council hereby authorizes the City of Newburgh to pay 100% of the cost of construction and construction inspection work for the Project or portions thereof, with the understanding that qualified costs may be eligible for federal-aid, state aid, or reimbursement from Bridge NY funds; and it is further

RESOLVED, that the sum of \$1,000,000 is hereby appropriated from A.1918.0400 and made available to cover the cost of participation in the above phase(s) of the Project; and it is further

RESOLVED, that the Newburgh City Council that the City of Newburgh shall be responsible for all costs of the Project which exceed the amount of federal-aid, state-aid, or NY Bridge funding awarded to the City of Newburgh; and it is further

RESOLVED, that in the event the Project costs not covered by federal-aid, state-aid, or NY Bridge funding exceed the amount appropriated above, the City of Newburgh shall convene as soon as possible to appropriate said excess amount immediately upon notification by the City Manager thereof; and it is further

RESOLVED, that City Manager hereby agrees that construction of the Project shall begin no later than twenty-four (24) months after award and the construction phase of the Project shall be completed within thirty (30) months; and it is further

RESOLVED, that the Newburgh City Manager be and he is hereby authorized to execute on behalf of the City of Newburgh all necessary agreements, certifications or reimbursement requests for federal-aid and/or state-aid with the New York State Department of Transportation in connection with the advancement or approval of the Project and providing for the administration of the Project and the City of Newburgh's funding of Project costs and permanent funding of the local share of federal-aid and state-aid eligible Project costs and all Project costs within appropriations therefore that are not so eligible, and it is further

RESOLVED, that a certified copy of this resolution be filed with the New York State Commissioner of Transportation by attaching it to any necessary Agreement in connection with the Project, and it is further

RESOLVED, this Resolution shall take effect immediately.

STATE OF NEW YORK)

) ss:

COUNTY OF ORANGE)

I, _____, Clerk of the City of Newburgh, New York, do hereby certify that I have compared the foregoing copy of this Resolution with the original on file in my office, and that the same is a true and correct transcript of said original Resolution and of the whole thereof, as duly adopted by said _____ at a meeting duly called and held at the _____ on _____ by the required and necessary vote of the members to approve the Resolution.

WITNESS My Hand and the Official Seal of the City of Newburgh, New York, this _____ day of _____, 2016.

Clerk, City of Newburgh

MUNICIPALITY/SPONSOR: City of Newburgh

PROJECT ID NUMBER: 8761.57 BIN: 2022260

CFDA NUMBER: 20.205

PHASE: PER SCHEDULES A

Federal aid Local Project Agreement

COMPTROLLER'S CONTRACT NO _____

This Agreement is by and between:

the New York State Department of Transportation ("NYSDOT"), having its principal office at 50 Wolf Road, Albany, NY 12232, on behalf of New York State ("State");

and

the City of Newburgh (the "Municipality/Sponsor")
acting by and through City Manager
with its office at 83 Broadway, Newburgh, NY 12550.

This Agreement covers eligible costs incurred on or after / / .

This Agreement identifies the party responsible for administration and establishes the method or provision for funding of applicable phases of a Federal aid project for the improvement of a street or highway, not on the State highway system, as such project and phases are more fully described by Schedule A annexed to this Agreement or one or more Supplemental Schedule(s) A to this Agreement as duly executed and approved by the parties hereto. The phases that are potentially the subject of this Agreement, as further enumerated below, are: Preliminary Engineering ("PE") and Right-of-Way Incidental ("ROW Incidentals") work; Right-of-Way Acquisition; Construction; and/or Construction Supervision and Inspection. The Federal aid project shall be identified for the purposes of this Agreement as PIN 8761.57, Lake Street/Route 32 over Quassaick Creek Bridge Rehabilitation, City of Newburgh, Orange County (as more specifically described in such Schedule A, the "Project").

WITNESSETH:

WHEREAS, the United States has provided for the apportionment of Federal aid funds to the State for the purpose of carrying out Federal aid highway projects pursuant to the appropriate sections of Title 23 U.S. Code as administered by the Federal Highway Administration ("FHWA"); and

WHEREAS, the New York State Highway Law authorizes the Commissioner of Transportation (hereinafter referred to as "Commissioner") to use Federal aid available under the Federal aid highway acts and provides for the consent to and approval by the Municipality/Sponsor of any project under the Federal aid highway program which is not on the State highway system before such Project is commenced; and

WHEREAS, pursuant to Highway Law §10(34-a) and section 15 of Chapter 329 of the Laws of 1991 as amended by section 9 of Chapter 330 of the Laws of 1991, as further amended by Chapter 57 of the Laws of New York of 2014, the State has established the "Marchiselli" Program, which provides certain State-aid for Federal aid highway projects not on the State highway system; and

WHEREAS, funding of the "State share" of projects under the Marchiselli Program is administered through the New York State Office of the Comptroller ("State Comptroller"); and

MUNICIPALITY/SPONSOR: City of Newburgh

PROJECT ID NUMBER: 8761.57 BIN: 2022260

CFDA NUMBER: 20.205

PHASE: PER SCHEDULES A

WHEREAS, Highway Law §80-b authorizes the funding of eligible costs of Federal aid Municipal/Sponsor streets and highway projects using State-aid and Federal aid; and

WHEREAS, project eligibility for Marchiselli Program funds is determined by NYSDOT; and

WHEREAS, pursuant to authorizations therefore, NYSDOT and the Municipality/Sponsor are desirous of progressing the Project under the Federal aid and, if applicable, Marchiselli-aid Programs; and

WHEREAS, The Legislative Body of the Municipality/Sponsor by Resolution No. -2019 adopted at meeting held on 8/12/19 approved the Project, the Municipality/Sponsor's entry into this Agreement, has appropriated necessary funds in connection with any applicable Municipal/Sponsor Deposit identified in applicable Schedules A and has further authorized the City Manager of the Municipality/Sponsor to execute this Agreement and the applicable Schedule A on behalf of the Municipality/Sponsor and a copy of such Resolution is attached to and made a part of this Agreement (where New York City is the Municipality/Sponsor, such resolution is not required).

NOW, THEREFORE, the parties agree as follows:

1. *Documents Forming this Agreement.* The Agreement consists of the following:

- Agreement Form - this document titled "Federal aid Local Project Agreement";
- Schedule "A" - Description of Project Phase, Funding and Deposit Requirements;
- Schedule "B" - Phases, Subphase/Tasks, and Allocation of Responsibility
- Appendix "A" - New York State Required Contract Provisions
- Appendix "A-1" - Supplemental Title VI Provisions (Civil Rights Act)
- Appendix "B" - U.S. Government Required Clauses (Only required for agreements with federal funding)
- Municipal/Sponsor Resolution(s) - duly adopted Municipal/Sponsor resolution authorizing the appropriate Municipal/Sponsor official to execute this Agreement on behalf of the Municipality/Sponsor and appropriating the funding required therefore. (Where New York City is the Municipality/Sponsor, such resolution is not required).

***Note – Resolutions for Bridge NY projects must also include an express commitment by the Municipality/Sponsor that construction shall commence no later than twenty-four (24) months after award, and the project must be completed within thirty (30) months of commencing construction.**

2. *General Description of Work and Responsibility for Administration and Performance.* Subject to the allocations of responsibility for administration and performance thereof as shown in Schedule B (attached), the work of the Project may consist generally of the categories of work marked and described in Schedule B for the scope and phase in effect according to Schedule A or one or more Supplemental Schedule(s) A as may hereafter be executed and approved by the parties hereto as required for a State contract, and any additions or deletions made thereto by NYSDOT subsequent to the development of such Schedule(s) A for the purposes of conforming to New York State or to Federal Highway Administration requirements.

The Municipality/Sponsor understands that funding is contingent upon the Municipality/Sponsor's compliance with the applicable requirements of the "Procedures for Locally Administered Federal Aid

MUNICIPALITY/SPONSOR: **City of Newburgh**

PROJECT ID NUMBER: **8761.57** BIN: **2022260**

CFDA NUMBER: **20.205**

PHASE: PER SCHEDULES A

Projects" (available through NYSDOT's web site at <https://www.dot.ny.gov/plafap>, and as such may be amended from time to time.

3. *Municipal/Sponsor Deposit.* Where the work is performed by consultant or construction contract entered into with NYSDOT, or by NYSDOT forces, and unless the total non-Federal share of the Project phase is under \$5,000, the Municipality/Sponsor shall deposit with the State Comptroller, prior to the award of NYSDOT's contract or NYSDOT's performance of work by its own forces, the full amount of the non-Federal share of the Project costs due in accordance with Schedule A.

4. *Payment or Reimbursement of Costs.* For work performed by NYSDOT, NYSDOT will directly apply Federal aid and the required Municipality/Sponsor Deposit for the non-Federally aided portion, and, if applicable, shall request State Comptroller funding of Marchiselli aid to the Municipality/Sponsor as described below. For work performed by or through the Municipality/Sponsor, NYSDOT will reimburse the Municipality/Sponsor with Federal aid and, if applicable, Marchiselli aid as described below. NYSDOT will periodically make reimbursements upon request and certification by the Sponsor. The frequency of reimbursement requests must be in conformance with that stipulated in the NYSDOT Standard Specifications; Construction and Materials (section 109-06, Contract Payments). NYSDOT recommends that reimbursement requests not be submitted more frequently than monthly for a typical project. In all cases, reimbursement requests must be submitted at least once every six months.

4.1 *Federal aid.* NYSDOT will administer Federal funds for the benefit of the Municipality/Sponsor for the Federal share and will fund the applicable percentage designated in Schedule A of Federal aid participating costs incurred in connection with the work covered by this Agreement, subject to the limitations set forth on Schedule A. For work performed by or through the Municipality/Sponsor, NYSDOT will reimburse Federal aid-eligible expenditures in accordance with NYSDOT policy and procedures.

4.1.1 *Participating Items.* NYSDOT shall apply Federal funds only for that work and those items that are eligible for Federal participation under Title 23 of U.S. Code, as amended, that requires Federal aid eligible projects to be located on the Federal Aid Highway System ("FAHS"), except for bridge and safety projects which can be located off the FAHS. Included among the Federal participating items are the actual cost of employee personal services, and leave and fringe benefit additives. Other participating costs include materials and supplies, equipment use charges or other Federal Participating costs directly identifiable with the eligible project.

4.2 *Marchiselli Aid (if applicable).* NYSDOT will request State Comptroller reimbursement to the Municipality of the upset amount and designated percentage in Schedule A of the non-overmatched non-Federal share of Federal participating cost, (the "State share"), incurred in connection with the work covered by this Agreement, subject to the limitations set forth on Schedule A. Not all Federal aid-eligible participating costs are eligible for Marchiselli aid. Only "Eligible Project Costs" (as defined in Marchiselli Program instructions issued by NYSDOT) incurred after April 1, 1991 are reimbursable.

4.2.1 *Marchiselli Eligible Project Costs.* To be eligible for Marchiselli Aid, Project costs must: (a) be eligible for Federal participation as described under 4.1 above; (b) be for work which, when completed, has a certifiable service life of at least 10 years; (c) be for work that relates directly and exclusively to a municipally-owned highway, bridge or highway-railroad crossing located off the State Highway System; and (d) be submitted for reimbursement in accordance with 4.2.2.

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4.2.2 *Marchiselli Reimbursement Requests.* A Sponsor's reimbursement requests are restricted to eligible project costs. To be classified as an "eligible project cost", in addition to other requirements of this agreement, the original expenditure must have been paid within the past 15 months in order to comply with Federal Tax Law (26 CFR 1.150-2 (d)(2)(i)) which governs fund disbursements from the issuance of tax-exempt bonds. Hence, expenditures paid greater than 15 months prior to the reimbursement request are ineligible for reimbursement.

4.2.3 *Marchiselli Extended Records Retention Requirements.*

4.2.3.1 To ensure that NYSDOT meets certain requirements under the Code of Federal Regulations, Part 26, and to ensure that NYSDOT may authorize the use of funds for this project, notwithstanding any other provision of this Contract to the contrary, the Sponsor must retain the following documents in connection with the Projects:

- a) Documents evidencing the specific assets financed with such proceeds, including but not limited to project costs, and documents evidencing the use and ownership of the property financed with proceeds of the bonds; and
- b) Documents, if any, evidencing the sale or other disposition of the financed property.

4.2.3.2 The Sponsor covenants to retain those records described above, which are used by the Sponsor in connection with the administration of this Program, for thirty-six (36) years after the date of NYSDOT's final payment of the eligible project cost(s).

4.2.3.3 Failure to maintain such records in a manner that ensures complete access thereto, for the period described above, shall constitute a material breach of the contract and may, at the discretion of NYSDOT, result in loss of funds allocated, or the Sponsor's repayment of funds distributed, to the Sponsor under this agreement.

4.3 In no event shall the State be obligated to fund or reimburse any costs exceeding:

- (a) the amount stated in Schedule A for the Federal Share; or
- (b) the amount stated in Schedule A as the State (Marchiselli) share.

4.4 All items included by the Municipality/Sponsor in the record of costs shall be in conformity with accounting procedures acceptable to NYSDOT and the FHWA. Such items shall be subject to audit by the State, the federal government or their representatives.

4.5 If Project-related work is performed by NYSDOT, NYSDOT will be paid for the full costs thereof. To effect such payment, the reimbursement to the Municipality/Sponsor provided for in sections 4.1 and 4.2 above may be reduced by NYSDOT by the amounts thereof in excess of the Municipality/Sponsor Deposit available for such payment to NYSDOT.

5. *Supplemental Agreements and Supplemental Schedule(s)* A. Supplemental Agreements or

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Supplemental Schedule(s) A may be entered into by the parties, and must be executed and approved in the manner required for a State contract. A Supplemental Schedule A is defined as a Supplemental Agreement which revises only the Schedule A of a prior Agreement or Supplemental Agreement. In the event Project cost estimates increase over the amounts provided for in Schedule A, no additional reimbursement shall be due to the Municipality/Sponsor unless the parties enter into a Supplemental Agreement or Supplemental Schedule A for reimbursement of additional Eligible Project Costs.

6. *State Recovery of Ineligible Reimbursements.* NYSDOT shall be entitled to recover from the Municipality/Sponsor any monies paid to the Municipality/Sponsor pursuant to this Agreement which are subsequently determined to be ineligible for Federal aid or Marchiselli Aid hereunder.

7. *Loss of Federal Participation.* In the event the Municipality/Sponsor withdraws its approval of the project, suspends or delays work on the Project or takes other action that results in the loss of Federal participation for the costs incurred pursuant to this Agreement, the Municipality/Sponsor shall refund to the State all reimbursements received from the State, and shall reimburse the State for 100% of all preliminary engineering and right-of-way incidental costs incurred by NYSDOT. The State may offset any other State or Federal aid due to the Municipality/Sponsor by such amount and apply such offset to satisfy such refund.

8. *Municipal/Sponsor Liability.*

8.1 If the Municipality/Sponsor performs work under this Agreement with its own forces, it shall be responsible for all damage to person or property arising from any act or negligence performed by or on behalf of the Municipality/Sponsor, its officers, agents, servants or employees, contractors, subcontractors or others in connection therewith. The Municipality/Sponsor specifically agrees that its agents or employees shall possess the experience, knowledge and character necessary to qualify them individually for the particular duties they perform.

8.2 The Municipality/Sponsor shall indemnify and save harmless the State for all damages and costs arising out of any claims, suits, actions, or proceedings resulting from the negligent performance of work by or on behalf of the Municipality/Sponsor its officers, agents, servants, employees, contractors, subcontractors or others under this Agreement. Negligent performance of service, within the meaning of this section, shall include, in addition to negligence founded upon tort, negligence based upon the Municipality/Sponsor's failure to meet professional standards and resulting in obvious or patent errors in the progression of its work. Additionally, the Municipality/Sponsor shall defend the State in any action arising out of any claims, suits, actions, or proceedings resulting from the negligent performance of work by or on behalf of the Municipality/Sponsor, its officers, agents, servants, employees, contractors, subcontractors or others under this Agreement.

8.3 The Municipality/Sponsor shall at all times during the Contract term remain responsible. The Municipality/Sponsor agrees, if requested by the Commissioner of Transportation or his or her designee, to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability, prior performance, and organizational and financial capacity.

8.4 The Commissioner of Transportation or his or her designee, in his or her sole discretion, reserves the right to suspend any or all activities under this Contract, at any time, when he or she discovers information that calls into question the responsibility of the Municipality/Sponsor.

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In the event of such suspension, the Municipality/Sponsor will be given written notice outlining the particulars of such suspension. Upon issuance of such notice, the Municipality/Sponsor must comply with the terms of the suspension order. Contract activity may resume at such time as the Commissioner of Transportation or his or her designee issues a written notice authorizing a resumption of performance under the Contract.

8.5 Upon written notice to the Municipality/Sponsor, and a reasonable opportunity to be heard with appropriate Department of Transportation officials or staff, the Contract may be terminated by the Commissioner of Transportation or his or her designee at the Municipality's/Sponsor's expense where the Municipality/Sponsor is determined by the Commissioner of Transportation or his or her designee to be non-responsible. In such event, the Commissioner of Transportation or his or her designee may complete the contractual requirements in any manner he or she may deem advisable and pursue available legal or equitable remedies for breach.

9. *Maintenance.* The Municipality/Sponsor shall be responsible for the maintenance of the project at the sole cost and expense of the Municipality/Sponsor. If the Municipality/Sponsor intends to have the project maintained by another, any necessary maintenance agreement will be executed and submitted to NYSDOT before construction of the Project is begun. Upon its completion, the Municipality/Sponsor will operate and maintain the Project at no expense to NYSDOT; and during the useful life of the Project, the Municipality/Sponsor shall not discontinue operation and maintenance of the Project, nor dispose of the Project, unless it receives prior written approval to do so from NYSDOT.

9.1 The Municipality/Sponsor may request such approved disposition from NYSDOT where the Municipality/Sponsor either causes the purchaser or transferee to assume the Municipality/Sponsor's continuing obligations under this Agreement, or agrees immediately to reimburse NYSDOT for the pro-rata share of the funds received for the project, plus any direct costs incurred by NYSDOT, over the remaining useful life of the Project.

9.2 If a Municipality/Sponsor fails to obtain prior written approval from NYSDOT before discontinuing operation and maintenance of the Project or before disposing of the project, in addition to the costs provided, above in 9.1, Municipality/Sponsor shall be liable for liquidated damages for indirect costs incurred by NYSDOT in the amount of 5% of the total Federal and non-Federal funding provided through NYSDOT.

9.3 For NYSDOT-administered projects, NYSDOT is responsible for maintenance only during the NYSDOT administered construction phase. Upon completion of the construction phase, the Municipality/Sponsor's maintenance obligations start or resume.

10. *Independent Contractor.* The officers and employees of the Municipality/Sponsor, in accordance with the status of the Municipality/Sponsor as an independent contractor, covenant and agree that they will conduct themselves consistent with such status, that they will neither hold themselves out as, nor claim to be, an officer or employee of the State by reason hereof, and that they will not by reason hereof, make any claim, demand or application to or for any right or privilege applicable to an officer or employee of the State, including, but not limited to, Workers Compensation coverage, Unemployment Insurance benefits, Social Security or Retirement membership or credit.

11. *Contract Executory; Required Federal Authorization.* It is understood by and between the parties hereto that this Agreement shall be deemed executory only to the extent of the monies available to the

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State and no liability on account thereof shall be incurred by the State beyond monies available for the purposes hereof. No phase of work for the project shall be commenced unless and until NYSDOT receives authorization from the Federal government.

12. *Assignment or Other Disposition of Agreement.* The Municipality/Sponsor agrees not to assign, transfer, convey, sublet or otherwise dispose of this Agreement or any part thereof, or of its right, title or interest therein, or its power to execute such Agreement to any person, company or corporation without previous consent in writing of the Commissioner.

13. *Term of Agreement.* As to the Project and phase(s) described in the Schedule A executed herewith, the term of this Agreement shall begin on the date of this Agreement as first above written. This Agreement shall remain in effect so long as Federal aid and Marchiselli-aid funding authorizations are in effect and funds are made available pursuant to the laws controlling such authorizations and availabilities. However, if such authorizations or availabilities lapse and are not renewed, continued or reenacted, as to funds encumbered or available and to the extent of such encumbrances or availabilities, this Agreement shall remain in effect for the duration of such encumbrances or availabilities. Although the liquidity of encumbrances or the availability of funds may be affected by budgetary hiatuses, a Federal or State budgetary hiatus will not by itself be construed to cause a lapse in this Agreement provided any necessary Federal or State appropriations or other funding authorizations therefore are eventually enacted.

13.1 *Time is of the essence (Bridge NY Projects).* The Municipality/Sponsor understands and agrees that construction of Bridge NY Projects shall commence no later than twenty-four (24) months after award, and the project must be completed within thirty (30) months of commencing construction.

14. *NYSDOT Obligations.* NYSDOT's responsibilities and obligations are as specifically set forth in this contract, and neither NYSDOT nor any of its officers or employees shall be responsible or liable, nor shall the Municipality/Sponsor assert, make or join in any claim or demand against NYSDOT, its officers or employees, for any damages or other relief based on any alleged failure of NYSDOT, its officers or employees, to undertake or perform any act, or for undertaking or performing any act, which is not specifically required or prohibited by this Agreement.

15. *Offset Rights.* In addition to any and all set-off rights provided to the State in the attached and incorporated Appendix A, Standard Clauses for New York Contracts, NYSDOT shall be entitled to recover and offset from the Municipality/Sponsor any ineligible reimbursements and any direct or indirect costs to the State as to paragraph 6 above, as well as any direct or indirect costs incurred by the State for any breach of the term of this agreement, including, but not limited to, the useful life requirements in paragraph 9 above. At its sole discretion NYSDOT shall have the option to permanently withhold and offset such direct and indirect cost against any monies due to the Municipality/Sponsor from the State of New York for any other reason, from any other source, including but not limited to, any other Federal or State Local Project Funding, and/or any Consolidated Highway and Local Street Improvement Program (CHIPS) funds

16. *Reporting Requirements.* The Municipality/Sponsor agrees to comply with and submit to NYSDOT in a timely manner all applicable reports required under the provisions of this Agreement and the Procedures for Locally Administered Federal aid Projects manual and in accordance with current Federal and State laws, rules, and regulations.

17. *Notice Requirements.*

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- 17.1 All notices permitted or required hereunder shall be in writing and shall be transmitted:
- (a) Via certified or registered United States mail, return receipt requested;
 - (b) By facsimile transmission;
 - (c) By personal delivery;
 - (d) By expedited delivery service; or
 - (e) By e-mail.

Such notices shall be address as follows or to such different addresses as the parties may from time-to-time designate:

New York State Department of Transportation (NYSDOT)

Name: Orietta V. Trocard

Title: Regional Local Projects Liaison

Address: 4 Burnett Boulevard, Poughkeepsie, NY 12603

Telephone Number: 845-431-5811

Facsimile Number: 845-431-5988

E-Mail Address: orietta.trocard@dot.ny.gov / marshall.gioia@dot.ny.gov

[Municipality/Sponsor] City of Newburgh

Name: Joseph P. Donat / Jason Morris, P.E.

Title: City Manager / City Engineer

Address: 83 Broadway, Newburgh, NY 12550

Telephone Number: 845-569-7446 / 845-569-7448

Facsimile Number: 845-569-7349

E-Mail Address: jmorris@cityofnewburgh-ny.gov

- 17.2 Any such notice shall be deemed to have been given either at the time of personal delivery or, in the case of expedited delivery service or certified or registered United States Mail, as of the date of first attempted delivery at the address and in the manner provided herein, or in the case of facsimile transmission or email, upon receipt. The parties may, from time to time, specify any new or different address in the United States as their address for purpose of receiving notice under this Agreement by giving fifteen (15) days written notice to the other party sent in accordance herewith. The parties agree to mutually designate individuals as their respective representatives for the purposes of receiving notices under this Agreement. Additional individuals may be designated in writing by the parties for purposes of implementation and administration/billing, resolving issues and problems and/or for dispute resolution.

18. *Electronic Contract Payments.* Municipality/Sponsor shall provide complete and accurate supporting documentation of eligible local expenditures as required by this Agreement, NYSDOT and the State Comptroller. Following NYSDOT approval of such supporting documentation, payment for invoices submitted by the Municipality/Sponsor shall only be rendered electronically unless payment by paper check is expressly authorized by the Commissioner, in the Commissioner's sole discretion, due to extenuating circumstances. Such electronic payment shall be made in accordance with ordinary State procedures and practices. The contracting local Municipality/Sponsor shall comply with the State Comptroller's procedures for all Federal and applicable State Aid to authorize electronic payments. Authorization forms are available on the State Comptroller's website at

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www.osc.state.ny.us/epay/index.htm or by email at epunit@osc.state.ny.us. When applicable to State Marchiselli and other State reimbursement by the State Comptroller, registration forms and instructions can be found at the NYSDOT [Electronic Payment Guidelines](#) website.

The Municipality/Sponsor herein acknowledges that it will not receive payment on any invoices submitted under this agreement if it does not comply with the applicable State Comptroller and/or NYS State Comptroller's electronic payment procedures, except where the Commissioner has expressly authorized payment by paper check as set forth above.

19. *Compliance with Legal Requirements.* Municipality/Sponsor must comply with all applicable federal, state and local laws, rules and regulations, including but not limited to the following:

19.1 Title 49 of the Code of Federal Regulations Part 26 (49 CFR 26), Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs; Title 23 Code of Federal Regulations Part 230 (23 CFR 230), External Programs; and, Title 41 of the Code of Federal Regulations Part 60 Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor, including the requirements thereunder related to utilization goals for contracting opportunities for disadvantaged business enterprises (DBEs) and equal employment opportunity.

19.1.1 If the Municipality/Sponsor fails to monitor and administer contracts funded in whole or in part in accordance with Federal requirements, the Municipality/Sponsor will not be reimbursed for ineligible activities within the affected contracts. The Municipality/Sponsor must ensure that the prime contractor has a Disadvantaged Business Enterprise (DBE) Utilization Plan and complies with such plan. If, without prior written approval by NYSDOT, the Municipality/Sponsor's contractors and subcontractors fail to complete work for the project as proposed in the DBE Schedule of Utilization, NYSDOT at its discretion may (1) cancel, terminate or suspend this agreement or such portion of this agreement or (2) assess liquidated damages in an amount of up to 20% of the pro rata share of the Municipality/Sponsor's contracts and subcontracts funded in whole or in part by this agreement for which contract goals have been established.

19.2 New York State Environmental Law, Article 6, the State Smart Growth Public Infrastructure Policy Act, including providing true, timely and accurate information relating to the project to ensure compliance with the Act.

19.3 28 CFR 35.105, which requires a Municipality/Sponsor employing 50 or more persons to prepare a Transition Plan addressing compliance with the Americans with Disabilities Act (ADA).

20. *Compliance with Procedural Requirements.* The Municipality/Sponsor understands that funding is contingent upon the Municipality/Sponsor's compliance with the applicable requirements of the Procedures for Locally Administered Federal Aid Projects (PLAFAP) manual, which, as such, may be amended from time to time. Locally administered Federal aid transportation projects must be constructed in accordance with the current version of NYSDOT Standard Specifications; Construction and Materials, including any and all modifications to the Standard Specifications issued by the Engineering Information Issuance System, and NYSDOT-approved Special Specifications for general use. (Cities with a population of 3 million or more may pursue approval of their own construction specifications and procedures on a project by project basis).

MUNICIPALITY/SPONSOR: City of Newburgh

PROJECT ID NUMBER: 8761.57 BIN: 2022260

CFDA NUMBER: 20.205

PHASE: PER SCHEDULES A

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their duly authorized officials as of the date first above written.

MUNICIPALITY/SPONSOR:

MUNICIPALITY/SPONSOR ATTORNEY:

By: _____

By: _____

*As per Resolution No. -2019

Print Name: Joseph P. Donat

Print Name: Michelle Kelson, Corporation Counsel

Title: City Manager

MUNICIPALITY/SPONSOR FINANCE:

By: _____

STATE OF NEW YORK)
)ss.:
COUNTY OF **ORANGE**)

Todd Venning, City Comptroller

On this _____ day of August, 2019 before me personally came Joseph P. Donat to me known, who, being by me duly sworn did depose and say that he/she resides at 83 Broadway, Newburgh, New York; that he/she is the City Manager of the Municipal/Sponsor Corporation described in and which executed the above instrument; (except New York City) that it was executed by order of the City Council of said Municipal/Sponsor Corporation pursuant to a resolution which was duly adopted on August 12, 2019 and which a certified copy is attached and made a part hereof; and that he/she signed his name thereto by like order.

Notary Public

APPROVED FOR NYSDOT:

APPROVED AS TO FORM:
STATE OF NEW YORK ATTORNEY GENERAL

By: _____
For Commissioner of Transportation

By: _____
Assistant Attorney General

Agency Certification: In addition to the acceptance of this contract I also certify that original copies of this signature page will be attached to all other exact copies of this contract.

COMPTROLLER'S APPROVAL:

Date: _____

By: _____
For the New York State Comptroller
Pursuant to State Finance Law §112

A. Summary of <u>allocated</u> MARCHISELLI Program Costs FOR ALL PHASES <small>For each PIN Fiscal Share below, show current costs on the rows indicated as “Current.”. Show the old costs from the previous Schedule A on the row indicated as “Old.” All totals will calculate automatically.</small>							
PIN Fiscal Share	“Current” or “Old” entry indicator	Federal Funding	Total Costs	FEDERAL Participating Share	STATE MARCHISELLI Match	LOCAL Matching Share	LOCAL DEPOSIT AMOUNT (Required only if State Administered)
.	Current		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
.	Current		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
.	Current		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
.	Current		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
.	Current		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
.	Current		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
.	Current		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL CURRENT COSTS:			\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

NYSDOT/State-Local Agreement – Schedule A

B. Summary of Other (including Non-allocated MARCHISELLI) Participating Costs FOR ALL PHASES For each PIN Fiscal Share, show current costs on the rows indicated as "Current." Show the old costs from the previous Schedule A on the row indicated as "Old." All totals will calculate automatically.

Other PIN Fiscal Shares	'Current' or 'Old' entry indicator	Funding Source	TOTAL	Other FEDERAL	Other STATE	Other LOCAL
8761.57.321	Current	NHPP	\$1,000,000.00	\$950,000.00	\$0.00	\$50,000.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
	Current		\$ 0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
	Current		\$ 0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
	Current		\$ 0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
	Current		\$ 0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
	Current		\$ 0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
	Current		\$ 0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
	Current		\$ 0.00	\$0.00	\$0.00	\$0.00
	Old		\$ 0.00	\$0.00	\$0.00	\$0.00
TOTAL CURRENT COSTS:			\$1,000,000.00	\$950,000.00	\$ 0.00	\$50,000.00

C. Local Deposit(s) from Section A:

\$ 0.00

Additional Local Deposit(s)

\$

Total Local Deposit(s)

\$ 0.00

D. Total Project Costs All totals will calculate automatically.

Total FEDERAL Cost	Total STATE MARCHISELLI Cost	Total OTHER STATE Cost	Total LOCAL Cost	Total ALL SOURCES Cost
\$950,000.00	\$ 0.00	\$ 0.00	\$50,000.00	\$1,000,000.00

E. Point of Contact for Questions Regarding this Schedule A (Must be completed)

 Name: Marshall Gioia
 Phone No: 845-431-5804

See Agreement (or Supplemental Agreement Cover) for required contract signatures.

- Project description continued: The project will rehabilitate the existing metal arch culvert by adding new prefabricated corrugated arch plates along the inside face of the existing structure. Non-shrink grout will be utilized and the existing culvert will remain in place. The existing roadway will be restored as necessary in the immediate proximity of the bridge.
- This project is funded under the Bridge NY program with a 95% federal match not to exceed \$950,000.
- This Schedule A includes the construction and construction inspection phase and funds.
-
-
-
-
-
-
-

SCHEDULE B: Phases, Sub-phase/Tasks, and Allocation of Responsibility

Instructions: Identify the responsibility for each applicable Sub-phase task by entering X in either the *NYSDOT* column to allocate the task to State labor forces or a State Contract, or in the *Sponsor* column indicating non-State labor forces or a locally administered contract.

A1. Preliminary Engineering ("PE") Phase

<u>Phase/Sub-phase/Task</u>	<u>Responsibility: NYSDOT Sponsor</u>	
1. <u>Scoping</u> : Prepare and distribute all required project reports, including an Expanded Project Proposal (EPP) or Scoping Summary Memorandum (SSM), as appropriate.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. Perform data collection and analysis for design, including traffic counts and forecasts, accident data, Smart Growth checklist, land use and development analysis and forecasts.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Smart Growth Attestation (NYSDOT ONLY).	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. <u>Preliminary Design</u> : Prepare and distribute Design Report/Design Approval Document (DAD), including environmental analysis/assessments, and other reports required to demonstrate the completion of specific design sub-phases or tasks and/or to secure the approval/authorization to proceed.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5. Review and Circulate all project reports, plans, and other project data to obtain the necessary review, approval, and/or other input and actions required of other NYSDOT units and external agencies.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Obtain aerial photography and photogrammetric mapping.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7. Perform all surveys for mapping and design.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8. <u>Detailed Design</u> : Perform all project design, including preparation of plan sheets, cross-sections, profiles, detail sheets, specialty items, shop drawings, and other items required in accordance with the Highway Design Manual, including all Highway Design, including pavement evaluations, including taking and analyzing cores; design of Pavement mixes and applications procedures; preparation of bridge site data package, if necessary, and all Structural Design, including hydraulic analyses, if necessary, foundation design, and all design of highway appurtenances and systems [e.g., Signals, Intelligent Transportation System (ITS) facilities], and maintenance protection of traffic plans. Federal Railroad Administration (FRA) criteria will apply to rail work.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9. Perform landscape design (including erosion control).	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10. Design environmental mitigation, where appropriate, in connection with: Noise readings, projections, air quality monitoring, emissions projections, hazardous waste, asbestos, determination of need of cultural resources survey.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<u>Phase/Sub-phase/Task</u>	Responsibility: <u>NYSDOT</u>	<u>Sponsor</u>
11. Prepare demolition contracts, utility relocation plans/contracts, and any other plans and/or contract documents required to advance, separate, any portions of the project which may be more appropriately progressed separately and independently.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
12. Compile PS&E package, including all plans, proposals, specifications, estimates, notes, special contract requirements, and any other contract documents necessary to advance the project to construction.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13. Conduct any required soils and other geological investigations.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14. Obtain utility information, including identifying the locations and types of utilities within the project area, the ownership of these utilities, and prepare utility relocations plans and agreements, including completion of Form HC-140, titled Preliminary Utility Work Agreement.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
15. Determine the need and apply for any required permits, including U.S. Coast Guard, U.S. Army Corps of Engineers, Wetlands (including identification and delineation of wetlands), SPDES, NYSDOT Highway Work Permits, and any permits or other approvals required to comply with local laws, such as zoning ordinances, historic districts, tax assessment and special districts.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16. Prepare and execute any required agreements, including: <ul style="list-style-type: none"> - Railroad force account - Maintenance agreements for sidewalks, lighting, signals, betterments - Betterment Agreements - Utility Work Agreements for any necessary Utility Relocations of Privately owned Utilities 	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17. Provide overall supervision/oversight of design to assure conformity with Federal and State design standards or conditions, including final approval of PS&E (Contract Bid Documents) by NYSDOT.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

A2. Right-of-Way (ROW) Incidentals

<u>Phase/Sub-phase/Task</u>	Responsibility: <u>NYSDOT</u>	<u>Sponsor</u>
1. Prepare ARM or other mapping, showing preliminary taking lines.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. ROW mapping and any necessary ROW relocation plans.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Obtain abstracts of title and certify those having an interest in ROW to be acquired.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4. Secure Appraisals.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5. Perform Appraisal Review and establish an amount representing just compensation.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Phase/Sub-phase/TaskResponsibility: **NYSDOT** **Sponsor**

- | | | |
|---|--------------------------|-------------------------------------|
| 6. Determination of exemption from public hearing that is otherwise required by the Eminent Domain Procedure Law, including <i>de minimis</i> determination, as may be applicable. If NYSDOT is responsible for acquiring the right-of-way, this determination may be performed by NYSDOT only if NYSDOT is responsible for the Preliminary Engineering Phase under Phase A1 of this Schedule B. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 7. Conduct any public hearings and/or informational meetings as may be required by the Eminent Domain Procedures Law, including the provision of stenographic services, preparation and distribution of transcripts, and response to issues raised at such meetings. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

B. Right-of-Way (ROW) Acquisition**Phase/Sub-phase/Task**Responsibility: **NYSDOT** **Sponsor**

- | | | |
|---|--------------------------|-------------------------------------|
| 1. Perform all Right-of-Way (ROW) Acquisition work, including negotiations with property owners, acquisition of properties and accompanying legal work, payments to and/or deposits on behalf of property owners; Prepare, publish, and pay for any required legal notices; and all other actions necessary to secure title to, possession of, and entry to required properties. If NYSDOT is to acquire property, including property described as an uneconomic remainder, on behalf of the Municipality/Sponsor, the Municipality/Sponsor agrees to accept and take title to any and all permanent property rights so acquired which form a part of the completed Project. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 2. Provide required relocation assistance, including payment of moving expenses, replacement supplements, mortgage interest differentials, closing costs, mortgage prepayment fees. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 3. Conduct eminent domain proceedings, court and any other legal actions required to acquire properties. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 4. Monitor all ROW Acquisition work and activities, including review and processing of payments of property owners. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 5. Provide official certification that all right-of-way required for the construction has been acquired in compliance with applicable Federal, State or Local requirements and is available for use and/or making projections of when such property(ies) will be available if such properties are not in hand at the time of contract award. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 6. Conduct any property management activities, including establishment and collecting rents, building maintenance and repairs, and any other activities necessary to sustain properties and/or tenants until the sites are vacated, demolished, or otherwise used for the construction project. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 7. Subsequent to completion of the Project, conduct ongoing property management activities in a manner consistent with applicable Federal, State and Local requirements including, as applicable, the development of any ancillary uses, establishment and collection of rent, property maintenance and any other related activities. | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

C. Construction, Construction Support (C/S) and Construction Inspection (C/I) Phase

<u>Phase/Sub-phase/Task</u>	<u>Responsibility: NYSDOT Sponsor</u>	
1. Advertise contract lettings and distribute contract documents to prospective bidders.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. Conduct all contract lettings, including receipt, opening, and analysis of bids, evaluation/certification of bidders, notification of rejected bids/bidders, and awarding of the construction contract(s).	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Receive and process bid deposits and verify any bidder's insurance and bond coverage that may be required.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4. Compile and submit Contract Award Documentation Package.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5. Review/approve any proposed subcontractors, vendors, or suppliers.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Conduct and control all construction activities in accordance with the plans and proposal for the project. Maintain accurate, up-to-date project records and files, including all diaries and logs, to provide a detailed chronology of project construction activities. Procure or provide all materials, supplies and labor for the performance of the work on the project, and insure that the proper materials, equipment, human resources, methods and procedures are used.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7a. For non-NHS or non-State Highway System Projects: Test and accept materials, including review and approval for any requests for substitutions.	<input type="checkbox"/>	<input type="checkbox"/>
7b. For NHS or State Highway System Projects: Inspection and approval of materials such as bituminous concrete, Portland cement concrete, structural steel, concrete structural elements and/or their components to be used in a federal aid project will be performed by, and according to the requirements of NYSDOT. The Municipality/Sponsor shall make or require provision for such materials inspection in any contract or subcontract that includes materials that are subject to inspection and approval in accordance with the applicable NYSDOT design and construction standards associated with the federal aid project.	<input type="checkbox"/>	<input type="checkbox"/>
7c. For projects that fall under both 7a and 7b above, check boxes for each.		
8. Design and/or re-design the project or any portion of the project that may be required because of conditions encountered during construction.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9. Administer construction contract, including the review and approval of all contractor requests for payment, orders-on-contract, force account work, extensions of time, exceptions to the plans and specifications, substitutions or equivalents, and special specifications.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10. Review and approve all shop drawings, fabrication details, and other details of structural work.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11. Administer all construction contract claims, disputes or litigation.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Phase/Sub-phase/Task

Responsibility: NYSDOT Sponsor

- | | | |
|--|-------------------------------------|-------------------------------------|
| 12. Perform final inspection of the complete work to determine and verify final quantities, prices, and compliance with plans specifications, and such other construction engineering supervision and inspection work necessary to conform to Municipal, State and FHWA requirements, including the final acceptance of the project by NYSDOT. | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| 13. Pursuant to Federal Regulation 49 CFR 18.42(e)(1) The awarding agency and the Comptroller General of the United States, or any of their authorized representatives, shall have the right of access to any pertinent books, documents, papers, or other records of grantees and subgrantees which are pertinent to the grant, in order to make audits, examinations, excerpts, and transcripts. | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

APPENDIX A

STANDARD CLAUSES FOR NEW YORK STATE CONTRACTS

**PLEASE RETAIN THIS DOCUMENT
FOR FUTURE REFERENCE.**

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STANDARD CLAUSES FOR NYS CONTRACTS

The parties to the attached contract, license, lease, amendment or other agreement of any kind (hereinafter, "the contract" or "this contract") agree to be bound by the following clauses which are hereby made a part of the contract (the word "Contractor" herein refers to any party other than the State, whether a contractor, licensor, licensee, lessor, lessee or any other party):

1. EXECUTORY CLAUSE. In accordance with Section 41 of the State Finance Law, the State shall have no liability under this contract to the Contractor or to anyone else beyond funds appropriated and available for this contract.

2. NON-ASSIGNMENT CLAUSE. In accordance with Section 138 of the State Finance Law, this contract may not be assigned by the Contractor or its right, title or interest therein assigned, transferred, conveyed, sublet or otherwise disposed of without the State's previous written consent, and attempts to do so are null and void. Notwithstanding the foregoing, such prior written consent of an assignment of a contract let pursuant to Article XI of the State Finance Law may be waived at the discretion of the contracting agency and with the concurrence of the State Comptroller where the original contract was subject to the State Comptroller's approval, where the assignment is due to a reorganization, merger or consolidation of the Contractor's business entity or enterprise. The State retains its right to approve an assignment and to require that any Contractor demonstrate its responsibility to do business with the State. The Contractor may, however, assign its right to receive payments without the State's prior written consent unless this contract concerns Certificates of Participation pursuant to Article 5-A of the State Finance Law.

3. COMPTROLLER'S APPROVAL. In accordance with Section 112 of the State Finance Law (or, if this contract is with the State University or City University of New York, Section 355 or Section 6218 of the Education Law), if this contract exceeds \$50,000 (or the minimum thresholds agreed to by the Office of the State Comptroller for certain S.U.N.Y. and C.U.N.Y. contracts), or if this is an amendment for any amount to a contract which, as so amended, exceeds said statutory amount, or if, by this contract, the State agrees to give something other than money when the value or reasonably estimated value of such consideration exceeds \$10,000, it shall not be valid, effective or binding upon the State until it has been approved by the State Comptroller and filed in his office. Comptroller's approval of contracts let by the Office of General Services is required when such contracts exceed \$85,000 (State Finance Law Section 163.6-a). However, such pre-approval shall not be required for any contract established as a centralized contract through the Office of General Services or for a purchase order or other transaction issued under such centralized contract.

4. WORKERS' COMPENSATION BENEFITS. In accordance with Section 142 of the State Finance Law, this contract shall be void and of no force and effect unless the Contractor shall provide and maintain coverage during the life of this contract for the benefit of such employees as are required to be covered by the provisions of the Workers' Compensation Law.

5. NON-DISCRIMINATION REQUIREMENTS. To the extent required by Article 15 of the Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, sex (including gender identity or expression), national origin, sexual orientation, military status, age, disability, predisposing genetic characteristics, marital status or domestic violence victim status. Furthermore, in accordance with Section 220-e of the Labor Law, if this is a contract for the construction, alteration or repair of any public building or public work or for the manufacture, sale or distribution of materials, equipment or supplies, and to the extent that this contract shall be performed within the State of New York, Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, disability, sex, or national origin: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. If this is a building service contract as defined in Section 230 of the Labor Law, then, in accordance with Section 239 thereof, Contractor agrees that neither it nor its subcontractors shall by reason of race, creed, color, national origin, age, sex or disability: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. Contractor is subject to fines of \$50.00 per person per day for any violation of Section 220-e or Section 239 as well as possible termination of this contract and forfeiture of all moneys due hereunder for a second or subsequent violation.

6. WAGE AND HOURS PROVISIONS. If this is a public work contract covered by Article 8 of the Labor Law or a building service contract covered by Article 9 thereof, neither Contractor's employees nor the employees of its subcontractors may be required or permitted to work more than the number of hours or days stated in said statutes, except as otherwise provided in the Labor Law and as set forth in prevailing wage and supplement schedules issued by the State Labor Department. Furthermore, Contractor and its subcontractors must pay at least the prevailing wage rate and pay or provide the prevailing supplements, including the premium rates for overtime pay, as determined by the State Labor Department in accordance with the Labor Law. Additionally, effective April 28, 2008, if this is a public work contract covered by Article 8 of the Labor Law, the Contractor

understands and agrees that the filing of payrolls in a manner consistent with Subdivision 3-a of Section 220 of the Labor Law shall be a condition precedent to payment by the State of any State approved sums due and owing for work done upon the project.

7. NON-COLLUSIVE BIDDING CERTIFICATION. In accordance with Section 139-d of the State Finance Law, if this contract was awarded based upon the submission of bids, Contractor affirms, under penalty of perjury, that its bid was arrived at independently and without collusion aimed at restricting competition. Contractor further affirms that, at the time Contractor submitted its bid, an authorized and responsible person executed and delivered to the State a non-collusive bidding certification on Contractor's behalf.

8. INTERNATIONAL BOYCOTT PROHIBITION. In accordance with Section 220-f of the Labor Law and Section 139-h of the State Finance Law, if this contract exceeds \$5,000, the Contractor agrees, as a material condition of the contract, that neither the Contractor nor any substantially owned or affiliated person, firm, partnership or corporation has participated, is participating, or shall participate in an international boycott in violation of the federal Export Administration Act of 1979 (50 USC App. Sections 2401 et seq.) or regulations thereunder. If such Contractor, or any of the aforesaid affiliates of Contractor, is convicted or is otherwise found to have violated said laws or regulations upon the final determination of the United States Commerce Department or any other appropriate agency of the United States subsequent to the contract's execution, such contract, amendment or modification thereto shall be rendered forfeit and void. The Contractor shall so notify the State Comptroller within five (5) business days of such conviction, determination or disposition of appeal (2NYCRR 105.4).

9. SET-OFF RIGHTS. The State shall have all of its common law, equitable and statutory rights of set-off. These rights shall include, but not be limited to, the State's option to withhold for the purposes of set-off any moneys due to the Contractor under this contract up to any amounts due and owing to the State with regard to this contract, any other contract with any State department or agency, including any contract for a term commencing prior to the term of this contract, plus any amounts due and owing to the State for any other reason including, without limitation, tax delinquencies, fee delinquencies or monetary penalties relative thereto. The State shall exercise its set-off rights in accordance with normal State practices including, in cases of set-off pursuant to an audit, the finalization of such audit by the State agency, its representatives, or the State Comptroller.

10. RECORDS. The Contractor shall establish and maintain complete and accurate books, records, documents, accounts and other evidence directly pertinent to performance under this contract (hereinafter, collectively, "the Records"). The Records must be kept for the balance of the calendar year in which they were made and for six (6) additional years

thereafter. The State Comptroller, the Attorney General and any other person or entity authorized to conduct an examination, as well as the agency or agencies involved in this contract, shall have access to the Records during normal business hours at an office of the Contractor within the State of New York or, if no such office is available, at a mutually agreeable and reasonable venue within the State, for the term specified above for the purposes of inspection, auditing and copying. The State shall take reasonable steps to protect from public disclosure any of the Records which are exempt from disclosure under Section 87 of the Public Officers Law (the "Statute") provided that: (i) the Contractor shall timely inform an appropriate State official, in writing, that said records should not be disclosed; and (ii) said records shall be sufficiently identified; and (iii) designation of said records as exempt under the Statute is reasonable. Nothing contained herein shall diminish, or in any way adversely affect, the State's right to discovery in any pending or future litigation.

11. IDENTIFYING INFORMATION AND PRIVACY NOTIFICATION. (a) Identification Number(s). Every invoice or New York State Claim for Payment submitted to a New York State agency by a payee, for payment for the sale of goods or services or for transactions (e.g., leases, easements, licenses, etc.) related to real or personal property must include the payee's identification number. The number is any or all of the following: (i) the payee's Federal employer identification number, (ii) the payee's Federal social security number, and/or (iii) the payee's Vendor Identification Number assigned by the Statewide Financial System. Failure to include such number or numbers may delay payment. Where the payee does not have such number or numbers, the payee, on its invoice or Claim for Payment, must give the reason or reasons why the payee does not have such number or numbers.

(b) Privacy Notification. (1) The authority to request the above personal information from a seller of goods or services or a lessor of real or personal property, and the authority to maintain such information, is found in Section 5 of the State Tax Law. Disclosure of this information by the seller or lessor to the State is mandatory. The principal purpose for which the information is collected is to enable the State to identify individuals, businesses and others who have been delinquent in filing tax returns or may have understated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for tax administration purposes and for any other purpose authorized by law. (2) The personal information is requested by the purchasing unit of the agency contracting to purchase the goods or services or lease the real or personal property covered by this contract or lease. The information is maintained in the Statewide Financial System by the Vendor Management Unit within the Bureau of State Expenditures, Office of the State Comptroller, 110 State Street, Albany, New York 12236.

12. EQUAL EMPLOYMENT OPPORTUNITIES FOR MINORITIES AND WOMEN. In accordance with Section

312 of the Executive Law and 5 NYCRR 143, if this contract is: (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000.00, whereby a contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; or (ii) a written agreement in excess of \$100,000.00 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; or (iii) a written agreement in excess of \$100,000.00 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project, then the following shall apply and by signing this agreement the Contractor certifies and affirms that it is Contractor's equal employment opportunity policy that:

(a) The Contractor will not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status, shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on State contracts and will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. Affirmative action shall mean recruitment, employment, job assignment, promotion, upgradings, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation;

(b) at the request of the contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein; and

(c) the Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the State contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

Contractor will include the provisions of "a", "b", and "c" above, in every subcontract over \$25,000.00 for the construction, demolition, replacement, major repair, renovation, planning or design of real property and improvements thereon (the "Work") except where the Work is for the beneficial use of the Contractor. Section 312 does not apply to: (i) work, goods or services unrelated to this contract;

or (ii) employment outside New York State. The State shall consider compliance by a contractor or subcontractor with the requirements of any federal law concerning equal employment opportunity which effectuates the purpose of this section. The contracting agency shall determine whether the imposition of the requirements of the provisions hereof duplicate or conflict with any such federal law and if such duplication or conflict exists, the contracting agency shall waive the applicability of Section 312 to the extent of such duplication or conflict. Contractor will comply with all duly promulgated and lawful rules and regulations of the Department of Economic Development's Division of Minority and Women's Business Development pertaining hereto.

13. CONFLICTING TERMS. In the event of a conflict between the terms of the contract (including any and all attachments thereto and amendments thereof) and the terms of this Appendix A, the terms of this Appendix A shall control.

14. GOVERNING LAW. This contract shall be governed by the laws of the State of New York except where the Federal supremacy clause requires otherwise.

15. LATE PAYMENT. Timeliness of payment and any interest to be paid to Contractor for late payment shall be governed by Article 11-A of the State Finance Law to the extent required by law.

16. NO ARBITRATION. Disputes involving this contract, including the breach or alleged breach thereof, may not be submitted to binding arbitration (except where statutorily authorized), but must, instead, be heard in a court of competent jurisdiction of the State of New York.

17. SERVICE OF PROCESS. In addition to the methods of service allowed by the State Civil Practice Law & Rules ("CPLR"), Contractor hereby consents to service of process upon it by registered or certified mail, return receipt requested. Service hereunder shall be complete upon Contractor's actual receipt of process or upon the State's receipt of the return thereof by the United States Postal Service as refused or undeliverable. Contractor must promptly notify the State, in writing, of each and every change of address to which service of process can be made. Service by the State to the last known address shall be sufficient. Contractor will have thirty (30) calendar days after service hereunder is complete in which to respond.

18. PROHIBITION ON PURCHASE OF TROPICAL HARDWOODS. The Contractor certifies and warrants that all wood products to be used under this contract award will be in accordance with, but not limited to, the specifications and provisions of Section 165 of the State Finance Law, (Use of Tropical Hardwoods) which prohibits purchase and use of tropical hardwoods, unless specifically exempted, by the State or any governmental agency or political subdivision or public benefit corporation. Qualification for an exemption under this

law will be the responsibility of the contractor to establish to meet with the approval of the State.

In addition, when any portion of this contract involving the use of woods, whether supply or installation, is to be performed by any subcontractor, the prime Contractor will indicate and certify in the submitted bid proposal that the subcontractor has been informed and is in compliance with specifications and provisions regarding use of tropical hardwoods as detailed in §165 State Finance Law. Any such use must meet with the approval of the State; otherwise, the bid may not be considered responsive. Under bidder certifications, proof of qualification for exemption will be the responsibility of the Contractor to meet with the approval of the State.

19. MACBRIDE FAIR EMPLOYMENT PRINCIPLES (APPLICABLE ONLY IN NON-FEDERAL AID NEW YORK STATE CONTRACTS). In accordance with the MacBride Fair Employment Principles (Chapter 807 of the Laws of 1992), the Contractor hereby stipulates that the Contractor either (a) has no business operations in Northern Ireland, or (b) shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Fair Employment Principles (as described in Section 165 of the New York State Finance Law), and shall permit independent monitoring of compliance with such principles.

20. OMNIBUS PROCUREMENT ACT OF 1992 (APPLICABLE ONLY IN NON-FEDERAL AID NEW YORK STATE CONTRACTS). It is the policy of New York State to maximize opportunities for the participation of New York State business enterprises, including minority and women-owned business enterprises as bidders, subcontractors and suppliers on its procurement contracts.

Information on the availability of New York State subcontractors and suppliers is available from:

NYS Department of Economic Development
Division for Small Business
Albany, New York 12245
Telephone: 518-292-5100
Fax: 518-292-5884
email: opa@esd.ny.gov

A directory of certified minority and women-owned business enterprises is available from:

NYS Department of Economic Development
Division of Minority and Women's Business Development
633 Third Avenue
New York, NY 10017
212-803-2414
email: mwbecertification@esd.ny.gov
<https://ny.newnyccontracts.com/FrontEnd/VendorSearchPublic.asp>

The Omnibus Procurement Act of 1992 requires that by signing this bid proposal or contract, as applicable, Contractors certify that whenever the total bid amount is greater than \$1 million:

(a) The Contractor has made reasonable efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors, including certified minority and women-owned business enterprises, on this project, and has retained the documentation of these efforts to be provided upon request to the State;

(b) The Contractor has complied with the Federal Equal Opportunity Act of 1972 (P.L. 92-261), as amended;

(c) The Contractor agrees to make reasonable efforts to provide notification to New York State residents of employment opportunities on this project through listing any such positions with the Job Service Division of the New York State Department of Labor, or providing such notification in such manner as is consistent with existing collective bargaining contracts or agreements. The Contractor agrees to document these efforts and to provide said documentation to the State upon request; and

(d) The Contractor acknowledges notice that the State may seek to obtain offset credits from foreign countries as a result of this contract and agrees to cooperate with the State in these efforts.

21. RECIPROCITY AND SANCTIONS PROVISIONS. Bidders are hereby notified that if their principal place of business is located in a country, nation, province, state or political subdivision that penalizes New York State vendors, and if the goods or services they offer will be substantially produced or performed outside New York State, the Omnibus Procurement Act 1994 and 2000 amendments (Chapter 684 and Chapter 383, respectively) require that they be denied contracts which they would otherwise obtain. NOTE: As of May 15, 2002, the list of discriminatory jurisdictions subject to this provision includes the states of South Carolina, Alaska, West Virginia, Wyoming, Louisiana and Hawaii. Contact NYS Department of Economic Development for a current list of jurisdictions subject to this provision.

22. COMPLIANCE WITH NEW YORK STATE INFORMATION SECURITY BREACH AND NOTIFICATION ACT. Contractor shall comply with the provisions of the New York State Information Security Breach and Notification Act (General Business Law Section 899-aa; State Technology Law Section 208).

23. COMPLIANCE WITH CONSULTANT DISCLOSURE LAW. If this is a contract for consulting services, defined for purposes of this requirement to include analysis, evaluation, research, training, data processing, computer programming, engineering, environmental, health,

and mental health services, accounting, auditing, paralegal, legal or similar services, then, in accordance with Section 163 (4-g) of the State Finance Law (as amended by Chapter 10 of the Laws of 2006), the Contractor shall timely, accurately and properly comply with the requirement to submit an annual employment report for the contract to the agency that awarded the contract, the Department of Civil Service and the State Comptroller.

24. PROCUREMENT LOBBYING. To the extent this agreement is a "procurement contract" as defined by State Finance Law Sections 139-j and 139-k, by signing this agreement the contractor certifies and affirms that all disclosures made in accordance with State Finance Law Sections 139-j and 139-k are complete, true and accurate. In the event such certification is found to be intentionally false or intentionally incomplete, the State may terminate the agreement by providing written notification to the Contractor in accordance with the terms of the agreement.

25. CERTIFICATION OF REGISTRATION TO COLLECT SALES AND COMPENSATING USE TAX BY CERTAIN STATE CONTRACTORS, AFFILIATES AND SUBCONTRACTORS.

To the extent this agreement is a contract as defined by Tax Law Section 5-a, if the contractor fails to make the certification required by Tax Law Section 5-a or if during the term of the contract, the Department of Taxation and Finance or the covered agency, as defined by Tax Law 5-a, discovers that the certification, made under penalty of perjury, is false, then such failure to file or false certification shall be a material breach of this contract and this contract may be terminated, by providing written notification to the Contractor in accordance with the terms of the agreement, if the covered agency determines that such action is in the best interest of the State.

26. IRAN DIVESTMENT ACT. By entering into this Agreement, Contractor certifies in accordance with State Finance Law §165-a that it is not on the "Entities Determined to be Non-Responsive Bidders/Offerers pursuant to the New York State Iran Divestment Act of 2012" ("Prohibited Entities List") posted at:

<http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf>

Contractor further certifies that it will not utilize on this Contract any subcontractor that is identified on the Prohibited Entities List. Contractor agrees that should it seek to renew or extend this Contract, it must provide the same certification at the time the Contract is renewed or extended. Contractor also agrees that any proposed Assignee of this Contract will be required to certify that it is not on the Prohibited Entities List before the contract assignment will be approved by the State.

During the term of the Contract, should the state agency receive information that a person (as defined in State Finance Law §165-a) is in violation of the above-referenced certifications, the state agency will review such information and offer the person an opportunity to respond. If the person

fails to demonstrate that it has ceased its engagement in the investment activity which is in violation of the Act within 90 days after the determination of such violation, then the state agency shall take such action as may be appropriate and provided for by law, rule, or contract, including, but not limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

The state agency reserves the right to reject any bid, request for assignment, renewal or extension for an entity that appears on the Prohibited Entities List prior to the award, assignment, renewal or extension of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the Prohibited Entities list after contract award.

APPENDIX A-1: SUPPLEMENTAL TITLE VI PROVISIONS (CIVIL RIGHTS ACT)

To be included in all contracts

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

- (1) **Compliance with Regulations:** The contractor shall comply with the Regulation relative to nondiscrimination in Federally-assisted programs of the Department of Transportation of the United States, Title 49, Code of Federal Regulations, Part 21, and the Federal Highway Administration (hereinafter "FHWA") Title 23, Code of Federal Regulations, Part 200 as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
- (2) **Nondiscrimination:** The Contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin, sex, age, and disability/handicap in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by 49 CFR, section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
- (3) **Solicitations for Subcontractors, Including Procurements of Materials and Equipment:** In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin, sex, age, and disability/handicap.
- (4) **Information and Reports:** The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by NYSDOT or the FHWA to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor shall so certify to NYSDOT's Office of Civil Rights or FHWA, as appropriate, and shall set forth what efforts it has made to obtain the information.
- (5) **Sanctions for Noncompliance:** In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, NYSDOT shall impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
 - (a.) withholding of payments to the contractor under the contract until the contractor complies, and/or
 - (b.) cancellation, termination or suspension of the contract, in whole or in part.
- (6) **Incorporation of Provisions:** The contractor shall include the provisions of paragraphs (1) through (6) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto.

The contractor shall take such action with respect to any subcontract or procurement as NYSDOT or the FHWA may direct as a means of enforcing such provisions including sanctions for non-compliance: Provided, however, that, in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request NYSDOT to enter into such litigation to protect the interests of NYSDOT, and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

APPENDIX B
REQUIREMENTS FOR FEDERALLY-AIDED TRANSPORTATION PROJECTS
(June 2016)

There is a substantial body of requirements attached to the use of Federal highway or transportation aid. These requirements create or overlay processes, procedures, documentation requirements, authorizations, approvals and certifications that may be substantially greater or different from those that are not funded with Federal-aid and proceed under applicable State and local laws, customs and practices. Under Title 23 of the United States Code, the New York State Department of Transportation (NYSDOT) is responsible for the administration of transportation projects in New York State to which NYSDOT provides Federal highway or transportation-related aid. Through this Agreement, which provides or is associated with such funding, NYSDOT delegates various elements of project and funding administration as described elsewhere in this Agreement. In undertaking a Federally aided project, the Municipality/Sponsor, Authority or Project Manager designated under this Agreement with Federal-aid funding or project administration agrees to proceed in compliance with all the applicable Federal-aid requirements.

NYSDOT, in cooperation with FHWA, has assembled the body of Federal-aid requirements, procedures and practices in its Procedures for Locally Administered Federal-Aid Projects Manual (available through NYSDOT's web site at: <http://www.dot.ny.gov/plafap>). In addition, the Municipality/Sponsor, Authority or Project Manager designated under this Agreement for Federal-aid funding or project administration that enters into Federally aided project construction contracts is required to physically incorporate into all its Federally aided construction contracts and subcontracts there under the provisions that are contained in Form FHWA-1273 (available from NYSDOT or electronically at: <http://www.fhwa.dot.gov/programadmin/contracts/1273.htm>).

In addition to the referenced requirements, the attention of Municipality/Sponsor hereunder is directed to the following requirements and information:

NON DISCRIMINATION/EEO/DBE REQUIREMENTS

The Municipality/Sponsor and its contractors agree to comply with Executive Order 11246, entitled "Equal Employment Opportunity" and United States Department of Transportation (USDOT) regulations (49 CFR Parts 21, 23, 25, 26 and 27) and the following:

1. **NON DISCRIMINATION.** No person shall, on the ground of race, color, creed, national origin, sex, age or handicap, be excluded from participation in, or denied the benefits of, or be subject to, discrimination under the Project funded through this Agreement.
2. **EQUAL EMPLOYMENT OPPORTUNITY.** In connection with the execution of this Agreement, the Municipality/Sponsors contractors or subcontractors shall not discriminate against any employee or applicant for employment because of race, religion, age, color, sex or national origin. Such contractors shall take affirmative actions to ensure that applicants are employed, and that employees are treated during their employment, without regard to their race, religion, color, sex, national origin or age. Such actions shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.

3. **DISADVANTAGED BUSINESS ENTERPRISES.** In connection with the performance of this Agreement, the Municipality/Sponsor shall cause its contractors to cooperate with the State in meeting its commitments and goals with regard to the utilization of Disadvantaged Business Enterprises (DBEs) and will use its best efforts to ensure that DBEs will have opportunity to compete for subcontract work under this Agreement. Also, in this connection the Municipality or Municipality/Sponsor shall cause its contractors to undertake such actions as may be necessary to comply with 49 CFR Part 26.

As a sub-recipient under 49 CFR Part 26.13, the Municipality/Sponsor hereby makes the following assurance.

The Municipality/Sponsor shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any United States Department of Transportation (USDOT)-assisted contract or in the administration of its Disadvantaged Business Enterprise (DBE) program or the requirements of 49 CFR Part 26. The Municipality/Sponsor shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of the United States Department of Transportation-assisted contracts. The New York State Department of Transportation's DBE program, as required by 49 CFR Part 26 and as approved by the United States Department of Transportation, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the recipient of its failure to carry out its approved program, the USDOT may impose sanctions as provided for under part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).

FEDERAL SINGLE AUDIT REQUIREMENTS

Non-Federal entities that expend \$750,000 or more in a year in Federal awards from all sources are required to comply with the Federal Single Audit Act provisions contained in U.S. Office of Management and Budget (OMB) Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations. Non-Federal entities that expend Federal awards from a single source may provide a program specific audit, as defined in the Circular. Non-Federal entities that expend less than the amount above in a year in Federal awards from all sources are exempt from Federal audit requirements for that year, except as noted in Sec. 215 (a) of OMB Circular A-133 Subpart B--Audits, records must be available for review or audit by appropriate officials of the cognizant Federal agency¹ the New York State Department of Transportation, the New York State Comptrollers Office and the U.S. Governmental Accountability Office (GAO).

Non-Federal entities are required to submit a copy of all audits, as described above, within 30 days of issuance of audit report, but no later than 9 months after the end of the entity's fiscal year, to the New York State Department of Transportation, Contract Audit Bureau, 50 Wolf Road, Albany, NY 12232. Unless a time extension has been granted by the cognizant Federal Agency and has been filed with the New York State Department of Transportation's Contract Audit Bureau, failure to comply with the requirements of OMB Circular A-133 may result in suspension or termination of Federal award payments.

¹ The designated cognizant agency for audit shall be the federal awarding agency that provides the predominant amount of direct funding to a recipient unless OMB changes it.

THE CATALOG OF FEDERAL DOMESTIC ASSISTANCE

The Catalog of Federal Domestic Assistance (CFDA²), is an on-line database of all Federally-aided programs available to State and local governments (including the District of Columbia); Federally recognized Indian tribal governments; Territories (and possessions) of the United States; domestic public, quasi-public, and private profit and nonprofit organizations and institutions; specialized groups; and individuals.

THE CFDA IDENTIFICATION NUMBER

OMB Circular A-133 requires all Federal-aid recipients to identify and account for awards and expenditures by CFDA Number. The Municipality/Sponsor is required to identify in its accounts all Federal awards received and expended, and the Federal programs under which they were received. Federal program and award identification shall include, as applicable, the CFDA title and number, award number and year, name of the Federal agency, and name of the pass-through entity.

The most commonly used CFDA number for the Federal Aid Highway Planning and Construction program is 20.205.

Additional CFDA numbers for other transportation and non-transportation related programs are:

20.215	Highway Training and Education
20.219	Recreational Trails Program
20.XXX	Highway Planning and Construction - Highways for LIFE;
20.XXX	Surface Transportation Research and Development;
20.500	Federal Transit-Capital Investment Grants
20.505	Federal Transit-Metropolitan Planning Grants
20.507	Federal Transit-Formula Grants
20.509	Formula Grants for Other Than Urbanized Areas
20.600	State and Community Highway Safety
23.003	Appalachian Development Highway System
23.008	Appalachian Local Access Roads

PROMPT PAYMENT MECHANISMS

In accordance with 49 CFR 26.29, and NY State Finance Law 139-f or NY General Municipal Law 106-b(2) as applicable:

(a) You must establish, as part of your DBE program, a contract clause to require prime contractors to pay subcontractors for satisfactory performance of their contracts no later than 7 calendar days from receipt of each payment you make to the prime contractor.

(b) You must ensure prompt and full payment of retainage from the prime contractor to the subcontractor within 7 calendar days after the subcontractor's work is satisfactorily completed. You must use one of the following methods to comply with this requirement:

(1) You may decline to hold retainage from prime contractors and prohibit prime contractors from holding retainage from subcontractors.

(2) You may decline to hold retainage from prime contractors and require a contract clause obligating prime contractors to make prompt and full payment of any retainage kept by

² <http://www.cfda.gov/>

prime contractor to the subcontractor within 7 calendar days after the subcontractor's work is satisfactorily completed.

(3) You may hold retainage from prime contractors and provide for prompt and regular incremental acceptances of portions of the prime contract, pay retainage to prime contractors based on these acceptances, and require a contract clause obligating the prime contractor to pay all retainage owed to the subcontractor for satisfactory completion of the accepted work within 7 calendar days after your payment to the prime contractor.

(c) For purposes of this section, a subcontractor's work is satisfactorily completed when all the tasks called for in the subcontract have been accomplished and documented as required by the recipient. When a recipient has made an incremental acceptance of a portion of a prime contract, the work of a subcontractor covered by that acceptance is deemed to be satisfactorily completed.

(d) Your DBE program must provide appropriate means to enforce the requirements of this section. These means may include appropriate penalties for failure to comply, the terms and conditions of which you set. Your program may also provide that any delay or postponement of payment among the parties may take place only for good cause, with your prior written approval.

(e) You may also establish, as part of your DBE program, any of the following additional mechanisms to ensure prompt payment:

(1) A contract clause that requires prime contractors to include in their subcontracts language providing that prime contractors and subcontractors will use appropriate alternative dispute resolution mechanisms to resolve payment disputes. You may specify the nature of such mechanisms.

(2) A contract clause providing that the prime contractor will not be reimbursed for work performed by subcontractors unless and until the prime contractor ensures that the subcontractors are promptly paid for the work they have performed.

(3) Other mechanisms, consistent with this part and applicable state and local law, to ensure that DBEs and other contractors are fully and promptly paid.

CARGO PREFERENCE ACT REQUIREMENTS – U.S. FLAG VESSELS

In accordance with 46 CFR 381, the contractor agrees:

- (a) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
- (b) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b) (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
- (c) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

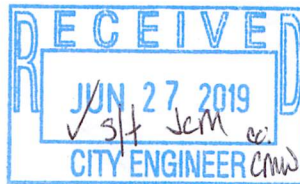


Department of Transportation

ANDREW M. CUOMO
Governor

MARIE THERESE DOMINGUEZ
Acting Commissioner

LANCE MacMILLAN, P.E.
Regional Director



June 24, 2019

Mr. Jason Morris, P.E., City Engineer
City of Newburgh
83 Broadway
Newburgh, NY 12550

**RE: PIN 8761.57, LAKE STREET/ROUTE 32 OVER
QUASSAICK CREEK BRIDGE REHABILITATION
CITY OF NEWBURGH, ORANGE COUNTY**

Dear Mr. Morris:

Enclosed are eight (8) Master Federal-Aid Local Project Agreements for the approved project referenced above. Please return seven (7) copies of the locally executed agreement to this office as soon as possible for execution by New York State. Each of the seven (7) locally executed agreements must contain original signatures, notarizations, and certified seal-stamped resolutions. A sample resolution is attached.

When executing the agreement, please be sure to:

- fill in the Resolution number, date of the meeting at which the resolution was passed, and the title of the person authorized to sign the agreement on behalf of the local agency.
- make sure the agreement is signed by the person authorized to sign the agreement on behalf of the local agency, by the agency's legal counsel, and by a notary public.

As the project progresses, for civil rights purposes, the sponsor will need to agree to comply with the terms and conditions to access and use NYSDOT's current reporting software. Within the attached Resolution is a WHEREAS clause that enables various municipal employee titles authorization to execute various agreements and certifications throughout the life of the project. It is imperative that this paragraph remain within the resolution and include the various titles.

To expedite State execution of the agreement, the language in the agreement and sample resolution should be used without addition or modification. If any additions or modifications to either the agreement or resolution language are desired, please contact me prior to making any changes to the documents.

EBO: The New York State Department of Transportation approved civil rights reporting software is called EBO (Equitable Business Opportunities) and is required for use on all federal

Mr. Jason Morris, P.E.
June 24, 2019
Page Two

aid projects. It is the sponsor's responsibility to monitor the use of this reporting system for both consultants and contractors.

To initiate access to EBO for both Sponsor and consultant for this project:

- Sponsor – complete the “Local project Consultant Agreement EBO Data Entry Form” (enclosed) after fully-executing a consultant agreement and receiving the “Consultant Information Request Form for EBO” from the consultant.
- Sponsor – if do not have existing access to EBO, will need to submit a Sponsor access request; which can be found at <https://www.dot.ny.gov/dotapp/ebo>.
- Consultant - if do not have existing access to EBO, will need to access EBO at <https://www.dot.ny.gov/dotapp/ebo>.
- Sponsor - Submit all completed forms to localprograms.ebo@dot.ny.gov

ALL DBE and EEO REPORTING WILL BE DONE IN EBO. Sponsor and/or Agent **must** monitor EEO goals on a monthly basis and take corrective action if goals are not being met; reference NYSDOT Standard Specifications Section 102-11, Equal Employment Opportunity Requirements. **Sponsor will include a copy of the “Monthly Utilization Report” and “D/M/WBE Attainments Report” from EBO, including any corrective action taken, with each request for reimbursement.**

Please note that under the terms of the agreement, the City of Newburgh is responsible for progressing the project in accordance with the manual entitled “Local Projects Manual”, including paying project related expenses first and then applying to the NYSDOT for reimbursement. Reimbursement can be made by NYSDOT periodically. Reimbursement of the federal share of project costs will be made by the Office of the State Comptroller as reimbursement requests are processed after the return of the fully-executed project agreement.

Your assistance in having the agreement approved by the City Council and signed by the City Manager and City Attorney is appreciated. Of course, if you have any questions, please call me at (845) 431-5804 or e-mail at marshall.gioia@dot.ny.gov.

Sincerely,



Marshall Gioia
Contract Management Specialist
Region 8 Local Projects Unit

Enclosures

SAMPLE BRIDGE NY RESOLUTION BY MUNICIPALITY

(Bridge Project)

RESOLUTION NUMBER: _____

Authorizing the implementation and funding of the costs of 100% of the costs of a transportation project, which may be eligible for federal-aid and/or state-aid, or reimbursement from Bridge NY funds.

WHEREAS, a project for the Lake Street/Route 32 over Quassaick Creek Bridge Rehabilitation in the City of Newburgh, Orange County, P.I.N. 8761.57 (the Project") is eligible for funding under Title 23 U.S. Code, as amended, that calls for the apportionment of the costs such program to be borne at the ratio of 95% Federal funds and 5% non-federal funds; and

WHEREAS, the City of Newburgh will design, let and construct the Project: and

WHEREAS, the City of Newburgh desires to advance the Project by making a commitment of 100% of the costs of the work for the Project or portions thereof.

NOW, THEREFORE, the Newburgh City Council, duly convened does hereby

RESOLVE, that the Newburgh City Council hereby approves the above-subject Project; and it is hereby further

RESOLVED, that the Newburgh City Council hereby authorizes the City of Newburgh to pay 100% of the cost of construction and construction inspection work for the Project or portions thereof, with the understanding that qualified costs may be eligible for federal-aid, state-aid, or reimbursement from Bridge NY funds; and it is further

RESOLVED, that the sum of **\$1,000,000** is hereby appropriated from _____ [or, appropriated pursuant to _____] and made available to cover the cost of participation in the above phase(s) of the Project; and it is further

RESOLVED, that the Newburgh City Council hereby agrees that the City of Newburgh shall be responsible for all costs of the Project which exceed the amount of federal-aid, state-aid, or NY Bridge funding awarded to the City of Newburgh; and it is further

RESOLVED, that in the event the Project costs not covered by federal-aid, state-aid, or NY Bridge funding exceed the amount appropriated above, the City of Newburgh shall convene as soon as possible to appropriate said excess amount immediately upon the notification by the City Manager thereof; and it is further

RESOLVED, that City Manager hereby agrees that construction of the Project shall begin no later than twenty-four (24) months after award and the construction phase of the Project shall be completed within thirty (30) months; and it is further

RESOLVED, that the Newburgh City Manager be and is hereby authorized to execute on behalf of the City of Newburgh all necessary agreements, certifications or reimbursement requests for federal-aid and/or state-aid with the New York State Department of Transportation in connection with the advancement or approval of the Project and providing for the administration of the Project and the City of Newburgh's funding of Project costs and permanent funding of the local share of federal-aid and state-aid eligible Project costs and all Project costs within appropriations therefore that are not so eligible; and it is further

RESOLVED, that a certified copy of this resolution be filed with the New York State Commissioner of Transportation by attaching it to any necessary Agreement in connection with the Project; and it is further

RESOLVED, this Resolution shall take effect immediately.

STATE OF NEW YORK)
) SS:
COUNTY OF ORANGE)

I, _____, Clerk of the City of Newburgh, New York, do hereby certify that I have compared the foregoing copy of this Resolution with the original on file in my office, and that the same is a true and correct transcript of said original Resolution and of the whole thereof, as duly adopted by said _____ at a meeting duly called and held at the _____ on _____ by the required and necessary vote of the members to approve the Resolution.

WITNESS My Hand and the Official Seal of the City of Newburgh, New York, this _____ day of _____, 2019.

Clerk, City of Newburgh

EXTRACT OF MINUTES

Meeting of the City Council of the

City of Newburgh, in the

County of Orange, New York

August 12, 2019

* * *

A regular meeting of the City Council of the City of Newburgh, in the County of Orange, New York, was held at the City Hall, Newburgh, New York, on August 12, 2019, at _____ o'clock P.M. (Prevailing Time).

There were present: Hon. Torrance Harvey, Mayor; and
Councilpersons:

There were absent:

Also present: Lorene Vitek, City Clerk

* * *

_____ offered the following resolution and moved its
adoption:

RESOLUTION NO. _____ -2019

OF

AUGUST 12, 2019

BOND RESOLUTION OF THE CITY OF NEWBURGH, NEW YORK, ADOPTED AUGUST 12, 2019, AUTHORIZING FINANCING FOR WATER FILTRATION PLANT IMPROVEMENTS, STATING THE ESTIMATED TOTAL COST THEREOF IS \$5,070,000, APPROPRIATING SAID AMOUNT FOR SUCH PURPOSE AND AUTHORIZING THE ISSUANCE OF \$5,070,000 BONDS TO FINANCE SAID APPROPRIATION AND THE EXPENDITURE OF CITY WATER RATE CHARGES AND \$3,000,000 GRANT FUNDS EXPECTED TO BE RECEIVED FROM THE STATE OF NEW YORK TO BE EXPENDED TOWARDS THE COST OF SAID OBJECT OR PURPOSE OR REDEMPTION OF THE CITY'S OBLIGATIONS ISSUED THEREFOR, OR TO BE BUDGETED AS AN OFFSET TO TAXES FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS

THE CITY COUNCIL OF THE CITY OF NEWBURGH, IN THE COUNTY OF ORANGE, NEW YORK, HEREBY RESOLVES (by the favorable vote of not less than two-thirds of all the members of said City Council) AS FOLLOWS:

Section 1. Based upon the review of this action by the City Engineer of the City of Newburgh, in the County of Orange, New York (herein called the "City"), the City

Council hereby determines that the specific object or purpose authorized to be financed pursuant to this resolution constitutes a Type II Action pursuant to the State Environmental Quality Review Act (“SEQRA”) regulations 6 CRR-NY 617.5(c)(2); and requires no further proceedings under SEQRA.

Section 2. The City of Newburgh, in the County of Orange, New York (herein called the “City”), is hereby authorized to finance the cost of improvements to the Newburgh Water Filtration Plant, all as more particularly described in the engineering report dated July 2019 and prepared by Arcadis of New York, Inc. The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and to the financing thereof, is \$5,070,000 and said amount is hereby appropriated therefor. The plan of financing includes the issuance of not to exceed \$5,070,000 bonds of the City, and any bond anticipation notes issued in anticipation of the sale of such bonds to finance said appropriation, the levy and collection of taxes on all the taxable real property in the City if necessary to pay the principal of and interest on said bonds and notes, and application of City water rate charges and \$3,000,000 expected to be received from the State of New York to be expended towards the cost of the project thereof or redemption of the bonds or notes issued therefor or to be budgeted as an offset to the taxes to be levied and collected for the payment of such principal and interest.

Section 3. Bonds of the City in the aggregate principal amount of \$5,070,000 are hereby authorized to be issued pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (herein called “Law”), to finance the appropriation referred to herein.

Section 4. The period of probable usefulness of the specific object or purpose for which said \$5,070,000 bonds herein authorized are to be issued, within the limitations of Section 11.00 a. 4 of the Law, is forty (40) years.

Section 5. The proceeds of the bonds herein authorized and any bond anticipation notes issued in anticipation of said bonds may be applied to reimburse the City for expenditures made after the effective date of this resolution for the purpose or purposes for which said bonds are authorized. The foregoing statement of intent with respect to reimbursement is made in conformity with Treasury Regulation Section 1.150-2 of the United States Treasury Department.

Section 6. Each of the bonds authorized by this resolution and any bond anticipation notes issued in anticipation of the sale of said bonds shall contain the recital of validity as prescribed by Section 52.00 of the Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the City, payable as to both principal and interest by general tax upon all the taxable real property within the City. The faith and credit of the City are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds and provision shall be made annually in the budget of the City by appropriation for (a) the amortization and redemption of the bonds and any notes in anticipation thereof to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. Subject to the provisions of this resolution and of the Law and pursuant to the provisions of Section 21.00 relative to the authorization of the issuance of bonds with substantially level or declining annual debt service, Section 30.00 relative to the

authorization of the issuance of bond anticipation notes and Section 50.00 and Sections 56.00 to 60.00 and 168.00 of the Law, the powers and duties of the City Council relative to authorizing bond anticipation notes and prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized and of any bond anticipation notes issued in anticipation of said bonds, and the renewals of said bond anticipation notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Comptroller/Director of Finance, the chief fiscal officer of the City.

Section 8. Pursuant to the provisions of section 16 of Chapter 223 of the New York Laws of 2010, the City is authorized to include in this resolution the following pledge and agreement of the State of New York (herein called the "State") contained in said Section 16:

“The state does hereby pledge to and agree with the holders of any bonds, notes or other obligations issued by the city during the effective period of this act and secured by such a pledge that the state will not limit, alter or impair the rights hereby vested in the city to fulfill the terms of any agreements made with such holders pursuant to this act, or in any way impair the rights and remedies of such holders or the security for such bonds, notes or other obligations until such bonds, notes or other obligations together with the interest thereon and all costs and expenses in connection with any action or proceeding by or on behalf of such holders, are fully paid and discharged.”

Section 9. The validity of the bonds authorized by this resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the City is not authorized to expend money, or

- (b) the provisions of law which should be complied with at the date of the publication of such resolution or a summary hereof, are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

- (c) such obligations are authorized in violation of the provisions of the constitution.

Section 10. This Bond Resolution shall take effect immediately, and the City Clerk is hereby authorized and directed to publish the foregoing resolution, in summary, together with a Notice attached in substantially the form prescribed by §81.00 of the Law in “*The Sentinel*,” “*The Mid Hudson Times*,” and “*The Hudson Valley Press*,” three newspapers each having a general circulation in the City and hereby designated the official newspapers of said City for such publication.

The adoption of the foregoing resolution was seconded by

_____ and duly put to a vote on roll call, which resulted as follows:

AYES:

NOES:

The resolution was declared adopted.

CERTIFICATE

I, LORENE VITEK, City Clerk of the City of Newburgh, in the County of Orange, State of New York, HEREBY CERTIFY that the foregoing annexed extract from the minutes of a meeting of the City Council of said City of Newburgh duly called and held on August 12, 2019, has been compared by me with the original minutes as officially recorded in my office in the Minute Book of said City Council and is a true, complete and correct copy thereof and of the whole of said original minutes so far as the same relate to the subject matters referred to in said extract.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said City of Newburgh this _____ day of August, 2019.

(SEAL)

City Clerk

(THE FOLLOWING NOTICE IS TO BE ATTACHED TO AND
TO BE PUBLISHED
WITH SUMMARY OF RESOLUTION AFTER ADOPTION)

NOTICE

The resolution, a summary of which is published herewith, has been adopted on August 12, 2019, and the validity of the obligations authorized by such resolution may be hereafter contested only if such obligations were authorized for an object or purpose for which the CITY OF NEWBURGH, in the County of Orange, New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the constitution.

LORENE VITEK
City Clerk

BOND RESOLUTION OF THE CITY OF NEWBURGH, NEW YORK, ADOPTED AUGUST 12, 2019, AUTHORIZING FINANCING FOR WATER FILTRATION PLANT IMPROVEMENTS, STATING THE ESTIMATED TOTAL COST THEREOF IS \$5,070,000, APPROPRIATING SAID AMOUNT FOR SUCH PURPOSE AND AUTHORIZING THE ISSUANCE OF \$5,070,000 BONDS TO FINANCE SAID APPROPRIATION AND THE EXPENDITURE OF CITY WATER RATE CHARGES AND \$3,000,000 GRANT FUNDS EXPECTED TO BE RECEIVED FROM THE STATE OF NEW YORK TO BE EXPENDED TOWARDS THE COST OF SAID OBJECT OR PURPOSE OR REDEMPTION OF THE CITY'S OBLIGATIONS ISSUED THEREFOR, OR TO BE BUDGETED AS AN OFFSET TO THE TAXES FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS

object or purpose: to finance the cost of improvements to the Newburgh Water Filtration Plant, all as more particularly described in the engineering report dated July 2019 and prepared by Arcadis of New York, Inc.

period of probable usefulness: forty (40) years

amount of obligations to be issued: \$5,070,000

A complete copy of the Bond Resolution summarized above and such engineering report shall be available for public inspection during normal business hours at the office of the City Clerk, in Newburgh, New York.

Dated: August 12, 2019
Newburgh, New York

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION TO AUTHORIZE THE AWARD OF A BID AND THE EXECUTION
OF A CONTRACT FOR ELECTRIC SUPPLY TO THE CITY OF NEWBURGH FOR
A TWO (2) YEAR TERM AND THE AWARD OF A BID AND
THE EXECUTION OF A CONTRACT FOR GAS SUPPLY SERVICES
TO THE CITY OF NEWBURGH FOR A TWO (2) YEAR TERM
TO THE LOWEST RESPONSIBLE BIDDER**

WHEREAS, on behalf of the City of Newburgh, M & R Energy Resources Corporation has duly advertised for bids for the electric supply services contract and for gas supply services contract in 2015 and 2017; and

WHEREAS, M&R Energy Resources Corporation has advised that the market is in a favorable place to perform the bid in August to lock in rates beginning January 1, 2020 and estimates that the proposed renewal pricing will yield an estimated annual savings of \$42,000; and

WHEREAS, securing the lowest bid and locking in the greatest annual savings, the electric supply and gas supply services contracts will occur prior to the next City Council meeting; and

WHEREAS, the City Council finds that authorizing M & R Energy Resources Corporation to duly advertise for bids for the electric supply services contract and for gas supply services contract and to open and return said bids before the next City Council meeting and authorizing the City Manager to execute contracts for the lowest prices to be in the best interest of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that the bid for the electric supply services contract and the bid for the gas supply services contract be and hereby are awarded to the lowest responsible bidders, providing for a two (2) year term, and that the City Manager be and he is hereby authorized to execute contracts for the provision of electric services and gas supply services, with all such terms and conditions as may be required by the Corporation Counsel and subject to ratification of the final pricing by this Council.

City of Newburgh

Energy Procurement Summary

Electric:

Annual kWh: 6,000,000

Current electric contract:

January 2018 – 2020: \$0.06337 per kWh

CES: \$0.00340 per kWh

Total: \$0.06677 per kWh

Proposed Renewal Pricing – including CES:

January 2020 – January 2022 \$0.05977 per kWh

Proposed Annual Savings: \$42,000

Contract History

January 2016 – January 2018: \$0.06683 per kWh

*Change in Law: April 2017, implementation of the Clean Energy Standard (CES); Zero Emissions Credit (ZEC) and Renewable energy standard (RES)

Natural Gas:

Annual Ccf: 150,000

Current natural gas contract:

December 2018 – November 2019: \$.3803 per Ccf

Proposed renewal pricing:

Contract History

December 2015 – November 2017: \$0.3878 per Ccf

RESOLUTION NO.: _____ - 2019

OF

SEPTEMBER 9, 2019

A RESOLUTION TO RATIFY THE AWARD OF A BID AND THE EXECUTION OF A CONTRACT WITH _____ FOR ELECTRIC SUPPLY TO THE CITY OF NEWBURGH FOR A TWO (2) YEAR TERM AT A COST OF \$ _____ PER KILOWATT HOUR
AND THE AWARD OF A BID AND THE EXECUTION OF A CONTRACT WITH _____ FOR GAS SUPPLY SERVICES TO THE CITY OF NEWBURGH FOR A TWO (2) YEAR TERM AT A COST OF \$ _____ PER 100 CUBIC FEET

WHEREAS, by Resolution No.: _____-2019 of August 12, 2019, this Council authorized the bid for the electric supply services contract and the bid for the gas supply services contract be awarded to the lowest responsible bidders, providing for a two (2) year term, and further authorized the City Manager to execute a contract for the provision of electric services and gas supply services, with all such terms and conditions as may be required by the Corporation Counsel and subject to ratification of the final pricing by this Council.

WHEREAS, on behalf of the City of Newburgh, M & R Energy Resources Corporation has duly advertised for bids for the electric supply services contract and for gas supply services contract; and

WHEREAS, bids were duly received and opened and _____ is the low bidder for the electric supply services and _____ is the low bidder for gas supply services;

NOW, THEREFORE, BE IT RESOLVED, that the Council of the City of Newburgh New York, hereby ratifies the bid for the electric supply services contract with _____, providing for a two (2) year term at a cost of \$ _____ per kilowatt hour; and

BE IT FURTHER RESOLVED, that the Council of the City of Newburgh, New York hereby ratifies the bid for the gas supply services contract with New York Gas and Electric, providing for a two (2) year term at a cost of \$ _____ per 100 cubic feet.



City of Newburgh City Comptroller's Office

City Hall – 83 Broadway, 4th Floor
Newburgh, New York 12550

Tel: (845) 569-7322

Fax: (845) 569-7490

Todd Venning
City Comptroller

tvenning@cityofnewburgh-ny.gov

NOTICE

REQUEST FOR PROPOSALS - RFP #14.19

FOR THE

OPERATION OF CARNIVAL RIDES, CONCESSIONS AND AMUSEMENTS

FOR THE

31ST ANNUAL INTERNATIONAL FESTIVAL

CITY OF NEWBURGH, NEW YORK

Request for Proposals (RFP) will be received by the City Comptroller in her office at City Hall, 83 Broadway, 4th floor, Newburgh, New York until 4 p.m. (local time) Monday, August 12, 2019 for the Operation of Carnival Rides, Concessions and Amusements for the City of Newburgh's 31st Annual International Festival to be held from 5 p.m. Friday, August 30 to 10 p.m. Monday, September 2, 2019.

The RFP Document, becoming available to the public on Friday, July 26th, 2019, may be obtained by visiting the Empire State Purchasing Group website at: (www.bidnetdirect.com/new-york/city-of-newburgh), selecting the "Open Bids" tab and title of solicitation. Vendors may have to register if visiting this site for the first time.


Respondents are required to execute a Non-Collusive Bidding Affidavit pursuant to Section 103-d of the General Municipal Law of the State of New York. Responses submitted without a Non-Collusive Bidding Affidavit will not be considered.

Responses to this RFP shall be delivered to the City Comptroller by hand, mail or other courier type services and must be received on or before the specified due date and time. Facsimile or electronic mail submissions will not be accepted. **Vendors are responsible for timely delivery of their Proposals.** There will be no exceptions.

The City of Newburgh hereby notifies all prospective vendors that it will affirmatively insure that in regard to any Contract entered into pursuant to this Notice, minority business enterprises will be afforded full opportunity to submit proposals in response to this invitation and will not be discriminated against on the grounds of race, color or national origin in consideration of an award.

The City of Newburgh reserves the right to reject any or all Proposals and to waive any informality or technicality in any Proposal deemed to be in the best interest of the City. Contract award may be subject to approval by the City Council.

By Order of the City of Newburgh:

By: 
Todd Venning, City Comptroller

Dated: Wednesday, July 19, 2019

"AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION EMPLOYER"

Advertisement Date:	Hudson Valley Black Press:	Wednesday, July 24, 2019
	Mid-Hudson Times:	Wednesday, July 24, 2019
	Sentinel	Friday, July 26, 2019

REQUEST FOR PROPOSALS

RFP #14.19

CARNIVAL RIDES, CONCESSIONS AND AMUSEMENTS FOR THE 31st ANNUAL INTERNATIONAL FESTIVAL

Overview: The City of Newburgh, New York is seeking proposals from qualified carnival ride and amusement companies (hereinafter referred to as “Ride Company”) to provide carnival rides, concessions and amusement services for the 31st Annual International Festival. This year, the International Festival will be held over the Labor Day weekend at the Delano-Hitch Recreation Park on Washington Street in the City of Newburgh. With numerous vendors and live entertainment scheduled, this festival typically draws several thousand people over the weekend. An overview of the Park is referenced on page 8 of this RFP indicating the two fields where the festival will operate.

The 2019 festival runs for four (4) consecutive days from Friday, August 30, 2019 through Monday, September 2, 2019. The festival will kick off Friday, August 30, 2019 beginning at 5:00 P.M. (Eastern Time) and ending its operation at 10:00 P.M. on Labor Day, Monday, September 2, 2019.

1.0 GENERAL

- A. Taxes. The City of Newburgh is exempt from Federal Excise Taxes and the New York State Sales Tax.
- B. Iran Divestment Act. By submission of a Proposal in response to this solicitation or by assuming the responsibility of a contract awarded hereunder, Respondent (or any assignee hereinafter referred to as “Bidder” or “Vendor”) certifies that it is not listed on the “Entities Determined To Be Non-Responsive Bidders/Offerors Pursuant to The New York State Iran Divestment Act of 2012” list (“Prohibited Entities List”) posted on the OGS website at: <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> and further certifies that it will not utilize on such contract any subcontractor that is identified on the Prohibited Entities List. Additionally, Respondent is advised that should it seek to renew or extend a contract awarded in response to the solicitation, it must provide the same certification at the time the contract is renewed or extended.

The City of Newburgh reserves the right to reject any Proposal from an entity that appears on the Prohibited Entities List prior to the award, assignment, renewal or extension of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the Prohibited Entities list after contract award.

The signature on the Proposal Information Form will be considered certification that the Respondent is in compliance with all aspects of this regulation.

- C. Non-Collusion Bidding Affidavit. The City requires that a Non-Collusive Bidding Affidavit be submitted with all bids pursuant to its authority according to the Section 103-d of the State of New York Finance Law. This Non-Collusive Bidding Affidavit, made part of the Proposer Information Form, must be executed in blue ink by the member, officer or employee of the Bidder who makes the final decision on prices and the amount quoted in the bid.

Bid rigging and other efforts to restrain competition and the making of false sworn statements in connection with the submission of bids are unlawful and may be subject to criminal prosecution. The person who signs the Affidavit should examine it carefully before signing and assure himself or herself that each statement is true and accurate, making diligent inquiry, as necessary, of all other persons employed by or associated with the Bidder with responsibilities for the preparation, approval or submission of the bid.

If a bid is submitted by a joint venture, each party to the venture must be identified in the bid documents, and a Non-Collusive Bidding Affidavit must be submitted separately on behalf of each party.

Failure to provide a Non-Collusive Bidding Affidavit in compliance with these instructions will result in disqualification of the bid.

2.0 SUBMISSION OF PROPOSALS

- A. Qualified individuals and/or Ride Companies shall submit their original Proposal, *plus one copy*, to City Comptroller Todd Venning, City of Newburgh, 83 Broadway- 4th Floor, Newburgh, New York, 12550, **on or before** 4:00 P.M., (*Eastern Time*), Monday, August 12, 2019. Late submissions will not be accepted.
- B. Proposals shall be delivered to the City Comptroller at his office located in City Hall, 83 Broadway, 4th Floor, Newburgh, New York, 12550 by hand, mail or the other courier type services. Facsimile or electronic mail submittals will not be accepted.
- C. Proposals must be in a sealed envelope indicating both the company name and the words **"RFP for International Festival"** *clearly marked* on the outer envelope.
- D. The front cover of the Proposal shall clearly indicate firm name, address, telephone number(s), email address, if available, and the designated contact person's name.
- E. All signatures, with the exception of a Notary Public, must be signed in blue ink.
- F. Forms provided must be completed and submitted with Proposal in original form.
- G. Respondents shall submit one (1) original *plus one* (1) copy of their Proposal to the City Comptroller as indicated in the aforementioned, *Section 2.A*.

3.0 CONTENTS OF PROPOSALS

Proposals must contain and indicate compliance of the following information which shall be outlined in the order read below:

- A. The Ride Company shall provide the City with a Proposal outlining the number and type of carnival rides, amusements and concessions to be provided during the 2019 International Festival.
- B. The Ride Company must secure and maintain all proper policies of insurance coverage, co-insuring the City of Newburgh, NY, in scope and amount satisfactory to City, and must be properly permitted by the Orange County Health Department and otherwise as required by law.
- C. The Ride Company shall provide amusements, concessions and carnival rides at no cost to the City of Newburgh.
- D. The Ride Company shall propose to pay the City of Newburgh a set percentage of their entire proceeds over the total and complete duration of the Festival in said Proposal.
- E. The Ride Company shall provide such amusements, concessions and carnival rides with their own source of power and electricity.
- F. The Ride Company shall provide a set number of advertising posters at no cost to the City which shall be distributed by the City of Newburgh. The Ride Company shall propose the set number of posters in said Proposal.
- G. The Ride Company must supply tickets at no cost to the City of Newburgh.
- H. The Ride Company shall be fully responsible to provide all personnel.
- I. The Ride Company shall be responsible for maintenance and cleanliness of the immediate concession and amusement ride areas at all times.
- J. The successful Ride Company **must** provide a written accounting of the daily proceeds earned to the designated City of Newburgh representative (*To Be Determined*) at the conclusion of festival operations **each and every evening**.
- K. The successful Ride Company shall pay the City of Newburgh *at the end of each festival evening* the amount due to the City based on the accounting of proceeds earned and on the percentage proposed and awarded there from.
- L. Completed forms signed in **blue ink** by an officer of the Ride Company as provided in this RFP on pages 6 and 7 - *Proposer Information Form* and *Non-Collusion Bidding Affidavit*. These two (2) original documents **must be included** in the original Proposal when submitted. Proposals shall be appropriately marked *original* and *copy* prior to submission.
- M. Failure to comply with any of the stipulations specified in this RFP shall remove the Ride Company from consideration of any or all future City of Newburgh events.

4.0 TIME OF PERFORMANCE

- A. Festival Setup and Tear-Down Period. The successful Ride Company must begin setup at the Newburgh Recreation designated field, no earlier than Wednesday, August 28, 2019 at 12:00 P.M. The successful Ride Company must have all equipment removed from said location no later than 12:00 P.M. on Wednesday, September 4, 2019.
- B. Hours of Festival Operations. The successful Ride Company must have all personnel, rides and concessions ready to operate on the first day no later than 5:00 P.M. (Eastern Time) on Friday, August 30, 2019 and shall cease operations promptly at 10:00 P.M. that night.

Ride Company is responsible for all personnel, rides and concessions ready for carnival operations commencing at 12:00 P.M. for each of the remaining days: Saturday, August 31, 2019; Sunday, September 1, 2019; and, Monday, September 2, 2019.

Ride Company shall cease operations promptly at 10:00 P.M. on Friday, August 30, 2019; Saturday, August 31, 2019; and, Sunday, September 1, 2019.

Ride Company shall cease operations at end of Festival on Monday, September 2, 2019 promptly at 10:00 P.M., unless otherwise directed by a City of Newburgh official or designated representative.

5.0 QUESTIONS

Questions regarding this Request for Proposal (RFP) must be submitted in writing electronically to the attention of City Comptroller Todd Venning no later than 12:00 P.M., (Eastern Time), Friday, August 2, 2019 to: tvenning@cityofnewburgh-ny.gov

6.0 WITHDRAWAL OF PROPOSAL

Proposals shall not be withdrawn for a period of forty-five (45) days subsequent to the submission deadline without the consent of the City of Newburgh Comptroller.

7.0 RIGHT TO REJECT/AWARD PROPOSAL

The City of Newburgh reserves the right to reject any and all Proposals. Contract award may be subject to approval by the Council of the City of Newburgh.

“AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION EMPLOYER”

PROPOSER INFORMATION FORM

REQUEST FOR PROPOSALS

RFP #14.19

OPERATION OF CARNIVAL RIDES, CONCESSIONS AND AMUSEMENTS
FOR THE
31st ANNUAL INTERNATIONAL FESTIVAL
CITY OF NEWBURGH, NEW YORK

I (We) hereby propose services for the operations of carnival rides, concessions and amusements for the City of Newburgh, New York as requested by the City in accordance with the RFP solicitation. By signing and submitting this Proposer Information Form for consideration by the City of Newburgh, I (We) acknowledge that I (We) have read, understand and agree to all aspects of the requirements of this RFP as presented without reservation or alteration.

COMPANY NAME _____

ADDRESS _____

PHONE NO. _____ FAX NO. _____

EMERGENCY TEL: _____ CONTACT: _____

EMAIL ADDRESS _____

TAX I.D. NUMBER _____

SUBMITTED BY _____

Signature in **BLUE Ink**

NAME/TITLE _____

DATED _____

BIDS RECEIVED WITHOUT NON-COLLUSION BIDDING AFFIDAVIT WILL NOT BE ACCEPTED

NON-COLLUSION BIDDING AFFIDAVIT

City of Newburgh, New York

STATE OF

)

)SS

COUNTY OF

)

I, _____ of the (City, Town, Village) of _____
in the County of _____ in the State of _____,
of full age, being duly sworn according to law on my oath dispose and say that:

I am _____, an officer of the firm of _____
the vendor making the Proposal for the above named work, and that I executed the said Proposal with
full authority to do so; that said bidder has not, directly or indirectly, entered into any agreement,
participated in any collusion, or otherwise in connection with the above named work; and that all
statements contained in said Proposal and in this affidavit are true and correct, and made with the full
knowledge that the City of Newburgh as Owner relies upon the truth of the statements contained in said
Proposal and in the statements contained in this affidavit in awarding the Contract for said work.

I further warrant that no person or selling agency has been employed or retained to solicit or secure such
Contract upon an agreement or understanding for a commission, percentage, brokerage or contingent
fee, except bona fide employees or bona fide established commercial or selling agencies maintained by
_____. (Name of Agency)

Subscribed and sworn to by: _____
(Signature – in **Blue** Ink)

(Print or type name and title of individual that signed above)

Before me this _____ day
of _____, 20__

(Notary Public Signature)

Notary Public of _____

My commission expires _____ 20__

Affix Notary Stamp/Seal

THIS AFFIDAVIT MUST BE COMPLETED BY ALL BIDDERS



City of Newburgh City Comptroller's Office

City Hall – 83 Broadway, 4th Floor
Newburgh, New York 12550

Tel: (845) 569-7322

Fax: (845) 569-7490

Todd Venning
City Comptroller

tvenning@cityofnewburgh-ny.gov

NOTICE

REQUEST FOR PROPOSALS - RFP #14.19

FOR THE

OPERATION OF CARNIVAL RIDES, CONCESSIONS AND AMUSEMENTS

FOR THE

31ST ANNUAL INTERNATIONAL FESTIVAL

CITY OF NEWBURGH, NEW YORK

Request for Proposals (RFP) will be received by the City Comptroller in her office at City Hall, 83 Broadway, 4th floor, Newburgh, New York until 4 p.m. (local time) Monday, August 12, 2019 for the Operation of Carnival Rides, Concessions and Amusements for the City of Newburgh's 31st Annual International Festival to be held from 5 p.m. Friday, August 30 to 10 p.m. Monday, September 2, 2019.

The RFP Document, becoming available to the public on Friday, July 26th, 2019, may be obtained by visiting the Empire State Purchasing Group website at: (www.bidnetdirect.com/new-york/city-of-newburgh), selecting the "Open Bids" tab and title of solicitation. Vendors may have to register if visiting this site for the first time.

Respondents are required to execute a Non-Collusive Bidding Affidavit pursuant to Section 103-d of the General Municipal Law of the State of New York. Responses submitted without a Non-Collusive Bidding Affidavit will not be considered.

Responses to this RFP shall be delivered to the City Comptroller by hand, mail or other courier type services and must be received on or before the specified due date and time. Facsimile or electronic mail submissions will not be accepted. **Vendors are responsible for timely delivery of their Proposals.** There will be no exceptions.

The City of Newburgh hereby notifies all prospective vendors that it will affirmatively insure that in regard to any Contract entered into pursuant to this Notice, minority business enterprises will be afforded full opportunity to submit proposals in response to this invitation and will not be discriminated against on the grounds of race, color or national origin in consideration of an award.

The City of Newburgh reserves the right to reject any or all Proposals and to waive any informality or technicality in any Proposal deemed to be in the best interest of the City. Contract award may be subject to approval by the City Council.

By Order of the City of Newburgh:

By:


Todd Venning, City Comptroller

Dated: Wednesday, July 19, 2019

"AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION EMPLOYER"

Advertisement Date:	Hudson Valley Black Press:	Wednesday, July 24, 2019
	Mid-Hudson Times:	Wednesday, July 24, 2019
	Sentinel	Friday, July 26, 2019

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO
A SOFTWARE LICENSE AND SERVICE AGREEMENT
WITH PASSPORT LABS, INC.
FOR MUNICIPAL PARKING SERVICES AND RELATED EQUIPMENT**

WHEREAS, the City of Newburgh has determined to upgrade its technology related to municipal parking services and enforcement; and

WHEREAS, the City has identified the Passport Parking Management System, a product of Passport Labs, Inc. as a system that would benefit the City with new, interactive technology and better parking services and enforcement techniques; and

WHEREAS, the Passport Parking Management software allows for system users to pay by credit card, and all merchant processing fees will be paid for by the end user; and

WHEREAS, the proposed Agreement is for a term of three years; and

WHEREAS, this Council finds it to be in the best interest of the City of Newburgh to enter into this Agreement to provide municipal parking services;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that the City Manager be and he is hereby authorized to enter into an agreement with Passport Labs, Inc. with all such terms and conditions as may be required by the Corporation Counsel for the Passport Parking Management System to provide municipal parking services.

RESOLUTION NO.: _____-2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE EXECUTION OF A RELEASE OF
RESTRICTIVE COVENANTS AND RIGHT OF RE-ENTRY FROM A DEED
ISSUED TO BRIAN REILLY AND BARBARA REILLY TO THE PREMISES
KNOWN AS 27 JOHNSTON STREET (SECTION 30, BLOCK 2, LOT 16)**

WHEREAS, on April 27, 1998, the City of Newburgh conveyed property located at 27 Johnston Street, being more accurately described on the official Tax Map of the City of Newburgh as Section 30, Block 2, Lot 16, to Brian Reilly and Barbara Reilly; and

WHEREAS, a prospective purchaser, by its attorney, has requested a release of the restrictive covenants contained in said deed; and

WHEREAS, this Council believes it is in the best interest of the City of Newburgh to grant such request;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to execute the release, annexed hereto and made a part of this resolution, of restrictive covenants numbered 1, 2, 3, and 4 of the aforementioned deed.

KNOWN ALL PERSONS BY THESE PRESENTS, that the City of Newburgh, a municipal corporation organized and existing under the Laws of the State of New York, and having its principal office at City Hall, 83 Broadway, Newburgh, New York 12550, in consideration of TEN (\$10.00) DOLLARS lawful money of the United States and other good and valuable consideration, receipt of which is hereby acknowledged, does hereby release and forever quitclaim the premises described as 27 Johnston Street, Section 30, Block 2, Lot 16 on the Official Tax Map of the City of Newburgh, from those restrictive covenants numbered 1, 2, 3, and 4 in a deed dated April 27, 1998, from THE CITY OF NEWBURGH to BRIAN REILLY and BARBARA REILLY, recorded in the Orange County Clerk's Office on October 19, 2004, in Liber 11646 of Deeds at Page 341 and does further release said premises from the right of re-entry reserved in favor of the City of Newburgh as set forth in said deed.

THE CITY OF NEWBURGH

By: _____
Joseph Donat, City Manager
Pursuant to Res. No.: _____-2019

STATE OF NEW YORK)
) ss.:
COUNTY OF ORANGE)

On the ____ day of _____ in the year 2019, before me, the undersigned, a Notary Public in and for said State, personally appeared JOSEPH DONAT, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted; executed the instrument.

RESOLUTION NO.: _____-2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE EXECUTION
OF A RELEASE OF RESTRICTIVE COVENANTS AND RIGHT OF RE-ENTRY
FROM A DEED ISSUED TO LABRADOR ASSOCIATES, L.P. TO THE PREMISES
KNOWN AS 166 FIRST STREET (F/K/A 166-168 FIRST STREET) (SECTION 23,
BLOCK 7, LOT 18) AND 170 FIRST STREET (SECTION 23, BLOCK 7, LOT 19)**

WHEREAS, on March 26, 2004, the City of Newburgh conveyed properties located at 166 First Street (f/k/a 166-168 First Street) and 170 First Street, being more accurately described on the official Tax Map of the City of Newburgh as Section 23, Block 7, Lot 18 and Section 23, Block 7, Lot 19, respectively, to Labrador Associates, L.P.; and

WHEREAS, the owner, by its attorney, has requested a release of the restrictive covenants contained in said deed; and

WHEREAS, this Council believes it is in the best interest of the City of Newburgh to grant such request;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to execute the release, annexed hereto and made a part of this resolution, of restrictive covenants numbered 1, 2, 3, 4, and 5 of the aforementioned deed.

KNOWN ALL PERSONS BY THESE PRESENTS, that the City of Newburgh, a municipal corporation organized and existing under the Laws of the State of New York, and having its principal office at City Hall, 83 Broadway, Newburgh, New York 12550, in consideration of TEN (\$10.00) DOLLARS lawful money of the United States and other good and valuable consideration, receipt of which is hereby acknowledged, does hereby release and forever quitclaim the premises described as 166 First Street (f/k/a 166-168 First Street) and 170 First Street, being more accurately described on the official Tax Map of the City of Newburgh as Section 23, Block 7, Lot 18 and Section 23, Block 7, Lot 19, respectively, from those restrictive covenants numbered 1, 2, 3, 4, and 5 in a deed dated March 26, 2004, from THE CITY OF NEWBURGH to LABRADOR ASSOCIATES, L.P., recorded in the Orange County Clerk's Office on April 23, 2004, in Liber 11470 of Deeds at Page 286 and does further release said premises from the right of re-entry reserved in favor of the City of Newburgh as set forth in said deed.

THE CITY OF NEWBURGH

By: _____
Joseph Donat, City Manager
Pursuant to Res. No.: _____-2019

STATE OF NEW YORK)
) ss.:
COUNTY OF ORANGE)

On the ____ day of _____ in the year 2019, before me, the undersigned, a Notary Public in and for said State, personally appeared JOSEPH DONAT, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted; executed the instrument.

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER
TO EXECUTE A SATISFACTION IN CONNECTION WITH
A MORTGAGE ISSUED TO JAMES M. TAYLOR, III AND KIMBERLY TAYLOR
FOR PREMISES LOCATED AT 75 SOUTH ROBINSON AVENUE
(SECTION 38, BLOCK 5, LOT 23)**

WHEREAS, by Resolution No.: 232-2015 of September 14, 2015, this Council authorized the conveyance of real property known as 75 South Robinson Avenue, more accurately described as Section 38, Block 5, Lot 23 on the official tax map of the City of Newburgh, to James M. Taylor, III and Kimberly Taylor, subject to certain terms and conditions; and

WHEREAS, Paragraph 5 of said Terms and Conditions of Sale provided that the City, as seller, hold a Purchase Money First Mortgage and Note for the balance of the purchase price; and

WHEREAS, said Note and Mortgage were executed by the mortgagor on November 9, 2015; and

WHEREAS, the terms of the mortgage instrument have been satisfied by the mortgagor, and the issuance of a Satisfaction of Mortgage, a copy of which is annexed hereto, is necessary and appropriate; and

WHEREAS, this Council has determined that executing said Satisfaction is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that the City Manager be and he is hereby authorized to execute the attached Satisfaction in connection with a mortgage issued to James M. Taylor, III and Kimberly Taylor for premises located at 75 South Robinson Avenue (Section 38, Block 5, Lot 23).

SATISFACTION OF MORTGAGE

KNOW ALL MEN BY THESE PRESENTS, THAT

The City of Newburgh, a municipal corporation with a principal place of business at 83 Broadway, City Hall, Newburgh, New York 12550;

Does hereby certify that the following mortgage is paid, and does hereby consent that the same be discharged of record:

MORTGAGE bearing the date of November 9, 2015, made by James M. Taylor, III and Kimberly Taylor to the City of Newburgh, given to secure payment of the principal sum of Thirty Thousand Six Hundred Ninety-Five and 54/100 (\$30,695.54) Dollars, and duly recorded in the Orange County Clerk's Office on February 22, 2016 in Liber 14012 at page 931;

which mortgage has not been further assigned of record.

Dated: August _____, 2019

CITY OF NEWBURGH

By: Joseph Donat, City Manager
Per Resolution No.: _____-2019

STATE OF NEW YORK)
)
COUNTY OF ORANGE) ss.:

On the _____ day of _____, 2019, before me, the undersigned, a Notary Public in and for said State, personally appeared JOSEPH DONAT, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or person upon behalf of which the individual acted, executed the instrument.

Notary Public

RECORD & RETURN TO:

RESOLUTION NO.: _____-2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE EXECUTION OF A RELEASE OF
RESTRICTIVE COVENANTS AND RIGHT OF RE-ENTRY FROM A DEED
ISSUED TO BRYCE WEBSTER TO THE PREMISES KNOWN AS
9 GIDNEY AVENUE (SECTION 11, BLOCK 5, LOT 2)**

WHEREAS, on September 24, 1982, the City of Newburgh conveyed property located at 9 Gidney Avenue, being more accurately described on the official Tax Map of the City of Newburgh as Section 11, Block 5, Lot 2, to Bryce Webster; and

WHEREAS, the current owner of the property, Myrtle Lee Williams, by her attorney, has requested a release of the restrictive covenants contained in said deed; and

WHEREAS, this Council believes it is in the best interest of the City of Newburgh to grant such request;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to execute the release, annexed hereto and made a part of this resolution, of restrictive covenants numbered 1, 2, 3, 4, and 5 of the aforementioned deed.

KNOWN ALL PERSONS BY THESE PRESENTS, that the City of Newburgh, a municipal corporation organized and existing under the Laws of the State of New York, and having its principal office at City Hall, 83 Broadway, Newburgh, New York 12550, in consideration of TEN (\$10.00) DOLLARS lawful money of the United States and other good and valuable consideration, receipt of which is hereby acknowledged, does hereby release and forever quitclaim the premises described as 9 Gidney Avenue, Section 11, Block 5, Lot 2 on the Official Tax Map of the City of Newburgh, from those restrictive covenants numbered 1, 2, 3, 4, and 5 in a deed dated September 24, 1982, from THE CITY OF NEWBURGH to BRYCE WEBSTER, recorded in the Orange County Clerk's Office on January 14, 1983, in Liber 2241 of Deeds at Page 617 and does further release said premises from the right of re-entry reserved in favor of the City of Newburgh as set forth in said deed.

THE CITY OF NEWBURGH

By: _____
Joseph Donat, City Manager
Pursuant to Res. No.: _____-2019

STATE OF NEW YORK)
) ss.:
COUNTY OF ORANGE)

On the ____ day of _____ in the year 2019, before me, the undersigned, a Notary Public in and for said State, personally appeared JOSEPH DONAT, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted; executed the instrument.

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO EXECUTE A
RELEASE OF RESTRICTIVE COVENANTS AND AGREEMENTS IN CONNECTION
WITH A RIGHT OF RE-ENTRY AND REVERTER HELD BY THE CITY OF NEWBURGH
FOR FOUR (4) PARCELS OF REAL PROPERTY LOCATED ON LANDER STREET**

WHEREAS, the City Council of the City of Newburgh (the “City”) previously authorized the preparation of deeds conveying title to four (4) parcels of real property located at 33 Lander Street (30 - 4 - 33), 98 Lander Street (f/k/a 98-100 Lander Street) (23 - 2 - 1), 116 Lander Street (f/k/a 114-118 Lander Street) (18 - 10 - 5.2), and 133 Lander Street (18 - 4 - 34) (collectively the “Parcels”); which parcels were to be rehabilitated and brought into complete compliance with all the then current State, County and City building, housing, plumbing, electrical, fire prevention, life safety, health statutes, codes, rules and regulations; and

WHEREAS, over the course of several motions by the City of Newburgh, the City recovered title to the Parcels by way Foreclosure of Tax Liens Pursuant to Article 11, Title 3 of the Real Property Tax Law; and

WHEREAS, by Resolution No. 192-2013 of September 12, 2013, Resolution No. 69-2015 of April 13, 2015, and Resolution No. 132-2017 of May 22, 2017, the City Council authorized the conveyance of the Parcels to the Newburgh Community Land Bank (“NCLB”); and

WHEREAS, the conveyance of the Parcels included reference to certain previously recorded rights of re-entry and reverter to the benefit of the City, which rights the NCLB has requested the City cancel so as to provide clear title to the Parcels for re-development; and

WHEREAS, this Council has determined that executing an instrument to cancel the restrictive covenants and agreements in the form of a Cancellation of Restrictive Covenant and Agreement, a copy of which is annexed hereto, is in the best interests of the City of Newburgh for the redevelopment of the Parcels; and

NOW, THEREFORE, BE IT RESOLVED, by the City Council of the City of Newburgh, New York, that the City Manager be and he is hereby authorized to execute the attached Cancellation of Restrictive Covenant and Agreement for the Parcels.

CANCELLATION OF RESTRICTIVE COVENANTS AND AGREEMENTS

WHEREAS the City of Newburgh, a municipal corporation organized and existing under the laws of the State of New York having its principal office at City Hall, 83 Broadway, Newburgh, New York 12250 did convey by multiple deeds certain real property (identified below and collectively referred to herein as "Parcels") to the Newburgh Community Land Bank, Inc., a not-for-profit organization having an address at P.O. Box 152, Newburgh, New York 12250 which deeds contain certain restrictive covenants and agreements:

As to that certain plot, piece or parcels of land, with the buildings and improvements thereon erected, situate, lying and being in the City of Newburgh, County of Orange, and State of New York, designated as Tax Parcel Section 30, Block 4 Lot 33 (known as 33 Lander Street, City of Newburgh, New York) (hereinafter "Parcel I") the City of Newburgh did convey Parcel I to the Newburgh Community Land Bank, Inc., by deed dated November 25, 2014 and recorded December 4, 2014 in the Orange County Clerk's Office in Liber 13823 Page 1986 which deed was:

"SUBJECT TO all easements, covenants and restrictions of record and not of record existing in the favor of The City of Newburgh prior to the vesting of title to the described premises in The City of Newburgh"

which accordingly included the right of re-entry and reverter for the City of Newburgh found at Liber 4555, Page 155, and a second right of re-entry and reverter for the City of Newburgh found at Liber 11005, Page 945; and

As to that certain plot, piece or parcels of land, with the buildings and improvements thereon erected, situate, lying and being in the City of Newburgh, County of Orange, and State of New York, designated as Tax Parcel Section 23, Block 2 Lot 1 (known as 98 Lander Street (f/k/a 98-100 Lander Street), City of Newburgh, New York) (hereinafter "Parcel II") the City of Newburgh did convey Parcel II to the Newburgh Community Land Bank, Inc., by deed dated May 15, 2015 and recorded May 20, 2015 in the Orange County Clerk's Office in Liber 13896 Page 1175 which deed was:

"SUBJECT TO all easements, covenants and restrictions of record and not of record existing in the favor of The City of Newburgh prior to the vesting of title to the described premises in The City of Newburgh"

which accordingly included the right of re-entry and reverter for the City of Newburgh found at Liber 4366, Page 193, and a second right of re-entry and reverter for the City of Newburgh found at Liber 11005, Page 58; and

As to that certain plot, piece or parcels of land, with the buildings and improvements thereon erected, situate, lying and being in the City of Newburgh, County of Orange, and State of New York, designated as part of Tax Parcel Section 18, Block 10 Lot 5.2 (known as 116 Lander Street (f/k/a 114-118 Lander Street), City of Newburgh, New York) (hereinafter "Parcel III") the City of Newburgh did convey Parcel II to the Newburgh Community Land Bank, Inc., by deed dated May 15, 2015 and

recorded May 20, 2015 in the Orange County Clerk's Office in Liber 13896 Page 1175 which deed was:

“SUBJECT TO all easements, covenants and restrictions of record and not of record existing in the favor of The City of Newburgh prior to the vesting of title to the described premises in The City of Newburgh”

which accordingly included the right of re-entry and reverter for the City of Newburgh found at Liber 5668, Page 221; and

As to that certain plot, piece or parcels of land, with the buildings and improvements thereon erected, situate, lying and being in the City of Newburgh, County of Orange, and State of New York, designated as Tax Parcel Section 18, Block 4 Lot 34 (known as 133 Lander Street, City of Newburgh, New York) (hereinafter "Parcel IV") the City of Newburgh did convey Parcel IV to the Newburgh Community Land Bank, Inc., by deed dated September 8, 2017 and recorded September 13, 2017 in the Orange County Clerk's Office in Liber 14289 Page 883 which deed was:

“SUBJECT TO all easements, covenants and restrictions of record and not of record existing in the favor of The City of Newburgh prior to the vesting of title to the described premises in The City of Newburgh”

which accordingly included the right of re-entry and reverter for the City of Newburgh found at Liber 12621, Page 1475;

Now, therefore in consideration of the premises and the sum of ten dollars (\$10.00) and other valuable consideration, receipt of which is hereby acknowledged, the City of Newburgh hereby cancels the restrictive covenants, right of re-entry and reverter as against the Parcels. The restrictive covenants, right of re-entry and reverter on the Parcels shall be void and of no force and effect.

[ADDRESS LIST OF PARCELS TO FOLLOW]

Address List of Parcels:

Tax Parcel: Section 30, Block 4, Lot 33
Address: 33 Lander Street, Newburgh, New York

Tax Parcel: Section 23, Block 2, Lot 1
Address: 98 Lander Street, Newburgh, New York
(f/k/a 98-100 Lander Street, Newburgh, New York)

Tax Parcel: Part of Section 18, Block 10, Lot 5.2
Address: 116 Lander Street, Newburgh, New York
(f/k/a 114-118 Lander Street, Newburgh, New York)

Tax Parcel: Section 18, Block 4, Lot 34
Address: 133 Lander Street, Newburgh, New York

[SIGNATURE PAGE TO CANCELLATION OF RESTRICTIVE COVENANTS
AND AGREEMENTS TO FOLLOW]

Signature Page, Cancellation of Restrictive Covenants and Agreements
Parcels: 33 Lander Street, 98 Lander Street, 116 Lander Street, 133 Lander Street, Newburgh, NY

WHEREFORE, this Cancellation of Restrictive Covenants and Agreements has been executed this _____ day of _____, 2019.

CITY OF NEWBURGH

Name: Joseph P. Donat, City Manager
Per Resolution No. _____ - 2019

STATE OF NEW YORK)
) ss:
COUNTY OF ORANGE)

On the _____ day of _____ in the year 2019, before me, the undersigned, personally appeared JOSEPH P. DONAT, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Notary Public

RECORD & RETURN TO:

Harris Beach PLLC
attn: David Rothman, Esq.
445 Hamilton Avenue, Suite 1206
White Plains, New York 10601

RESOLUTION NO.: _____-2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE EXECUTION
OF A RELEASE OF RESTRICTIVE COVENANTS AND RIGHT OF RE-ENTRY
FROM A DEED ISSUED TO WILLIAM J. MCCARTNEY, III TO THE PREMISES
KNOWN AS 184 RENWICK STREET (SECTION 45, BLOCK 15, LOT 11)**

WHEREAS, on April 5, 2018, the City of Newburgh conveyed property located at 184 Renwick Street, being more accurately described on the official Tax Map of the City of Newburgh as Section 45, Block 15, Lot 11, to William J. McCartney, III; and

WHEREAS, the owner has requested a release of the restrictive covenants contained in said deed; and

WHEREAS, it has been determined that such release be granted; and

WHEREAS, this Council believes it is in the best interest of the City of Newburgh to grant such request;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to execute the release, annexed hereto and made a part of this resolution, of restrictive covenants numbered 1, 2, 3, 4 and 5 of the aforementioned deed.

**RELEASE OF COVENANTS AND
RIGHT OF RE-ENTRY**

KNOWN ALL PERSONS BY THESE PRESENTS, that the City of Newburgh, a municipal corporation organized and existing under the Laws of the State of New York, and having its principal office at City Hall, 83 Broadway, Newburgh, New York 12550, in consideration of TEN (\$10.00) DOLLARS lawful money of the United States and other good and valuable consideration, receipt of which is hereby acknowledged, does hereby release and forever quitclaim the premises described as 184 Renwick Street, Section 45, Block 15, Lot 11 on the Official Tax Map of the City of Newburgh, from those restrictive covenants numbered 1, 2, 3, 4 and 5 in a deed dated April 5, 2018 from THE CITY OF NEWBURGH to William J. McCartney, III, recorded in the Orange County Clerk's Office on April 9, 2018, in Liber 14386 of Deeds at Page 1204 and does further release said premises from the right of re-entry reserved in favor of the City of Newburgh as set forth in said deed.

Dated: _____, 2019

THE CITY OF NEWBURGH

By: _____
Joseph Donat, City Manager
Pursuant to Res. No.: -2019

STATE OF NEW YORK)
)ss.:
COUNTY OF ORANGE)

On the ____ day of _____ in the year 2019, before me, the undersigned, a Notary Public in and for said State, personally appeared JOSEPH DONAT, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted; executed the instrument.

RECORD & RETURN TO: _____

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION TO AUTHORIZE THE CONVEYANCE OF REAL PROPERTY
KNOWN AS 33 SHIPP STREET (SECTION 14, BLOCK 1, LOT 11) AT PRIVATE SALE TO
CHRISTOPHER SCHUCK FOR THE AMOUNT OF \$60,000.00**

WHEREAS, the City of Newburgh has acquired title to several parcels of real property by foreclosure *In Rem* pursuant of Article 11 Title 3 of the Real Property Tax Law of the State of New York; and

WHEREAS, pursuant to Section 1166 of the Real Property Tax Law the City may sell properties acquired by foreclosure *In Rem* at private sale; and

WHEREAS, the City of Newburgh desires to sell 33 Shipp Street, being more accurately described as Section 14, Block 1, Lot 11, on the official tax map of the City of Newburgh; and

WHEREAS, the prospective buyer has offered to purchase this property at private sale; and

WHEREAS, this Council has determined that it would be in the best interests of the City of Newburgh to sell said property to the prospective buyer for the sum as outlined below, and upon the same terms and conditions annexed hereto and made a part hereof;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that the sale of the property be and hereby is confirmed and the City Manager is authorized and directed to execute and deliver a quitclaim deed to said purchaser upon receipt of the indicated purchase price in money order, good certified or bank check, made payable to **THE CITY OF NEWBURGH**, such sums are to be paid on or before November 15, 2019, being approximately ninety (90) days from the date of this resolution; and

<u>Property address</u>	<u>Section, Block, Lot</u>	<u>Purchaser</u>	<u>Purchase Price</u>
33 Shipp Street	14 - 1 - 11	Christopher Schuck	\$60,000.00

BE IT FURTHER RESOLVED, by the Council of the City of Newburgh, New York, that the parcels are not required for public use.

Terms and Conditions Sale

33 Shipp Street, City of Newburgh (SBL: 14-1-11)

STANDARD TERMS:

1. City of Newburgh acquired title to this property in accordance with Article 11 of the Real Property Tax Law of the State of New York, and all known rights of redemption under said provisions of law have been extinguished by the tax sale proceedings and/or as a result of forfeiture.
2. For purposes of these Terms and Conditions, parcel shall be defined as a section, block and lot number.
3. All real property, including any buildings thereon, is sold "AS IS" and without any representation or warranty whatsoever as to the condition or title, and subject to: (a) any state of facts an accurate survey or personal inspection of the premises would disclose; (b) applicable zoning/land use/building regulations; (c) water and sewer assessments are the responsibility of the purchaser, whether they are received or not; (d) easements, covenants, conditions and rights-of-way of record existing at the time of the levy of the tax, the non-payment of which resulted in the tax sale in which City of Newburgh acquired title; and (e) for purposes of taxation, the purchaser shall be deemed to be the owner prior to the next applicable taxable status date after the date of sale.
4. The properties are sold subject to unpaid school taxes for the tax year of 2019-2020, and also subject to all school taxes levied subsequent to the date of the City Council resolution authorizing the sale. The purchaser shall reimburse the City for any school taxes paid by the City for the tax year 2019-2020, and subsequent levies up to the date of the closing. Upon the closing, the properties shall become subject to taxation. Water and sewer charges and sanitation fees will be paid by the City to the date of closing.
5. **WARNING: FAILURE TO COMPLY WITH THE TERMS OF THIS PARAGRAPH MAY RESULT IN YOUR LOSS OF THE PROPERTY AFTER PURCHASE.** The deed will contain provisions stating that the purchaser is required to rehabilitate any building on the property and bring it into compliance with all State, County and Local standards for occupancy within (18) months of the date of the deed. Within such eighteen (18) month time period the purchaser must either: obtain a Certificate of Occupancy for all buildings on the property; make all buildings granted a Certificate of Occupancy before the date of purchase fit for the use stated in such Certificate of Occupancy; or demolish such buildings. The deed shall require the purchaser to schedule an inspection by City officials at or before the end of the eighteen (18) month period. If the purchaser has not complied with the deed provisions regarding rehabilitation of the property and obtained a Certificate of Occupancy or Certificate of Compliance by that time, then the title to the property shall revert to the City of Newburgh. The deed shall also provide that the property shall not be conveyed to any other person before a Certificate of Occupancy or Certificate of Compliance is issued. A written request made to the City Manager for an extension of the eighteen (18) month rehabilitation period shall be accompanied by a non-refundable fee of \$250.00 per parcel for which a request is submitted. The City Manager may, in his sole discretion and for good cause shown, grant one extension of time to rehabilitate of up to, but not to exceed, three (3) months. Any additional request thereafter shall be made in writing and placed before the City Council for their consideration.
6. The City makes no representation as to whether the property is vacant and/or unoccupied. Evictions, if necessary, are solely the responsibility of the purchaser after closing and recording of the deed. The parcel is being sold subject to the City's Vacant Property Ordinance (Chapter 121) and all provisions of law applicable thereto. Within 30 days of closing, the purchaser must register the property and pay any applicable fees or submit an acceptable rehabilitation plan to the Building Department.
7. All purchasers are advised to personally inspect the premises and to examine title to the premises prior to the date upon which the sale is scheduled to take place. Upon delivery of the quitclaim deed by the City of Newburgh to the successful purchaser, any and all claims with respect to title to the premises are merged in the deed and do not survive.
8. No personal property is included in the sale of any of the parcels owned by City of Newburgh, unless the former owner or occupant has abandoned same. The disposition of any personal property located on any parcel sold shall be the sole responsibility of the purchaser following the closing of sale.

9. The City makes no representation, express or implied, as to the condition of any property, warranty of title, or as to the suitability of any for any particular use or occupancy. Property may contain paint or other similar surface coating material containing lead. Purchaser shall be responsible for the correction of such conditions when required by applicable law. Property also may contain other environmental hazards. Purchaser shall be responsible for ascertaining and investigating such conditions prior to bidding. Purchaser shall be responsible for investigating and ascertaining from the City Building Inspector's records the legal permitted use of any property prior to closing. Purchaser acknowledges receipt of the pamphlet entitled "Protecting Your Family from Lead in Your Home." Purchaser also acknowledges that he/she has had the opportunity to conduct a risk assessment or inspection of the premises for the presence of lead-based paint, lead-based paint hazards or mold.
10. The entire purchase price and all closing costs/fees must be paid **by Purchaser** by money order or guaranteed funds to the City of Newburgh Comptroller's Office by the date listed in the approved City Council Resolution, notwithstanding any extensions of time granted pursuant to terms contained herein ("Closing Deadline"). Such closing costs/fees may include, but are not limited to: recording fees, tax adjustments as of the day of closing, fuel oil adjustments, and applicable condominium charges (e.g. monthly maintenance charges, assessment charges, transfer buy-in fees, and/or closing package ordering fees). *The City of Newburgh does not accept credit card payments for the purchase price and closing costs/fees. The City is not required to send notice of acceptance or any other notice to a purchaser.*
11. Provided that the sale is not subject to an owner-occupancy restriction as set forth in paragraph 20, the purchaser, as grantee, may take title as a natural person or as an entity wherein purchaser is an officer or managing member of said entity. If purchaser takes title as an entity, purchaser must provide an affidavit listing all of the members or shareholders of said entity, their addresses, their phone numbers, and their percentage ownership stake in the entity. Purchaser must have at least a fifty-one (51%) ownership stake in said entity in order for said entity to take title.
12. The City Manager may, in his sole discretion and for good cause shown, grant one extension of time to close title of up to, but not to exceed, sixty (60) additional days from the Closing Deadline. No request shall be entertained unless in writing, stating the reasons therefor, and unless accompanied by a fee of \$250.00 per parcel for which a request is submitted. The fee shall be in addition to all other fees and deposits and shall not be credited against the purchase price and shall not be returnable. Any additional request made thereafter shall be made in writing and placed before the City Council for its consideration.
13. In the event that a sale is cancelled by court order, judgment, the Comptroller or the Newburgh City Council, the purchaser shall be entitled only to a refund of the purchase money paid. Purchaser agrees that he shall not be entitled to special or consequential damages, attorney's fees, reimbursement for any expenses incurred as a result of ownership, improvements of property, or for taxes paid during period of ownership, and this agreement by the purchaser is a material condition of the sale.
14. Sale shall be final, absolute and without recourse once title is conveyed on the actual day of closing. In no event, shall City of Newburgh be or become liable for any defects in title for any cause whatsoever, and no claim, demand or suit of any nature shall exist in favor of the purchaser, his heirs, successors or assigns, against City of Newburgh arising from this sale.
15. Conveyance shall be by quitclaim deed only, containing a description of the property as it appeared on the tax roll for the year upon which the City acquired title or as corrected up to date of deed. The deed will be recorded by the City upon payment in full of the purchase price, tax reimbursements, buyer's premium (if applicable), and closing fees/costs. Possession of property is forbidden until the deed is recorded conveying title to the purchaser. **Title vests upon conveyance of deed.**
16. Upon closing, the City shall deliver a quitclaim deed conveying all of its right, title and interest in the subject property, which deed shall be drawn by the City Corporation Counsel. The City shall not convey its interest in any street, water, sewer or drainage easement, or any other interest the City may have in the property. The City shall only convey that interest obtained by the City pursuant to the judgment rendered in an *in rem* tax foreclosure action filed in the Orange County Clerk's Office.
17. The description of the property shall be from the City of Newburgh Tax Map reference or a survey description certified to the City of Newburgh. Any survey description shall be provided to the City Corporation Counsel by the purchaser at least thirty (30) days in advance of closing title and approved by the City's Engineer.

18. By acknowledging and executing these Terms & Conditions, the purchaser certifies that he/she is not representing the former owner(s) of the property against whom City of Newburgh foreclosed and has no intent to defraud City of Newburgh of the unpaid taxes, assessment, penalties and charges which have been levied against the property. The purchaser agrees that neither he/she nor his/her assigns shall convey the property to the former owner(s) against whom City of Newburgh foreclosed within 24 months subsequent to the Closing Deadline date. If such conveyance occurs, purchaser understands that he/she may be found to have committed fraud, and/or intent to defraud, and will be liable for any deficiency between the purchase price at auction and such sums as may be owed to City of Newburgh as related to the foreclosure on the property and consents to immediate judgment by City of Newburgh for said amounts.
19. In the event that Seller engaged the services of a New York State Licensed Real Estate Broker in connection with this sale, Seller shall pay said Broker any commission earned pursuant to a separate agreement between Seller and Broker.
20. Within ten (10) business days of approval of sale by the City of Newburgh, the purchaser shall tender a non-refundable downpayment in the amount of **(\$6,000.00)** payable to "City of Newburgh" by money order or guaranteed funds to the City of Newburgh Comptroller's Office. At closing, the downpayment amount shall be credited against the purchase price.

ACKNOWLEDGED AND AGREED

Date: _____

Christopher Schuck

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION TO AUTHORIZE THE CONVEYANCE OF REAL PROPERTY
KNOWN AS 412 LIBERTY STREET (SECTION 10, BLOCK 1, LOT 46) AT PRIVATE SALE
TO CHRISTOPHER MANZIONE FOR THE AMOUNT OF \$220,000.00**

WHEREAS, the City of Newburgh has acquired title to several parcels of real property by foreclosure *In Rem* pursuant of Article 11 Title 3 of the Real Property Tax Law of the State of New York; and

WHEREAS, pursuant to Section 1166 of the Real Property Tax Law the City may sell properties acquired by foreclosure *In Rem* at private sale; and

WHEREAS, the City of Newburgh desires to sell 412 Liberty Street, being more accurately described as Section 10, Block 1, Lot 46 on the official tax map of the City of Newburgh; and

WHEREAS, the prospective buyer has offered to purchase this property at private sale; and

WHEREAS, this Council has determined that it would be in the best interests of the City of Newburgh to sell said property to the prospective buyer for the sum as outlined below, and upon the same terms and conditions annexed hereto and made a part hereof,

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that the sale of the following property to the indicated purchaser be and hereby is confirmed and the City Manager is authorized and directed to execute and deliver a quitclaim deed to said purchaser upon receipt of the indicated purchase price in money order, good certified or bank check, made payable to **THE CITY OF NEWBURGH**, such sums are to be paid on or before November 15, 2019, being approximately ninety (90) days from the date of this resolution; and

<u>Property address</u>	<u>Section, Block, Lot</u>	<u>Purchaser</u>	<u>Purchase Price</u>
412 Liberty Street	10 - 1 - 46	Christopher Manzione	\$220,000.00

BE IT FURTHER RESOLVED, by the Council of the City of Newburgh, New York, that the parcel is not required for public use.

Terms and Conditions Sale

412 Liberty Street, City of Newburgh (SBL: 10-1-46)

STANDARD TERMS:

1. City of Newburgh acquired title to this property in accordance with Article 11 of the Real Property Tax Law of the State of New York, and all known rights of redemption under said provisions of law have been extinguished by the tax sale proceedings and/or as a result of forfeiture.
2. For purposes of these Terms and Conditions, parcel shall be defined as a section, block and lot number.
3. All real property, including any buildings thereon, is sold "AS IS" and without any representation or warranty whatsoever as to the condition or title, and subject to: (a) any state of facts an accurate survey or personal inspection of the premises would disclose; (b) applicable zoning/land use/building regulations; (c) water and sewer assessments are the responsibility of the purchaser, whether they are received or not; (d) easements, covenants, conditions and rights-of-way of record existing at the time of the levy of the tax, the non-payment of which resulted in the tax sale in which City of Newburgh acquired title; and (e) for purposes of taxation, the purchaser shall be deemed to be the owner prior to the next applicable taxable status date after the date of sale.
4. The properties are sold subject to unpaid school taxes for the tax year of **2019-2020**, and also subject to all school taxes levied subsequent to the date of the City Council resolution authorizing the sale. The purchaser shall reimburse the City for any school taxes paid by the City for the tax year **2019-2020**, and subsequent levies up to the date of the closing. Upon the closing, the properties shall become subject to taxation. Water and sewer charges and sanitation fees will be paid by the City to the date of closing.
5. **WARNING: FAILURE TO COMPLY WITH THE TERMS OF THIS PARAGRAPH MAY RESULT IN YOUR LOSS OF THE PROPERTY AFTER PURCHASE.** The deed will contain provisions stating that the purchaser is required to rehabilitate any building on the property and bring it into compliance with all State, County and Local standards for occupancy within (18) months of the date of the deed. Within such eighteen (18) month time period the purchaser must either: obtain a Certificate of Occupancy for all buildings on the property; make all buildings granted a Certificate of Occupancy before the date of purchase fit for the use stated in such Certificate of Occupancy; or demolish such buildings. The deed shall require the purchaser to schedule an inspection by City officials at or before the end of the eighteen (18) month period. If the purchaser has not complied with the deed provisions regarding rehabilitation of the property and obtained a Certificate of Occupancy or Certificate of Compliance by that time, then the title to the property shall revert to the City of Newburgh. The deed shall also provide that the property shall not be conveyed to any other person before a Certificate of Occupancy or Certificate of Compliance is issued. A written request made to the City Manager for an extension of the eighteen (18) month rehabilitation period shall be accompanied by a non-refundable fee of \$250.00 per parcel for which a request is submitted. The City Manager may, in his sole discretion and for good cause shown, grant one extension of time to rehabilitate of up to, but not to exceed, three (3) months. Any additional request thereafter shall be made in writing and placed before the City Council for their consideration.
6. The City makes no representation as to whether the property is vacant and/or unoccupied. Evictions, if necessary, are solely the responsibility of the purchaser after closing and recording of the deed. The parcel is being sold subject to the City's Vacant Property Ordinance (Chapter 121) and all provisions of law applicable thereto. Within 30 days of closing, the purchaser must register the property and pay any applicable fees or submit an acceptable rehabilitation plan to the Building Department.
7. All purchasers are advised to personally inspect the premises and to examine title to the premises prior to the date upon which the sale is scheduled to take place. Upon delivery of the quitclaim deed by the City of Newburgh to the successful purchaser, any and all claims with respect to title to the premises are merged in the deed and do not survive.

8. No personal property is included in the sale of any of the parcels owned by City of Newburgh, unless the former owner or occupant has abandoned same. The disposition of any personal property located on any parcel sold shall be the sole responsibility of the purchaser following the closing of sale.
9. The City makes no representation, express or implied, as to the condition of any property, warranty of title, or as to the suitability of any for any particular use or occupancy. Property may contain paint or other similar surface coating material containing lead. Purchaser shall be responsible for the correction of such conditions when required by applicable law. Property also may contain other environmental hazards. Purchaser shall be responsible for ascertaining and investigating such conditions prior to bidding. Purchaser shall be responsible for investigating and ascertaining from the City Building Inspector's records the legal permitted use of any property prior to closing. Purchaser acknowledges receipt of the pamphlet entitled "Protecting Your Family from Lead in Your Home." Purchaser also acknowledges that he/she has had the opportunity to conduct a risk assessment or inspection of the premises for the presence of lead-based paint, lead-based paint hazards or mold.
10. The entire purchase price and all closing costs/fees must be paid by money order or guaranteed funds to the City of Newburgh Comptroller's Office by the date listed in the approved City Council Resolution, notwithstanding any extensions of time granted pursuant to terms contained herein ("Closing Deadline"). Such closing costs/fees may include, but are not limited to: recording fees, tax adjustments as of the day of closing, fuel oil adjustments, and applicable condominium charges (e.g. monthly maintenance charges, assessment charges, transfer buy-in fees, and/or closing package ordering fees). *The City of Newburgh does not accept credit card payments for the purchase price and closing costs/fees. The City is not required to send notice of acceptance or any other notice to a purchaser.* At closing, purchaser, as grantee, may take title as a natural person or as an entity wherein purchaser is an officer or managing member of said entity. If purchaser takes title as an entity, purchaser must provide an affidavit listing all of the members or shareholders of said entity, their addresses, their phone numbers, and their percentage ownership stake in the entity. Purchaser must have at least a fifty-one (51%) ownership stake in said entity in order for said entity to take title.
11. The City Manager may, in his sole discretion and for good cause shown, grant one extension of time to close title of up to, but not to exceed, sixty (60) additional days from the Closing Deadline. No request shall be entertained unless in writing, stating the reasons therefor, and unless accompanied by a fee of \$250.00 per parcel for which a request is submitted. The fee shall be in addition to all other fees and deposits and shall not be credited against the purchase price and shall not be returnable. Any additional request made thereafter shall be made in writing and placed before the City Council for its consideration.
12. In the event that a sale is cancelled by court order, judgment, the Comptroller or the Newburgh City Council, the purchaser shall be entitled only to a refund of the purchase money paid. Purchaser agrees that he shall not be entitled to special or consequential damages, attorney's fees, reimbursement for any expenses incurred as a result of ownership, improvements of property, or for taxes paid during period of ownership, and this agreement by the purchaser is a material condition of the sale.
13. Sale shall be final, absolute and without recourse once title is conveyed on the actual day of closing. In no event, shall City of Newburgh be or become liable for any defects in title for any cause whatsoever, and no claim, demand or suit of any nature shall exist in favor of the purchaser, his heirs, successors or assigns, against City of Newburgh arising from this sale.
14. Conveyance shall be by quitclaim deed only, containing a description of the property as it appeared on the tax roll for the year upon which the City acquired title or as corrected up to date of deed. The deed will be recorded by the City upon payment in full of the purchase price, tax reimbursements, buyer's premium (if applicable), and closing fees/costs. Possession of property is forbidden until the deed is recorded conveying title to the purchaser. **Title vests upon conveyance of deed.**
15. Upon closing, the City shall deliver a quitclaim deed conveying all of its right, title and interest in the subject property, which deed shall be drawn by the City Corporation Counsel. The City shall not convey its interest in any street, water, sewer or drainage easement, or any other interest the City may have in the property. The City shall only convey that interest obtained by the City pursuant to the judgment rendered in an *in rem* tax foreclosure action filed in the Orange County Clerk's Office.

16. The description of the property shall be from the City of Newburgh Tax Map reference or a survey description certified to the City of Newburgh. Any survey description shall be provided to the City Corporation Counsel by the purchaser at least thirty (30) days in advance of closing title and approved by the City's Engineer.
17. By acknowledging and executing these Terms & Conditions, the purchaser certifies that he/she is not representing the former owner(s) of the property against whom City of Newburgh foreclosed and has no intent to defraud City of Newburgh of the unpaid taxes, assessment, penalties and charges which have been levied against the property. The purchaser agrees that neither he/she nor his/her assigns shall convey the property to the former owner(s) against whom City of Newburgh foreclosed within 24 months subsequent to the Closing Deadline date. If such conveyance occurs, purchaser understands that he/she may be found to have committed fraud, and/or intent to defraud, and will be liable for any deficiency between the purchase price at auction and such sums as may be owed to City of Newburgh as related to the foreclosure on the property and consents to immediate judgment by City of Newburgh for said amounts.
18. In the event that Seller engaged the services of a New York State Licensed Real Estate Broker in connection with this sale, Seller shall pay said Broker any commission earned pursuant to a separate agreement between Seller and Broker.
19. The property is sold subject to an owner-occupancy restriction. The purchaser has agreed to purchase the property subject to the five (5) year owner occupancy restriction shall, within 18 months of the delivery of the deed, establish his domicile and principal residence at said premises and maintain his domicile and principal residence at said premises for a period of at least five (5) years thereafter, provided that within said five (5) year period, the purchaser may convey said premises to another who shall also maintain their domicile and principal residence at said premises for said period. This shall be set forth as a restrictive covenant in the deed, subject upon its breach, to a right of re-entry in favor of the City of Newburgh. This shall be in addition to all other provisions, covenants and conditions set forth in the Terms of Sale.
20. Notice is given that the property lies within either the East End Historic District or the Colonial Terraces Architectural Design District as designated in the City of Newburgh's current zoning map. This parcel is sold subject to all provision of law applicable thereto. It is the sole responsibility of the purchaser to redevelop such parcel so designated in accordance any additional laws, rules or regulations applicable to those districts.

ACKNOWLEDGED AND AGREED

Date: _____

(Purchaser Name)

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO
A LICENSE AGREEMENT WITH THE NEWBURGH COMMUNITY LAND BANK
FOR USE AND ACCESS TO 182 BROADWAY (SECTION 30, BLOCK 1, LOT 32)
AS A DISPLAY SITE FOR ITS ARTIST IN VACANCY INITIATIVE**

WHEREAS, The Newburgh Community Land Bank, a non-profit organization, has undertaken an Artist in Vacancy program which seeks to transform vacant properties located in its core neighborhood into temporary creative spaces to engage the community and promote the arts in the City of Newburgh;

WHEREAS, by Resolution No. 212-2018 of August 13, 2018, the City Council authorized a license agreement the Newburgh Community Land Bank to allow access to the first floor of City-owned property located at 182 Broadway, more accurately described as Section 30, Block 1, Lot 32 on the official Tax Map of the City of Newburgh (Section 30, Block 1, Lot 32) for the purpose of installing and displaying a temporary art exhibit for public viewing curated by the New York and Mexico City-based artist collective, Suzuki Gonzalez; and

WHEREAS, in 2019 the Newburgh Community Land Bank is proposing a series of installations by New York and Hudson Valley artist, Hanny Ahern and the proposed art installations require a license agreement, for use and access to 182 Broadway, a copy of which is attached hereto and made a part of this resolution; and

WHEREAS, this Council has reviewed such license and has determined that entering into the same would be in the best interests of the City of Newburgh and its further development;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to enter into the attached license agreement with the Newburgh Community Land Bank to allow access to the first floor of City-owned property located at 182 Broadway (Section 30, Block 1, Lot 32) for the purpose of installing and displaying a temporary art exhibit for public viewing.

LICENSE AGREEMENT

THIS LICENSE AGREEMENT, dated as of _____, 2019, by and between:

THE CITY OF NEWBURGH, a New York municipal corporation with offices at 83 Broadway, City Hall, Newburgh, New York 12550 (“City” or “Licensor”); and

THE NEWBURGH COMMUNITY LAND BANK a non-profit corporation with an address of P.O. Box 152, Newburgh, New York 12550 (“Licensee”).

WHEREAS, the City is the owner of the property located at 182 Broadway in the City of Newburgh, and more accurately described as Section 30, Block 1, Lot 32 on the official tax map of the City of Newburgh (hereinafter referred to as “the Property”); and

WHEREAS, the Licensee has requested access to the Property for the purpose of undertaking the installation and exhibition of a temporary art exhibit and to sponsor related art and cultural events open to the public;

NOW, THEREFORE, it is hereby agreed between the parties as follows:

Section 1. Grant of License. The City hereby represents that it owns the Property, located at 182 Broadway in the City of Newburgh, and more accurately described as Section 30, Block 1, Lot 32 on the official tax map of the City of Newburgh, and that it has duly authorized this License Agreement. The City hereby grants Licensee a revocable license for Licensee and Licensee’s employees, volunteers, agents and contractors, upon the conditions hereinafter stated, the license or privilege of entering upon the first floor of the Property, and taking thereupon such equipment, tools, machinery and other materials as may be necessary, for the purposes of and to install and display a temporary art exhibit for public display and to sponsor other related art and cultural events open to the public referred to herein as the “Work”.

Section 2. Use of and Access to 182 Broadway. Entry to the Property is limited to the use of the first floor of the building and access necessary to install and display a temporary art exhibit for public viewing and to sponsor related art and cultural events open to the public. Licensee agrees to do the Work and perform such tasks, including but not limited to cleaning, routine maintenance and painting, in such manner as will comply fully with the provisions of any laws, ordinances or other lawful authority, obtaining any and all permits required thereby. Licensee shall give Licensor no less than forty-eight (48) hours advance notice of its commencement of the Work in or on the Property and shall have duly authorized representatives on-site during all art and cultural events which are open and available to the public. Prior to the expiration of the license, Licensee shall remove all materials related to the art installation and leave the Property in broom clean condition. Licensor shall be responsible for establishing electric service during the term of this License Agreement for which Licensee shall reimburse Licensor for all costs and expenses related to the electric service during the term of this License Agreement.

Section 3. Insurance. The Licensee shall not commence or perform work nor operate machinery under this License Agreement until it has obtained all insurance required under this Section 3 and such insurance has been approved by the City.

A. Workers' Compensation Insurance - The Licensee shall take out and maintain during the life of this agreement such Workers' Compensation Insurance for its employees or members to be assigned to the work hereunder as may be required by New York State Law.

B. General Liability and Property Damage Insurance - The Licensee shall take out and maintain during the life of this agreement such general liability and property damage insurance as shall protect it and the City which shall be named as additional insured on all such policies from claims for damages for personal injury including accidental death, as well as from claims for property damage which may arise from operations under this agreement. The amounts of such insurance shall be as follows:

1. General Liability Insurance in an amount not less than \$1,000,000.00 for injuries including wrongful death to any one person and subject to the same limit for each person, in an amount not less than \$3,000,000.00 on account of any one occurrence.

2. Property Damage Insurance in an amount not less than \$50,000.00 for damage on account of all occurrences.

The Licensee shall furnish the above insurance to the City and shall also name the City as an additional named insured in said policies. Such insurance shall be maintained in force during the entire term of this License Agreement.

C. Licensee may retain employees, agents, contractors and consultants to perform the subject work. In the contract by which Licensee retains such agents, Licensee and such agents shall provide and maintain insurances as required by this Section 3 and name Licensor as additional insured under insurance coverage concerning Licensee's performance of the work referenced herein.

Section 4. Costs and expenses. It is expressly understood that Licensee will be responsible for all costs and expenses related to the Work.

Section 5. Damages. The relation of the Licensee to the City as to the Work to be performed by it under this agreement shall be that of an independent contractor. As an independent contractor, it will be responsible for all damage, loss or injury to persons or property that may arise in or be incurred during the conduct and progress of said performances arising out of the negligent performance, other than those wholly caused by Acts of God. The Licensee shall make good any damages that may occur in consequence of the performances or any part of it. The Licensee shall assume all blame, loss and responsibility of any nature by reason of the Licensee's neglect or violation of any federal, state, county or local laws, regulations or ordinances applicable to the Licensee and/or the nature of its performance or arising out of its activities licensed hereby.

Section 6. Defense and Indemnity. Licensee shall defend, indemnify and hold the City harmless against any and all claims, actions, proceedings, and lawsuits arising out of or relating to the access and use of the Property under this License Agreement, excepting gross negligence or misconduct by the City.

Section 7. Term of License. The license or privilege hereby given shall commence August 16, 2019 and shall expire without further notice to either party to the other on October 1, 2019.

Section 8. Assignment of License; No Sub-Licensing. This License may not be assigned or sub-let to any other party.

Section 9. Termination of License. Either party may terminate this license prior to the expiration of the term specified in paragraph 6, with or without cause, on at least ten (10) days prior written notice to the other party. Upon termination by either party, Licensee shall not be entitled to reimbursement of any of its costs, and Licensee and its agents, employees and contractors will restore of the property to a clean and orderly state and in substantially the same condition as existed prior to the granting of this license. The City may terminate this license agreement by five (5) days' written notice when and if in its sole judgment it deems such termination is necessary in the City's sole reasonable discretion.

Section 10. New York Law. This License Agreement shall be construed under New York law and any and all proceedings brought by either party arising out of or related to this License shall be brought in the New York Supreme Court, Orange County.

Section 11. Notices. Notices shall be in writing and shall be deemed properly served when deposited with the United States Postal Service, as certified mail, return receipt requested, bearing adequate postage or being deposited with a reputable overnight courier service for guaranteed next business day delivery and addressed as follows:

- a. If to Licensor:
City of Newburgh
Attn: City Manager
City Hall, 83 Broadway
Newburgh, New York 12550
(845) 569-7301

With a copy to
Corporation Counsel
City Hall, 83 Broadway
Newburgh, New York 12550
(845) 569-7335

- b. If to Licensee:
Newburgh Community Land Bank
Attn:

Section 12. Modification of License Agreement. This License Agreement may not be modified except by a writing subscribed by both parties to this Agreement.

Section 13. It is understood and agreed that no vested right in said premises is hereby granted or conveyed from either party to the other, and that the privileges hereby given are subject to any and all encumbrances, conditions, restrictions and reservations upon or under which the parties held said premises prior to the granting of this license.

WITNESSETH:

THE CITY OF NEWBURGH
LICENSOR

By: _____
Joseph P. Donat, City Manager
Per Resolution No.:

NEWBURGH COMMUNITY LAND BANK
LICENSEE

By: _____

Approved as to form:

MICHELLE KELSON
Corporation Counsel

TODD VENNING
City Comptroller

RESOLUTION NO.: 212 - 2018

OF

AUGUST 13, 2018

A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO
A LICENSE AGREEMENT WITH THE NEWBURGH COMMUNITY LAND BANK
FOR USE AND ACCESS TO 182 BROADWAY (SECTION 30, BLOCK 1, LOT 32)
AS A DISPLAY SITE FOR ITS ARTIST IN VACANCY INITIATIVE

WHEREAS, The Newburgh Community Land Bank, a non-profit organization, has undertaken an Artist in Vacancy program which seeks to transform vacant properties located in its core neighborhood into temporary creative spaces to engage the community and promote the arts in the City of Newburgh;

WHEREAS, The New York and Mexico City-based artist collective, Suzuki Gonzalez, proposes to curate a multimedia installation inspired by retail windows and ethnographic displays and wishes to use the first floor of the building located at 182 Broadway, more accurately described as Section 30, Block 1, Lot 32 on the official Tax Map of the City of Newburgh, as a display location in the City of Newburgh; and

WHEREAS, the proposed art installation requires a license agreement, for use and access to 182 Broadway, a copy of which is attached hereto and made a part of this resolution; and

WHEREAS, this Council has reviewed such license and has determined that entering into the same would be in the best interests of the City of Newburgh and its further development;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to enter into the attached license agreement with the Newburgh Community Land Bank to allow access to the first floor of City-owned property located at 182 Broadway (Section 30, Block 1, Lot 32) for the purpose of installing and displaying a temporary art exhibit for public viewing.

I, Katrina Cotten, Deputy City Clerk of the City of Newburgh, hereby certify that I have compared the foregoing with the original resolution adopted by the Council of the City of Newburgh at a regular meeting held 8/13/18 and that it is a true and correct copy of such original.

Witness my hand and seal of the City of Newburgh this 14th day of Aug, 20 18

Katrina Cotten
Deputy City Clerk

LICENSE AGREEMENT

THIS LICENSE AGREEMENT, dated as of _____, 2018, by and between:

THE CITY OF NEWBURGH, a New York municipal corporation with offices at 83 Broadway, City Hall, Newburgh, New York 12550 ("City" or "Licensor"); and

THE NEWBURGH COMMUNITY LAND BANK a non-profit corporation with an address of P.O. Box 152, Newburgh, New York 12550 ("Licensee").

WHEREAS, the City is the owner of the property located at 182 Broadway in the City of Newburgh, and more accurately described as Section 30, Block 1, Lot 32 on the official tax map of the City of Newburgh (hereinafter referred to as "the Property"); and

WHEREAS, the Licensee has requested access to the Property for the purpose of undertaking the installation and exhibition of a temporary art exhibit and to sponsor related art and cultural events open to the public;

NOW, THEREFORE, it is hereby agreed between the parties as follows:

Section 1. Grant of License. The City hereby represents that it owns the Property, located at 182 Broadway in the City of Newburgh, and more accurately described as Section 30, Block 1, Lot 32 on the official tax map of the City of Newburgh, and that it has duly authorized this License Agreement. The City hereby grants Licensee a revocable license for Licensee and Licensee's employees, volunteers, agents and contractors, upon the conditions hereinafter stated, the license or privilege of entering upon the first floor of the Property, and taking thereupon such equipment, tools, machinery and other materials as may be necessary, for the purposes of and to install and display a temporary art exhibit for public display and to sponsor other related art and cultural events open to the public referred to herein as the "Work".

Section 2. Use of and Access to 182 Broadway. Entry to the Property is limited to the use of the first floor of the building and access necessary to install and display a temporary art exhibit for public viewing and to sponsor related art and cultural events open to the public. Licensee agrees to do the Work and perform such tasks, including but not limited to cleaning, routine maintenance and painting, in such manner as will comply fully with the provisions of any laws, ordinances or other lawful authority, obtaining any and all permits required thereby. Licensee shall give Licensor no less than forty-eight (48) hours advance notice of its commencement of the Work in or on the Property and shall have duly authorized representatives on-site during all art and cultural events which are open and available to the public. Prior to the expiration of the license, Licensee shall remove all materials related to the art installation and leave the Property in broom clean condition. Licensor shall be responsible for establishing electric service during the term of this License Agreement for which Licensee shall reimburse Licensor for all costs and expenses related to the electric service during the term of this License Agreement.

Section 3. Insurance. The Licensee shall not commence or perform work nor operate machinery under this License Agreement until it has obtained all insurance required under this Section 3 and such insurance has been approved by the City.

A. Workers' Compensation Insurance - The Licensee shall take out and maintain during the life of this agreement such Workers' Compensation Insurance for its employees or members to be assigned to the work hereunder as may be required by New York State Law.

B. General Liability and Property Damage Insurance - The Licensee shall take out and maintain during the life of this agreement such general liability and property damage insurance as shall protect it and the City which shall be named as additional insured on all such policies from claims for damages for personal injury including accidental death, as well as from claims for property damage which may arise from operations under this agreement. The amounts of such insurance shall be as follows:

1. General Liability Insurance in an amount not less than \$1,000,000.00 for injuries including wrongful death to any one person and subject to the same limit for each person, in an amount not less than \$3,000,000.00 on account of any one occurrence.

2. Property Damage Insurance in an amount not less than \$50,000.00 for damage on account of all occurrences.

The Licensee shall furnish the above insurance to the City and shall also name the City as an additional named insured in said policies. Such insurance shall be maintained in force during the entire term of this License Agreement.

C. Licensee may retain employees, agents, contractors and consultants to perform the subject work. In the contract by which Licensee retains such agents, Licensee and such agents shall provide and maintain insurances as required by this Section 3 and name Licensor as additional insured under insurance coverage concerning Licensee's performance of the work referenced herein.

Section 4. Costs and expenses. It is expressly understood that Licensee will be responsible for all costs and expenses related to the Work.

Section 5. Damages. The relation of the Licensee to the City as to the Work to be performed by it under this agreement shall be that of an independent contractor. As an independent contractor, it will be responsible for all damage, loss or injury to persons or property that may arise in or be incurred during the conduct and progress of said performances arising out of the negligent performance, other than those wholly caused by Acts of God. The Licensee shall make good any damages that may occur in consequence of the performances or any part of it. The Licensee shall assume all blame, loss and responsibility of any nature by reason of the Licensee's neglect or violation of any federal, state, county or local laws, regulations or ordinances applicable to the Licensee and/or the nature of its performance or arising out of its activities licensed hereby.

Section 6. Defense and Indemnity. Licensee shall defend, indemnify and hold the City harmless against any and all claims, actions, proceedings, and lawsuits arising out of or relating to the access and use of the Property under this License Agreement, excepting gross negligence or misconduct by the City.

Section 7. Term of License. The license or privilege hereby given shall commence August 20, 2018 and shall expire without further notice to either party to the other on October 2, 2018.

Section 8. Assignment of License; No Sub-Licensing. This License may not be assigned or sub-let to any other party.

Section 9. Termination of License. Either party may terminate this license prior to the expiration of the term specified in paragraph 6, with or without cause, on at least ten (10) days prior written notice to the other party. Upon termination by either party, Licensee shall not be entitled to reimbursement of any of its costs, and Licensee and its agents, employees and contractors will restore of the property to a clean and orderly state and in substantially the same condition as existed prior to the granting of this license. The City may terminate this license agreement by five (5) days' written notice when and if in its sole judgment it deems such termination is necessary in the City's sole reasonable discretion.

Section 10. New York Law. This License Agreement shall be construed under New York law and any and all proceedings brought by either party arising out of or related to this License shall be brought in the New York Supreme Court, Orange County.

Section 11. Notices. Notices shall be in writing and shall be deemed properly served when deposited with the United States Postal Service, as certified mail, return receipt requested, bearing adequate postage or being deposited with a reputable overnight courier service for guaranteed next business day delivery and addressed as follows:

- a. If to Licensors:
City of Newburgh
Attn: City Manager
City Hall, 83 Broadway
Newburgh, New York 12550
(845) 569-7301

With a copy to
Corporation Counsel
City Hall, 83 Broadway
Newburgh, New York 12550
(845) 569-7335

b. If to Licensee:

Newburgh Community Land Bank

Attn: *Madeline Fletcher*
15 Chambers St
Newburgh NY 12550


Section 12. Modification of License Agreement. This License Agreement may not be modified except by a writing subscribed by both parties to this Agreement.

Section 13. It is understood and agreed that no vested right in said premises is hereby granted or conveyed from either party to the other, and that the privileges hereby given are subject to any and all encumbrances, conditions, restrictions and reservations upon or under which the parties held said premises prior to the granting of this license.

WITNESSETH:

THE CITY OF NEWBURGH
LICENSOR

By: _____


AUG 28 2018
Michael G. Ciaravino, City Manager
Per Resolution No.: 212-2018

NEWBURGH COMMUNITY LAND BANK
LICENSEE

By: _____



Approved as to form:


MICHELLE KELSON
Corporation Counsel


KATHRYN MACK
City Comptroller



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
6/23/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Brown & Brown - Empire State 500 Plum Street, Ste. 200 Syracuse NY 13204	CONTACT NAME: Hillary Heintz, AAI, ACSR	
	PHONE (A/C, No, Ext): 315-474-3374	FAX (A/C, No): 315-474-7039
	E-MAIL ADDRESS: hheintz@bbempirestate.com	
	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A : Selective Ins Co of America	11111
	INSURER B :	
	INSURER C :	
	INSURER D :	
	INSURER E :	
	INSURER F :	

INSURED NEWBU-2
Newburgh Community Land Bank,
Inc.
PO Box 152
Newburgh NY 12550

COVERAGES **CERTIFICATE NUMBER:** 1145916415 **REVISION NUMBER:**
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER:	Y	Y	S2229416	7/1/2016	7/1/2017	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$300,000 MED EXP (Any one person) \$10,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$3,000,000 PRODUCTS - COMP/OP AGG \$3,000,000 \$
A	<input type="checkbox"/> AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY	Y	Y	S2229416	7/1/2016	7/1/2017	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DEO <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

General Liability:
Additional Insured Primary and/or Not Contributory Form #BP7194NY0413
Transfer of Rights of Recovery Waiver of Subrogation Form #BP7194NY0413

Automobile Liability:
Additional Insured Primary and/or Not Contributory Form #BP7194NY0413
Transfer of Rights of Recovery Waiver of Subrogation Form #BP7194NY0413

CERTIFICATE HOLDER City of Newburgh 83 Broadway Newburgh NY 12550	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE <i>Jane E. Brown</i>
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RESOLUTION NO.: _____-2019

OF

AUGUST 12, 2019

**A RESOLUTION AMENDING THE 2019 PERSONNEL ANALYSIS BOOK
TO CHANGE NEIGHBORHOOD STABILIZATION COORDINATOR
ON FROM TEMPORARY TO PROVISIONAL IN THE CITY OF NEWBURGH
DEPARTMENT OF PLANNING AND DEVELOPMENT**

WHEREAS, the City of Newburgh was awarded a Cities Rise Phase II grant to work on capacity building and intensive learning process around its code enforcement and distressed property needs and strategies and \$50,000 of said award was allocated to fund staff time to implement the program; and

WHEREAS, the Civil Service Commission approved the position of Neighborhood Stabilization Coordinator in connection with the implementation of the program and the City hired a temporary full-time staff member to support the program; and

WHEREAS, by Resolution No. 19-2019 of January 28, 2019, the City Council amended the Personnel Analysis Book for the fiscal year 2019 to add one (1) additional position on a temporary basis in the job title “Neighborhood Stabilization Coordinator” in the Department of Planning and Development; and

WHEREAS, the City was awarded additional grant funding which will be allocated to supporting the position of Neighborhood Stabilization Coordinator and the Department of Planning and Development has proposed to change the status from temporary to provisional; and

WHEREAS, the City Council finds that such further amendment to the 2019 Personnel Analysis Book is in the best interests of the City of Newburgh and its future development;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the Personnel Analysis Book for the fiscal year 2019 be further amended and to change the one (1) additional position in the job title “Neighborhood Stabilization Coordinator” in the Department of Planning and Development from temporary to provisional at a Grade 20, Step 1 with funding to be derived from CG 3620.101.3621.2020.

RESOLUTION NO.: 19-2019

OF

JANUARY 28, 2019

A RESOLUTION AMENDING THE 2019 PERSONNEL ANALYSIS BOOK
TO ADD ONE (1) NEIGHBORHOOD STABILIZATION COORDINATOR
ON A TEMPORARY BASIS IN THE CITY OF NEWBURGH
DEPARTMENT OF PLANNING AND DEVELOPMENT

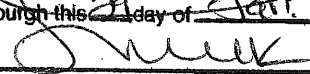
WHEREAS, the City of Newburgh was awarded a Cities Rise Phase II grant to work on capacity building and intensive learning process around its code enforcement and distressed property needs and strategies; and

WHEREAS, the grant award is \$50,000 to fund staff time to implement the program and the City intends to hire a temporary full-time staff member to support the program; and

WHEREAS, the Civil Service Commission has approved the position of Neighborhood Stabilization Coordinator in connection with the implementation of the program; and

WHEREAS, the City Council has determined that adding one temporary Neighborhood Stabilization Coordinator in the Department of Planning and Development is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the Personnel Analysis Book for the fiscal year 2019 be amended, and that there be and hereby is added one (1) additional position on a temporary basis in the job title "Neighborhood Stabilization Coordinator" in the Department of Planning and Development.

I, Lorene Vitek, City Clerk of the City of Newburgh,
hereby certify that I have compared the foregoing with the
original resolution adopted by the Council of the City of
Newburgh at a regular meeting held Jan. 28, 2019
and that it is a true and correct copy of such original.
Witness my hand and seal of the City of
Newburgh this 28 day of Jan. 20 19

City Clerk

City of Newburgh
Date Adopted: December 19, 2018

NEIGHBORHOOD STABILIZATION COORDINATOR

DISTINGUISHING FEATURES OF THE CLASS: Under the general supervision of the Director of the Planning and Development or their designee, the incumbent will actively enter the field to assess the City's vacant buildings stock and organize this information in the vacant building registry. The incumbent will also be expected to develop new systems and processes to make the City of Newburgh's approach to vacant building management and code enforcement more effective, efficient, and equitable. In doing so, the incumbent will be called upon to work closely with stakeholders inside and out of the City government and exercise a great deal of independent judgment. Supervision of others is not normally a responsibility of this class.

TYPICAL WORK ACTIVITIES: (Illustrative Only)

- **Monitor, record, and evaluate vacant buildings in the City of Newburgh**
- Research ownership information of vacant or abandoned building owners
- Facilitate a task force made up of various City departmental representatives to develop a comprehensive, city-wide approach to vacant buildings
- Work closely with the Department of Buildings & Code Compliance, Fire, Police, Corporation Counsel, and others, including members of the public
- Provide vacant building owners with information on resources available through New York State to address abandoned properties
- Evaluate and improve upon the existing City of Newburgh Vacant Building Registry
- Evaluate and improve upon the City's approach to vacant buildings
- Attend community meetings to discuss vacant buildings and the needs of City neighborhoods
- Work with Corporation Counsel to attend and provide testimony at code enforcement proceedings
- Develop a catalogue of actors within the City who are involved with vacant buildings

FULL PERFORMANCE KNOWLEDGE, SKILLS, ABILITIES AND PERSONAL CHARACTERISTICS:

- Working knowledge of law and/or urban management and/or city planning;
- Unrestricted access to a reliable automobile;
- Ability to self-manage and think creatively about work processes;
- Working knowledge of personal computers and office equipment;
- Ability to express ideas clearly in oral and written form;
- Ability to organize and maintain accurate records and files;
- Ability to establish and maintain effective working relationships with city officials and members of the public;
- Good communications skills;
- Good judgment;
- Physical condition commensurate with the demands of the position.

MINIMUM QUALIFICATIONS:

- A. Graduation from a regionally accredited college or university or one accredited by the New York State Board of Regents to grant degrees with a post-graduate degree in law, city planning, regional management, environmental planning, geography, or urban management; **OR**
- B. Graduation from a regionally accredited college or university with a **Bachelor's Degree** or one accredited by the New York State Board of Regents to grant degrees with three (3) years' experience working in the areas of law, city planning, regional management, environmental planning, geography, or urban management; **OR**
- C. Graduation from a regionally accredited college or university with an **Associate's Degree** or one accredited by the New York State Board of Regents to grant degrees with five (5) years' experience working in the areas of law, city planning, regional management, environmental planning, geography, or urban management.

RESOLUTION NO.:_____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE TRANSFER OF REAL PROPERTY
KNOWN AS 139 JOHNSTON STREET (SECTION 18, BLOCK 2, LOT 15) AND
141 JOHNSTON STREET (SECTION 18, BLOCK 2, LOT 14)
TO THE NEWBURGH COMMUNITY LANDBANK**

WHEREAS, the Newburgh Community Land Bank was incorporated pursuant to Article 16 and Section 402 of the Not-for-Profit Corporation Law and is a Type C Not-For-Profit corporation as defined in Section 201 of the Not-For-Profit Corporation Law; and

WHEREAS, the mission of the Newburgh Community Land Bank is to stimulate planning, economic development and neighborhood revitalization by acquiring, managing and disposing of vacant, abandoned and underutilized properties in a responsible manner in collaboration with community stakeholders, developers and other governmental agencies in order to improve the quality of life in Newburgh; and

WHEREAS, the Newburgh Community Land Bank has requested the transfer of real property known as 139 Johnston Street and 141 Johnston Street, more accurately described as Section 18, Block 2, Lots 15 and 14, respectively, on the official Tax Map of the City of Newburgh; and

WHEREAS, the Newburgh Community Land Bank proposes to hold the properties for redevelopment by Habitat for Humanity of Greater Newburgh, which plans to construct a single family home on each lot; and

WHEREAS, this Council has determined that transferring title of the properties is in the best interests of the City of Newburgh and its further development;

NOW, THEREFORE, BE IT RESOLVED, that the City Council of the City of Newburgh that the sale of real property known as 139 Johnston Street and 141 Johnston Street, more accurately described as Section 18, Block 2, Lots 15 and 14, respectively, on the official Tax Map of the City of Newburgh to the Newburgh Community Land Bank be and hereby is confirmed and the City Manager is authorized and directed to execute and deliver a quitclaim deed to the Newburgh Community Land Bank; and

BE IT FURTHER RESOLVED, that the City Council of the City of Newburgh the properties are to be transferred to the Newburgh Community Land Bank subject to the Disposition Policies of the Newburgh Community Land Bank annexed hereto and made part hereof as Schedule "A"; and

BE IT FURTHER RESOLVED, by the Council of the City of Newburgh, New York, that the parcels are not required for public use.



NEWBURGH COMMUNITY LAND BANK

DISPOSITION OF REAL AND PERSONAL PROPERTY POLICY

SECTION 1. PURPOSE.

This policy (the “Policy”) sets forth guidelines for the Land Bank’s disposal of real and personal property in accordance with the mission and purpose of the Land Bank and applicable law.

SECTION 2. DEFINITIONS.

- a. “Land Bank” shall mean the Newburgh Community Land Bank.
- b. “Contracting Officer” shall mean the person responsible for the Land Bank’s compliance with, and enforcement of, this Policy, and such person shall be the Executive Director of the Land Bank.
- c. “Dispose” or “disposal” shall mean transfer of title or any other beneficial interest in Property (as defined below).
- d. “Property” shall mean personal property or real property regardless of value, and any other interest in property, to the extent that such interest may be conveyed to another person for any purpose, excluding an interest securing a loan or other financial obligation.

SECTION 3. GENERAL DUTIES.

- a. The Land Bank shall:
 - i. maintain adequate inventory controls and accountability systems for all property owned by the Land Bank and under its control;
 - ii. periodically inventory such property to determine which property may be disposed of;
 - iii. produce written reports of such in accordance with Section 3(b); and
 - iv. transfer or dispose of such property as promptly as possible in accordance with this Policy.

- b. The Land Bank shall:
 - i. maintain and make available for public review and inspection in accordance with Not-for-Profit Corporation Law section 1609 a complete inventory of all real property dispositions by the Land Bank. Such inventory shall include a complete copy of the sales contract including all terms and conditions including, but not limited to, any form of compensation received by the Land Bank or any other party which is not included within the sale price. All property dispositions shall be listed on the property disposition inventory within one week of disposition. Such records shall remain available for public inspection in the property disposition inventory indefinitely; and
 - ii. publish not less frequently than annually in accordance with Public Authorities Law section 2896 a report listing all real property owned by the Land Bank during the reporting period. Such report shall include a list and full description of all real and personal property disposed of during such period. The report shall contain the price received the Land Bank and the name of the purchaser for all such property sold by the Land Bank during such period. Such report shall be delivered to all agencies required by law including the Comptroller of the State of New York, the Director of the Budget of the State of New York, the Commissioner of the New York State Office of General Services, the Director of the Authority Budget Office and the New York State Legislature (via distribution to the majority leader of the senate and the speaker of the assembly).

SECTION 4. TRANSFER OR DISPOSITION OF PROPERTY.

- a. Supervision and Direction. Except as otherwise provided herein, the Contracting Officer shall have supervision and direction over the disposition and sale of property of the Land Bank. The Land Bank shall have the right to dispose of its property for any valid purpose.
- b. Custody and Control. The custody and control of Land Bank property, pending its disposition, shall be performed by the Contracting Officer.
- c. Means of Disposition. Unless otherwise permitted, the Land Bank shall dispose of property by sale, exchange, or transfer, for cash, credit, or other consideration as provided for herein, with or without warranty, and upon such other terms and conditions as the Land Bank or the Contracting Officer deems proper. The Contracting Officer may execute such documents for the transfer of title or other interest in property and take such other action as is necessary or proper to dispose of such property under the provisions of this Policy.

d. Validity of Deed, Bill of Sale, Lease, or Other Instrument. A deed, bill of sale, lease, or other instrument executed by or on behalf of the Land Bank, purporting to transfer title or any other interest in property of the Land Bank in accordance herewith shall be conclusive evidence of compliance with the provisions of this Policy and all applicable law insofar as concerns the title or other interest of any bona fide grantee or transferee who has given valuable consideration for such title or other interest and has not received actual or constructive notice of lack of such compliance prior to transfer of title of such property.

e. Method of Disposition for Real Property.

i. Negotiated Sale.

1. Competitive Listing. Land Bank property for sale shall be listed on the Land Bank's website to solicit competitive offers. An initial listing price shall be determined by staff based on a comparative market analysis and consideration of the Land Bank's then-current valuation guidelines, if any. The Contracting Officer is empowered to negotiate a proposed purchase price with interested purchasers, taking into consideration all reasonable business and financial justifications for accepting offers above or below listing price.

2. Award of Purchase Contract. The Contracting Officer shall present the Board of Directors with a summary and analysis of the offers received for a particular property, making a recommendation as to which offers are reasonable and consistent with the Land Bank's mission and purpose.

The Board of Directors, in its sole discretion, may sell property to an Applicant (as defined in Section 5) who has not submitted the highest purchase offer (i.e. sell for less than fair market value) for a variety of reasons consistent with the Land Bank's mission and purpose including, by way of example and not limitation, the submission of a redevelopment plan which provides for (i) a more comprehensive renovation of the property, (ii) owner occupancy, (iii) a valuable community service, or (iv) other community benefit. The Board of Directors will also take into consideration the Applicant's qualifications and experience, financial capacity, the quality and extent of their redevelopment plan, and the planned use for the property when selecting to which Applicant a sales contract will be awarded. In addition, a number of defined discount programs are contained in this Policy which may result in a property

being sold for less than fair market value.

ii. Requests for Proposals. A Request for Proposals (RFP) may be used for the disposition and redevelopment of certain properties identified by the Land Bank to solicit from a specific pool of potential buyers, to allow a greater length of time for interested buyers to develop an offer and development plan, or to solicit development proposals that meet certain criteria set forth by the Land Bank. As with negotiated sales, the Board of Directors will consider the purchase price offered, the planned use, the scope of the redevelopment plan, the buyer's qualifications and capacity to complete the project, and the funds available for redevelopment, in addition to the content of the response to specific criteria or questions contained within the RFP, when selecting a winning proposal.

iii. Direct Sale. The Board of Directors may authorize the sale of property to a buyer without first undertaking the other methods of disposition set forth herein when it determines that a benefit to the community will be had by authorizing such sale without competitive procedures for reasons consistent with the Land Bank's mission and purpose and upon a demonstration that the buyer is uniquely qualified to purchase, develop or otherwise return the property to productive use as set forth in Section 5 of this Policy.

f. Method of Disposition for Personal Property.

i. The Land Bank may dispose of personal property in accordance with its mission, including through Negotiated Sale, Request for Proposals and Direct Sale, as well as by donation. The Land Bank may utilize contractors or professional services to dispose of personal property provided any revenue generated from such disposal is used to support the Land Bank's mission. When determining the method of disposition, the Land Bank shall utilize the method which shall permit obtaining such competition as is feasible under the circumstances and which is consistent with the value and nature of the personal property proposed for disposition (including whether the personal property involved has qualities separate from the utilitarian purpose of such property, such as artistic quality, antiquity, historical significance, rarity, or other quality of similar effect, that would tend to impact the value thereof), and which will be most advantageous to the Land Bank, price and other factors considered, and which shall further the interests of and be consistent with the mission and purpose of the Land Bank.

g. Board Approval for Disposition of Property. The Land Bank shall not sell, lease,

encumber, or alienate property or improvements unless authorized by a majority vote of the entire Board of Directors in accordance with section 1605(i) of the Not-for-Profit Corporation Law.

SECTION 5. BUYER QUALIFICATIONS; APPLICATION; CONSIDERATION; DISCOUNT/PREFERENCE PROGRAMS; ENFORCEMENT; LEASING.

a. Buyer Qualifications.

i. All disposals of Land Bank property shall be made to qualified buyers. A person submitting an application, bid or other offer to purchase property owned by the Land Bank (an “Applicant”) must meet the following requirements to be considered a “qualified buyer”:

1. The Applicant’s Principal Residence for the year immediately preceding the date of the Applicant’s application was in the City of Newburgh, the Applicant intends to relocate to the City of Newburgh and can provide satisfactory evidence thereof, or the Applicant has agreed to engage a responsible property manager located in the City of Newburgh to manage the property which is being disposed of pursuant to this policy. The term “Principal Residence” means the property that the Applicant uses as his or her residence. If the Applicant uses more than one property as his or her residence, the Applicant’s Principal Residence is the property in which the Applicant lives for the majority of the time during the year and not less than half of the year.
2. In the event the Land Bank requires the Applicant to complete any renovations or repairs with regard to the property being disposed of pursuant to this Policy, the Applicant has submitted satisfactory evidence that he or she has a feasible plan and adequate financing to complete the necessary renovations or repairs;
3. If requested by the Land Bank, the Applicant has completed a home-buyer education course;
4. The Applicant is not otherwise disqualified as set forth herein; and
5. The Applicant has completed an application in accordance with this Policy.

ii. An Applicant is disqualified if:

1. At the time of the Applicant’s application, there are unpaid and past

due taxes with respect to any real property owned by the Applicant;

2. A property owned by the Applicant has been foreclosed upon for tax-delinquency by the City of Newburgh and transferred to the Land Bank;

3. At the time of the Applicant's application, the Applicant owes amounts for past due bills, fines, or fees with respect to any real property owned by the Applicant;

4. There are open code violations or a history of code violations with respect to real property owned by the Applicant;

5. More than one (1) nuisance abatement case or proceeding has been commenced with respect to real property owned by the Applicant; or

6. The Applicant, or any spouse, parent, sibling or child of the Applicant, possessed an interest in the property for which the Applicant is applying to purchase at the time such property was foreclosed upon by the City of Newburgh for tax delinquency. The Board of Directors may consider deviating from this criterion (and 5.a.ii.2 above) if the property in question is the applicant's principal residence or principal source of income and the applicant: 1) presents compelling evidence excusing their failure to redeem the property prior to its foreclosure for unpaid real property taxes; and 2) demonstrates that they have sufficient funds to pay in full (i) the real property taxes that were due at the time of foreclosure, (ii) any other liens that were extinguished by the foreclosure (or the ability to reinstate such liens), and (iii) the Land Bank's expenses associated with the acquisition and maintenance of the property; and 3) makes all repairs necessary to bring the property into compliance with applicable health, building and zoning laws, rules and regulations, and 4) demonstrates that they have sufficient income to remain tax-current once they regain title to the property.

b. Applications. Land Bank staff shall develop purchase application forms which Applicants shall be required to complete, so that the Land Bank can evaluate the qualifications of Applicants and select Applicants with development plans that are consistent with the Land Bank's mission and purpose and the comprehensive plans of the municipalities in which the Land Bank's real property is located. The information requested in such applications may vary depending on the type of property that the Land Bank is intending to sell. The Land Bank may require Applicants to submit redevelopment plans and/or

management plans as part of the application process. The Land Bank may require Applicants to submit a contract to purchase with each application.

c. Consideration. In accordance with the terms and conditions of the Land Bank's discount/preference programs, the Land Bank may accept monetary payments and secured financial obligations, covenants and conditions related to the present and future use of the property, contractual commitments of the Applicant, and such other forms of consideration deemed appropriate by the Board of Directors.

d. Discount/Preference Programs. The Land Bank has adopted the following discount and/or preference programs in order to support, through the sale of Land Bank property, development activities which further the Land Bank's mission and purpose:

i. Community Garden/Green Space Program. The Land Bank recognizes the economic, environmental, and social value of community gardens and green space. Accordingly, the Land Bank may sell or lease certain unimproved residential parcels for a nominal fee to Applicants who plan to develop such parcels into community gardens or green spaces. Any lease agreement entered into by the Land Bank and a lessee pursuant to this Community Garden/Green Space Program will require the lessee to be responsible for all property maintenance and upkeep; obtain any required permits for use or development; comply with all local building, zoning, and property maintenance ordinances; obtain approval from the Land Bank prior to installing improvements exceeding \$1,000 in value or placing any signs on the property; and furnish the Land Bank with liability waivers signed by each gardener who will have the right to use the property.

ii. Residential Side-Lot Program. Certain vacant residential lots acquired by the Land Bank may not be readily marketable because of their size, location, or other characteristics. The Land Bank may sell certain vacant residential lots for a discounted price to property owners who own lots which are directly adjacent to such vacant lots. Owners of adjacent, well-maintained properties are the mostly likely purchasers to take care of these vacant lots in many instances, thereby enhancing the value of the purchaser's property, beautifying the surrounding neighborhood, and improving surrounding property values. The Land Bank may require purchasers to combine such lots with the purchaser's adjacent property to create one tax parcel as a condition of the sale.

iii. Affordable Housing Development Program. Due to the community benefit derived from the development of affordable housing, the Land Bank may sell certain properties, including properties with vacant buildings, at a discounted price to

Applicants who plan to develop income-restricted affordable housing. In order to qualify for this discount, the development must be subject to restrictive covenants or otherwise regulated by an affordable housing funder for a defined affordability period.

iv. Tenant to Home Owner Program. The Land Bank may provide a preference to Applicants who occupy a property being disposed of pursuant to this Policy as tenants at the time the Land Bank acquires such property. The Land Bank will encourage all first time homebuyers to take home owner education courses and to receive other financial counseling.

v. Geographically Targeted Revitalization Programs. From time to time, the Land Bank may reduce the sales price of properties in a clearly defined geographic area in order to attract multiple private investors, such that the investors might leverage one another's investments. These targeted programs will be created by resolution of the Board of Directors. The resolution will define the geographic boundaries of the program, whether it is limited to a certain category of real property, the percentage by which the sales price is to be discounted, and the duration of the program. These programs will be advertised on the Land Bank's website and in other promotional materials during the course of the program, and the justification for discounting the sales price will be stated in the resolution disposing of each property.

vi. Additional Discount/Preference Programs. From time to time, the Land Bank may adopt by resolution of the Board of Directors additional discount or preference programs in furtherance of its purpose or mission.

e. Enforcement. In the event a real property disposition is being made in conjunction with a development plan proposed by the Applicant and approved by the Land Bank or with conditions imposed by the Land Bank, the Land Bank shall take appropriate measures to secure the Applicant's completion of the development plan or compliance with the conditions which measures may include a deed restriction or similar mechanism.

f. Leasing. It may be in the best interest of the Land Bank and the furtherance of its mission to lease its real property under certain circumstances, including, but not limited, to the following circumstances:

i. Existing Occupants. In order to avoid displacing persons occupying real property at the time it is acquired by the Land Bank, the Land Bank may enter into lease agreements with any such persons. The Land Bank may offer occupants relocation assistance if the real property is not habitable or if the occupants are unwilling to enter into lease agreements.

- ii. Properties Pending Sale. The Land Bank may lease an occupied parcel of real property for which a sale is pending in order to allow the occupant to enhance the value of the real property and prevent vandalism to which vacant properties are susceptible.

SECTION 6. LAND BANKING AND PLANNED DEVELOPMENT

In some instances the Land Bank will acquire a dense concentration of properties in a geographic area and may “land bank” those properties for a period of time prior to advertising them for sale until a coordinated redevelopment plan can be developed. Such plan will include input from relevant stakeholders such as the municipality, community development corporations and neighborhood associations. Once a plan has been developed, certain properties may be appropriate for the Land Bank to hold for a longer period of time until necessary funds have been raised for their redevelopment pursuant to the plan, until the Land Bank has acquired other strategic properties nearby, assembled larger parcels, certain development approvals have been granted, or other necessary conditions to effectuate the plan are met.

Properties identified as appropriate for affordable housing development (see definition of Affordable Housing in 5.d.iii. of this Policy) through such a planning effort will be advertised as available only for redevelopment that accomplishes the objectives stated in the plan. These objectives may include certain income-restrictions/affordability thresholds, restriction to rental or owner-occupancy, and minimum standards for the quality of renovation or new construction. Other properties in the plan may be deemed appropriate for sale to private developers or individuals using standard methods to advertise properties for negotiated sale. Particular terms of sale (such as design standards or minimum renovation standards) and/or a hierarchy of preferred redevelopment plans may be adopted by the Board of Directors specific to this geographic area as allowable under 5.d.v. of this Policy.

In other instances the Land Bank acquires a scattered assortment of properties and moves to list them for sale soliciting competing offers. In both instances the Land Bank will take into consideration that funds may not yet have been awarded for subsidized projects and that the Applicant may not yet be able to demonstrate proof of funds awarded, and the Land Bank may approve the sale with a closing date to occur once proof of funds is obtained and when the applicant is ready to take title and begin work (i.e. land banking it for the project in order to minimize the buyer’s total carrying costs in light of the community benefits these projects provide). In some cases the Land Bank may land bank properties until an optimal method of disposition can be identified and effectuated.

SECTION 7. MISCELLANEOUS.

- a. Modification and Amendment; Filing. These guidelines are subject to modification and amendment at the discretion of the Land Bank and shall be filed annually with all local and state agencies as required under applicable law
- b. Posting on the Land Bank Website. This Policy shall be posted on the Land Bank's website.
- c. Annual Review. This Policy shall be reviewed annually by the Land Bank and approved by the Board of Directors of the Land Bank in accordance with section 2896(1) of the Public Authorities Law.

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO EXECUTE
AN ADDENDUM TO A LICENSE AGREEMENT WITH
THE TRUSTEES OF COLUMBIA UNIVERSITY TO ALLOW CONTINUED
USE AND ACCESS TO 191 SOUTH STREET (SECTION 18, BLOCK 2, LOT 42)
FOR A TEMPORARY POP-UP PARK DEMONSTRATION PROJECT**

WHEREAS, by Resolution No. 267-2018 of September 24, 2018, the City Council of the City of Newburgh authorized the City Manager to enter into a license agreement with the Trustees of Columbia University to allow use of and access to City-owned property located at 191 South Street (Section 18, Block 2, Lot 42) for the purpose of creating a pop-up park to demonstrate uses for vacant lots; and

WHEREAS, the License Agreement expires on August 31, 2019 and the Trustees of Columbia University have requested an extension of the term of the license agreement through October 31, 2019; and

WHEREAS, the City Council of the City of Newburgh finds that extending the term of the license agreement is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to enter into the attached addendum to extend the term of the license agreement with the Trustees of Columbia University until October 31, 2019 to allow the continued use of and access to City-owned property located at 191 South Street (Section 18, Block 2, Lot 42) for the purpose of creating a pop-up park to demonstrate uses for vacant lots.

ADDENDUM TO LICENSE AGREEMENT

THIS ADDENDUM TO LICENSE AGREEMENT OF LEASE ("Addendum"), made as of this ____ day of August, 2019, by and between the City of Newburgh, a New York municipal corporation, with offices at 83 Broadway, City Hall, Newburgh, New York 12550 ("City" or "Licensor") and The Graduate School of Architecture, Planning and Preservation at the Trustees of Columbia University in the City of New York, an institution of higher learning, with an address of 1172 Amsterdam Avenue, New York, NY 10027 ("Licensee").

WITNESSETH:

WHEREAS, the Licensor and Licensee executed a License Agreement for license or privilege of gaining access to and performing work upon City-owned property identified as 191 South Street in the City of Newburgh, and more accurately described as Section 18, Block 2, Lot 42 on the official tax map of the City of Newburgh (hereinafter referred to as "the Property") for the purpose of making modest improvements for the benefit of the public and to be used for passive outdoor use and recreation purposes (the "Project"); and

WHEREAS, the License Agreement will expire on August 31, 2019 and Licensee desires to continue to access and use of the Property for the Project; and

WHEREAS, the parties desire to continue the terms of the License Agreement for a period of time to review the Project;

NOW, THEREFORE, in consideration of the premises and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. The renewal term set forth in Section 7 of the License Agreement shall be extended for an additional two (2) month term commencing on September 1, 2019 and terminating on the earlier of October 31, 2019 or the sale of the property by Licensor to a third party ("Amended Term of License").
2. All other terms and conditions set forth in the License Agreement shall remain in full force and effect during the Amended Term of License.

IN WITNESS WHEREOF, the Landlord and the Tenant have duly executed this Lease in duplicate as of the day and year first above written.

THE CITY OF NEWBURGH
LICENSOR

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK
LICENSEE

By: _____
Joseph P. Donat, City Manager
Per Resolution No.:

By: _____

Approved as to form:

MICHELLE KELSON
Corporation Counsel

TODD VENNING
City Comptroller

The City of Newburgh Office of the Corporation Counsel

City Hall – 83 Broadway
Newburgh, New York 12550

Michelle Kelson
Corporation Counsel

Tel. (845) 569-7335
Fax. (845) 569-7338

Jeremy Kaufman
Assistant Corporation Counsel

October 26, 2018

VIA EMAIL TRANSMITTAL

Kaja Kühl
Adjunct Associate Professor of Architecture
Director, Community Design & Hudson Valley Initiative
GSAPP Columbia University

Re: License Agreement with Trustees of Columbia University
191 South Street (18-2-42)
Resolution No.: 267-2018

Dear Ms. Kühl:

Enclosed herewith is a copy of the fully executed License Agreement relative to the above-referenced.

By copy of this letter I am forwarding the original to the City Clerk for filing in her office.

Thank you for your assistance.

Very truly yours,



MICHELLE KELSON
Corporation Counsel

MK/ar
Enclosure

Cc: Lorene Vitek, City Clerk (w/original)
Alexandra Church, Interim Director of Planning & Development
George Garrison, Superintendent of Public Works
Janet Reyes (The Graduate School of Architecture, Planning and Preservation)
Columbia University General Counsel

RESOLUTION NO.: 267 - 2018

OF

SEPTEMBER 24, 2018

A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO
A LICENSE AGREEMENT WITH THE TRUSTEES OF COLUMBIA UNIVERSITY TO
ALLOW USE AND ACCESS TO 191 SOUTH STREET (SECTION 18, BLOCK 2, LOT 42)
FOR A TEMPORARY POP-UP PARK DEMONSTRATION PROJECT

WHEREAS, the Trustees of Columbia University, in coordination with Scenic Hudson, Inc. and the Blacc Vanilla Community Foundation, has requested the use of and access to City-owned property located at 191 South Street, more accurately described as Section 18, Block 2, Lot 42 on the official Tax Map of the City of Newburgh, for the purpose of creating a pop-up park to demonstrate uses for vacant lots; and

WHEREAS, the City Council of the City of Newburgh finds that permitting such access for the purpose of creating a temporary pop-up park to demonstrate uses for vacant lots is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to enter into a license agreement, in substantially the same form annexed hereto with such other terms and conditions acceptable to the Corporation Counsel, with the Trustees of Columbia University to allow use of and access to City-owned property located at 191 South Street (Section 18, Block 2, Lot 42) for the purpose of creating a pop-up park to demonstrate uses for vacant lots.

I, Katrina Cotten, Deputy City Clerk of the City of Newburgh
hereby certify that I have compared the foregoing with the
original resolution adopted by the Council of the City of
Newburgh at a regular meeting held 9/24/18
and that it is a true and correct copy of such original.

Witness my hand and seal of the City of
Newburgh this 25th day of Sept 20 18

Katrina Cotten
Deputy City Clerk

LICENSE AGREEMENT

THIS LICENSE AGREEMENT, dated as of October 23rd, 2018, by and between:

THE CITY OF NEWBURGH, a New York municipal corporation, with offices at 83 Broadway, City Hall, Newburgh, New York 12550 ("City" or "Licensor"); and

THE GRADUATE SCHOOL OF ARCHITECTURE, PLANNING AND PRESERVATION AT THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, an institution of higher learning, with an address of 1172 Amsterdam Avenue, New York, NY 10027 ("Licensee").

WHEREAS, the City is the owner of a parcel of vacant land located at 191 South Street in the City of Newburgh, and more accurately described as Section 18, Block 2, Lot 42 on the official tax map of the City of Newburgh (hereinafter referred to as "the Property"); and

WHEREAS, Licensee desires the license or privilege of gaining access to and performing work upon the Property for the purpose of making modest improvements for the benefit of the public and to be used for passive outdoor use and recreation purposes; and

WHEREAS, Licensor is willing to give said license or privilege on the following terms and conditions:

NOW, THEREFORE, in consideration of the premises and of the mutual covenants and conditions hereinafter contained, it is hereby agreed as follows:

Section 1. Grant of License. The City hereby represents that it owns certain real property located at 191 South Street in the City of Newburgh, and more accurately described as Section 18, Block 2, Lot 42, on the official tax map of the City of Newburgh, and that it has duly authorized this License Agreement. The City hereby grants Licensee a revocable license for Licensee and Licensee's employees, volunteers, agents and contractors, upon the conditions hereinafter stated, the license or privilege of entering upon Licensor's Property, as herein described, and taking thereupon such vehicles, equipment, tools, machinery and other materials as may be necessary, for the purposes of and to install temporary improvements, perform maintenance and repairs to property owned by Licensor and for the benefit of the public for passive outdoor use and recreation purposes and any and all other work appurtenant thereto.

Section 2. Use of and Access to the Property. Entry to the Property is limited to the use and access necessary to construct and install such temporary improvements and to perform ongoing maintenance and repairs on a timely basis for the public benefit for passive outdoor use and recreation purposes as proposed by the Licensee in such location and position and as to any such work upon or under the Property in such manner as will be satisfactory to Licensor (collectively, such construction, installation, maintenance and repairs, the "Work"). Licensee agrees to perform the Work in such manner as will comply fully with the provisions of all laws, ordinances, including

but not limited to Section 220-26 of the City Code of Ordinances regulating the hours of use of City parks and recreation areas, or other lawful authorities, obtaining any and all permits required thereby. Licensee shall give no less than forty-eight (48) hours advance notice of its commencement of the Work on the Property to the City Planner after obtaining all necessary permits and authorizations and shall have duly authorized representatives on-site during all meetings and events which are open and available to the public. Prior to the expiration of the license, Licensee shall remove all materials related to the temporary installation and restore the Property to a clean and orderly state and in the same condition as existed prior to the granting of this license, normal wear and tear excepted. All Work by Licensee and its agents, employees and contractors shall be approved by the Superintendent of Public Works and the City Planner.

Section 3. Insurance. The Licensee shall not commence or perform Work nor operate machinery under this License Agreement until it has obtained all insurance required under this Section 3 and such insurance has been approved by the City.

A. Workers' Compensation Insurance - The Licensee shall take out and maintain during the life of this agreement such Workers' Compensation Insurance for its employees or members to be assigned to the work hereunder as may be required by New York State Law.

B. General Liability and Property Damage Insurance - The Licensee shall take out and maintain during the life of this agreement such general liability and property damage insurance as shall protect it and the City which shall be named as additional insured on all such policies from claims for damages for personal injury including accidental death, as well as from claims for property damage which may arise from operations under this agreement. The amounts of such insurance shall be as follows:

1. General Liability Insurance in an amount not less than \$1,000,000.00 for injuries including wrongful death to any one person and subject to the same limit for each person, in an amount not less than \$3,000,000.00 on account of any one occurrence.

2. Property Damage Insurance in an amount not less than \$50,000.00 for damage on account of all occurrences.

The Licensee shall furnish evidence of the above insurance to the City and shall also name the City as an additional named insured in said policies to the City Comptroller. Such insurance shall be maintained in force during the entire term of this License Agreement.

C. Licensee may retain certain employees, agents, contractors and consultants to perform the subject Work. In the contract by which Licensee retains such agents, Licensee and such agents shall provide and maintain insurances as required by this Section 3 and name Licensor as additional insured under insurance coverage concerning Licensee's performance of the work referenced herein to the City Comptroller.

Section 4. Costs and expenses. It is expressly understood that Licensee will be responsible for all costs and expenses related to the Work.

Section 5. Damages. The relation of the Licensee to the City as to the work to be performed by it under this agreement shall be that of an independent contractor. As an independent contractor, it will be responsible for all damage, loss or injury to persons or property that may arise in or be incurred during the conduct and progress of said performances arising out of the negligent performance, other than those wholly caused by Acts of God. The Licensee shall make good any damages that may occur in consequence of the performances or any part of it. The Licensee shall assume all blame, loss and responsibility of any nature by reason of the Licensee's neglect or violation of any federal, state, county or local laws, regulations or ordinances applicable to the Licensee and/or the nature of its performance or arising out of its activities licensed hereby.

Section 6. Defense and Indemnity. Licensee shall defend, indemnify and hold the City harmless against any and all claims, actions, proceedings, and lawsuits arising out of or relating to the access and use of the Property under this License Agreement, excepting negligence or misconduct by the City.

Section 7. Term of License. The license or privilege hereby given shall commence upon the signing of this licensee agreement and shall expire and terminate on the earlier of August 31, 2019 or the sale of the property by Licensor to a third party.

Section 8. Assignment of License; No Sub-Licensing. This License may not be assigned or sub-let to any other party. Nothing in this section shall preclude Licensee from contracting with volunteers and community organizations to perform general maintenance tasks during the term of the License.

Section 9. Termination of License. Either party may terminate this license prior to the expiration of the term specified in paragraph 7, with or without cause, on at least ten (10) days prior written notice to the other party. Upon termination by either party, Licensee shall not be entitled to reimbursement of any of its costs, and Licensee and its agents, employees and contractors will restore of the property to a clean and orderly state and in substantially the same condition as existed prior to the granting of this license unless the City Planner otherwise directs. The City may terminate this license agreement by five (5) days' written notice when and if in its sole judgment it deems such termination is necessary in the City's sole reasonable discretion.

Section 10. New York Law. This License Agreement shall be construed under New York law.

Section 11. Notices. Notices shall be in writing and shall be deemed properly served when deposited with the United States Postal Service, as certified mail, return receipt requested, bearing adequate postage or being deposited with a reputable overnight courier service for guaranteed next business day delivery and addressed as follows:

- a. If to Licensor:
City of Newburgh

Attn: City Manager
City Hall, 83 Broadway
Newburgh, New York 12550
(845) 569-7301

With a copy to:
Corporation Counsel
City Hall, 83 Broadway
Newburgh, New York 12550
(845) 569-7335

- b. If to Licensee:
The Graduate School of Architecture, Planning and Preservation
Attn: Janet Reyes
1172 Amsterdam Avenue
New York, NY 10027

With a copy to:
General Counsel
Columbia University
535 West 116th Street
New York, NY 10027

Section 12. Modification of License Agreement. This License Agreement may not be modified except by a writing subscribed by both parties to this Agreement.

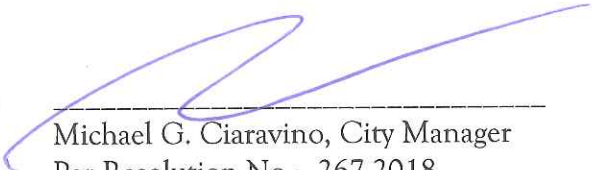
Section 13. It is understood and agreed that no vested right in said premises is hereby granted or conveyed from either party to the other, and that the privileges hereby given are subject to any and all encumbrances, conditions, restrictions and reservations upon or under which the parties held said premises prior to the granting of this license.

Section 14. Licensors acknowledges that the enhancements, improvements and repairs to the subject property shall inure to the benefit of both parties, and shall be satisfactory, adequate and sufficient consideration for the License granted hereunder.

WITNESSETH:

THE CITY OF NEWBURGH
LICENSOR

By:



Michael G. Ciaravino, City Manager
Per Resolution No.: 267-2018


THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK
LICENSEE

By:



Hugh Horowitz
Executive Director, Purchasing Operations

Approved as to form:


MICHELLE KELSON
Corporation Counsel

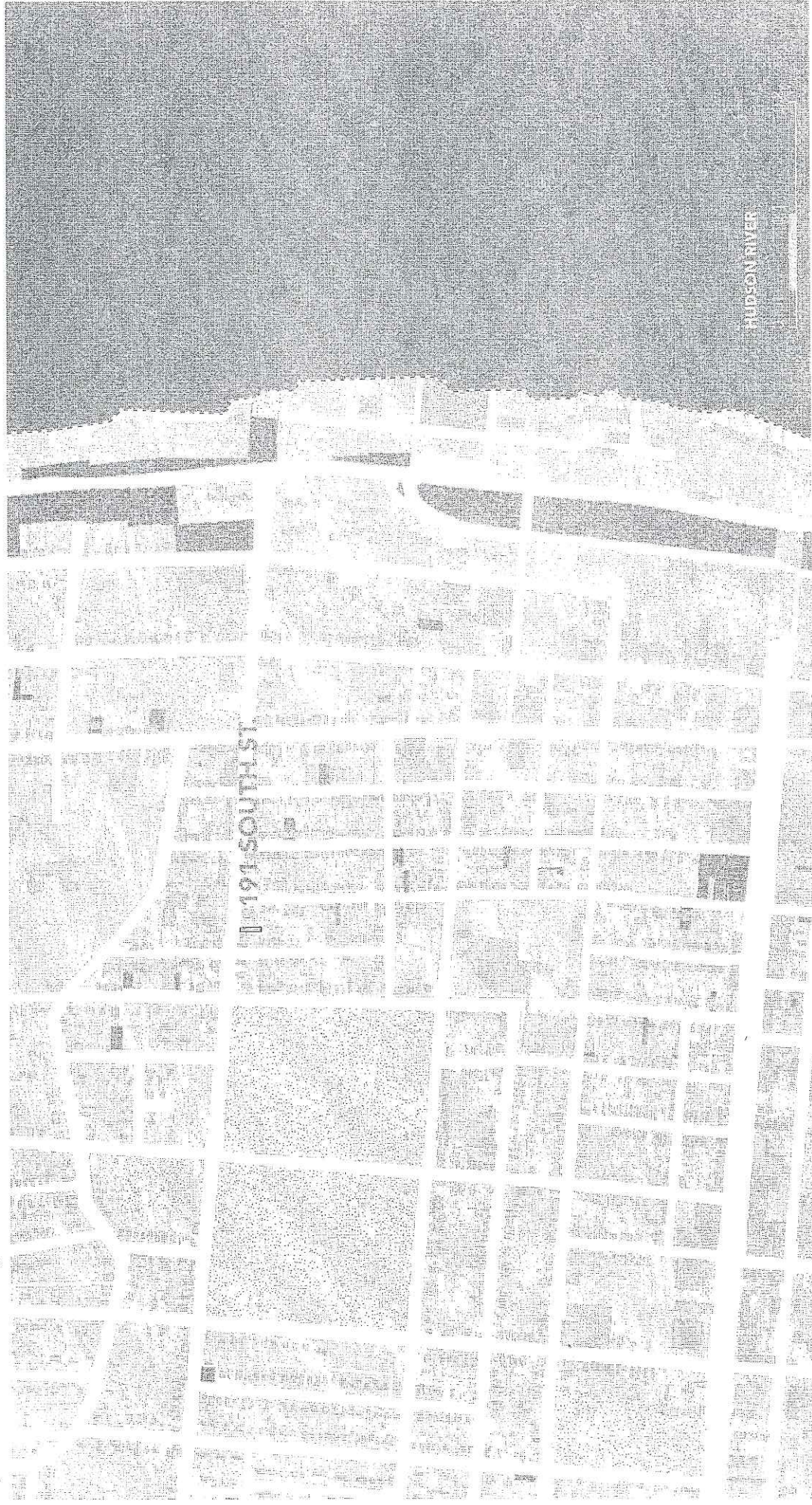
City Comptroller

Memorandum of Insurance

MEMORANDUM OF INSURANCE					DATE 12-Sep-2018	
<p>This Memorandum is issued as a matter of information only to authorized viewers for their internal use only and confers no rights upon any viewer of this Memorandum. This Memorandum does not amend, extend or alter the coverage described below. This Memorandum may only be copied, printed and distributed within an authorized viewer and may only be used and viewed by an authorized viewer for its internal use. Any other use, duplication or distribution of this Memorandum without the consent of Marsh is prohibited. "Authorized viewer" shall mean an entity or person which is authorized by the insured named herein to access this Memorandum via https://online.marsh.com/marshconnectpublic/marsh2/public/moi?client=3535861. The information contained herein is as of the date referred to above. Marsh shall be under no obligation to update such information.</p>						
PRODUCER Marsh USA Inc. ("Marsh")		COMPANIES AFFORDING COVERAGE				
		Co. A Pinnacle Consortium of Higher Education, a Vermont Reciprocal Risk Retention Group				
INSURED The Trustees of Columbia University 615 W 131st ST 3rd Floor, New York New York 10027 United States		Co. B Safety National Insurance Company				
		Co. C Genesis Insurance Company				
		Co. D PMA Insurance Company				
		Co. E Safety National Casualty Corporation				
		Co. F See Below				
COVERAGES						
<p>THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS MEMORANDUM MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS</p>						
CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE	POLICY EXPIRATION DATE	LIMITS LIMITS IN USD UNLESS OTHERWISE INDICATED	
A	GENERAL LIABILITY Commercial General Liability Occurrence	PCHE2018-02	01-Jul-2018	01-Jul-2019	GENERAL AGGREGATE	\$5,000,000
					PRODUCTS - COMP/OP AGG	\$2,000,000
					PERSONAL AND ADV INJURY	\$2,000,000
					EACH OCCURRENCE	\$2,000,000
					FIRE DAMAGE (ANY ONE FIRE)	\$2,000,000
					MED EXP (ANY ONE PERSON)	\$2,500
B	AUTOMOBILE LIABILITY Any Auto	CAE4057019	01-Jul-2018	01-Jul-2019	COMBINED SINGLE LIMIT	\$2,000,000
					BODILY INJURY (PER PERSON)	
					BODILY INJURY (PER ACCIDENT)	
					PROPERTY DAMAGE	
C	EXCESS LIABILITY Umbrella Form	YUB301083K	01-Jul-2018	01-Jul-2019	EACH OCCURENCE	\$10,000,000
					AGGREGATE	\$10,000,000
	GARAGE LIABILITY				AUTO ONLY (PER ACCIDENT)	
					OTHER THAN AUTO ONLY:	
					EACH ACCIDENT	
					AGGREGATE	
D	WORKERS COMPENSATION / EMPLOYERS LIABILITY	201807 1238955A (AOS) 201807 1238955B (MA, MN)	01-Jul-2018 01-Jul-2018	01-Jul-2019 01-Jul-2019	WORKERS COMP LIMITS	Statutory
					EL EACH ACCIDENT	\$1,000,000
					EL DISEASE - POLICY LIMIT	\$1,000,000
					EL DISEASE - EACH EMPLOYEE	\$1,000,000
E	Excess Workers Compensation	Safety National SP 4058915	01-Jul-2018	01-Jul-2020		\$1,000,000
F	Property	1041989	01-Jul-2018	01-Jul-2019		See Link in Additional Information Box
A	Professional Liability	PCHE2018-02	01-Jul-2018	01-Jul-2019	\$2,000,000 each claim	\$3,000,000 aggregate
<p>The Memorandum of Insurance serves solely to list insurance policies, limits and dates of coverage. Any modifications here to are not authorized.</p>						

SOCIAL LOT 1 NEWBURGH VACANT LOT

CONTEXTUAL MAP:
City-owned vacant land in Newburgh.

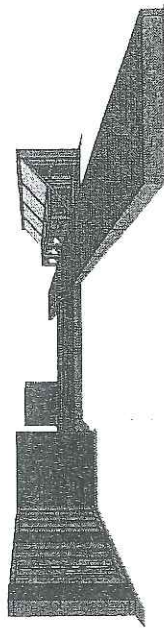
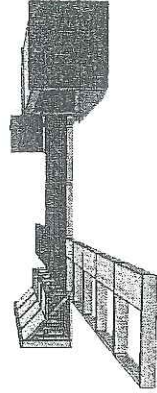
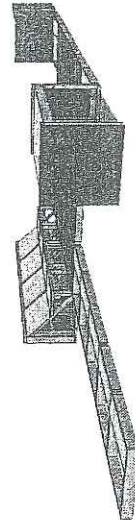
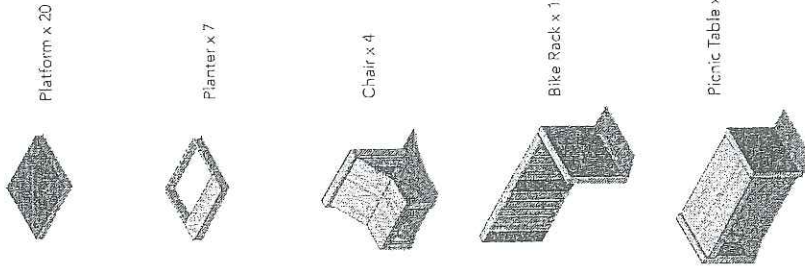
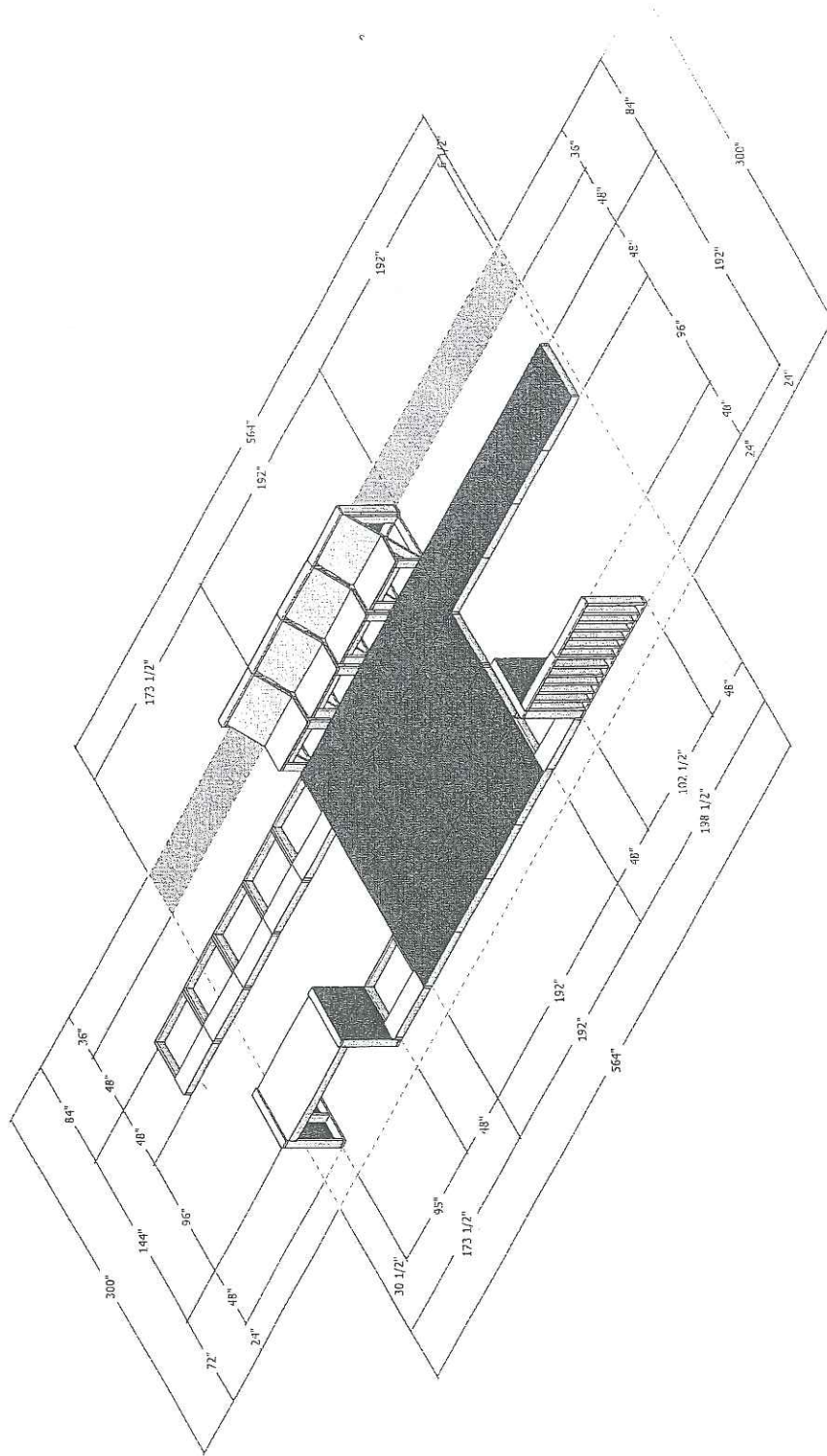


THE SOCIAL LOT:
Create a meeting space for the block and the neighborhood with simple modular outdoor elements and furniture in a vacant land.



SOCIAL LOT 1 191 SOUTH ST. NEWBURGH

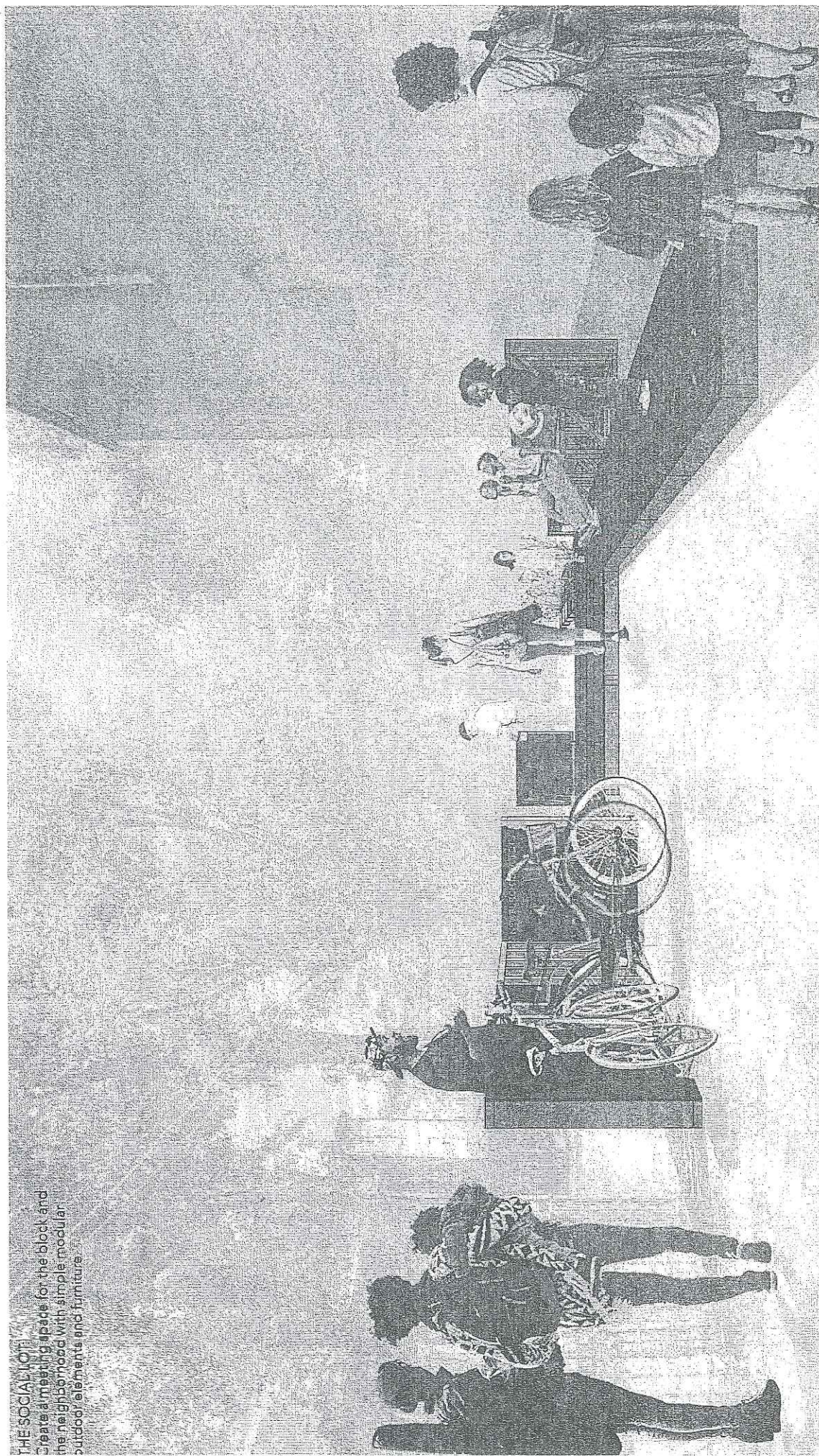
POP UP MATERIALS:
Modular wood Pop Up installation using
2" x 6" wood, Plywood Pine, Sealer and Chalkboard Paint.



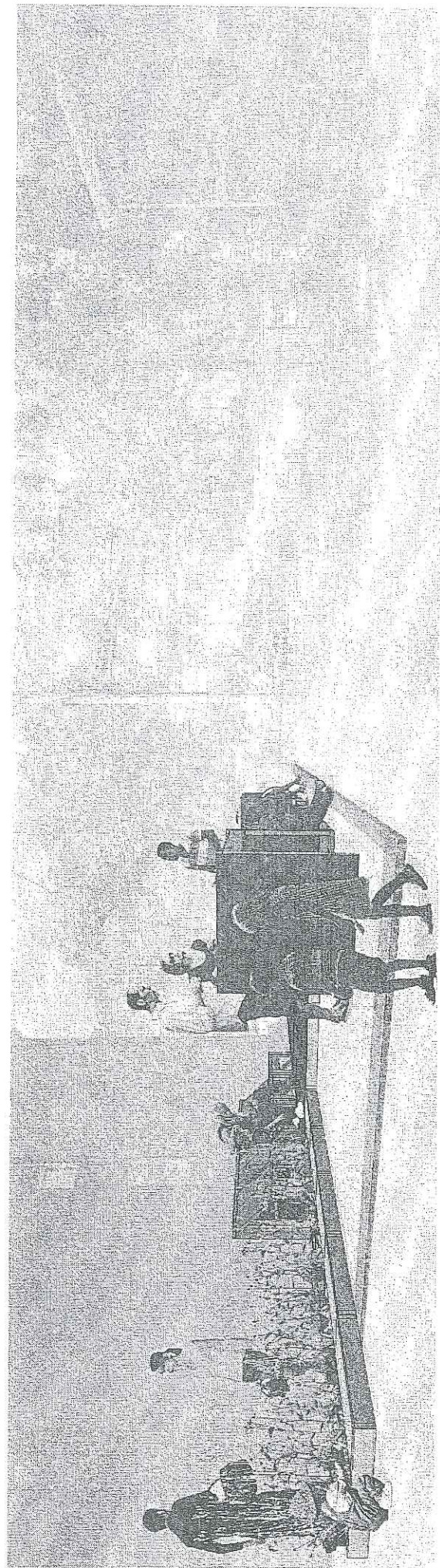
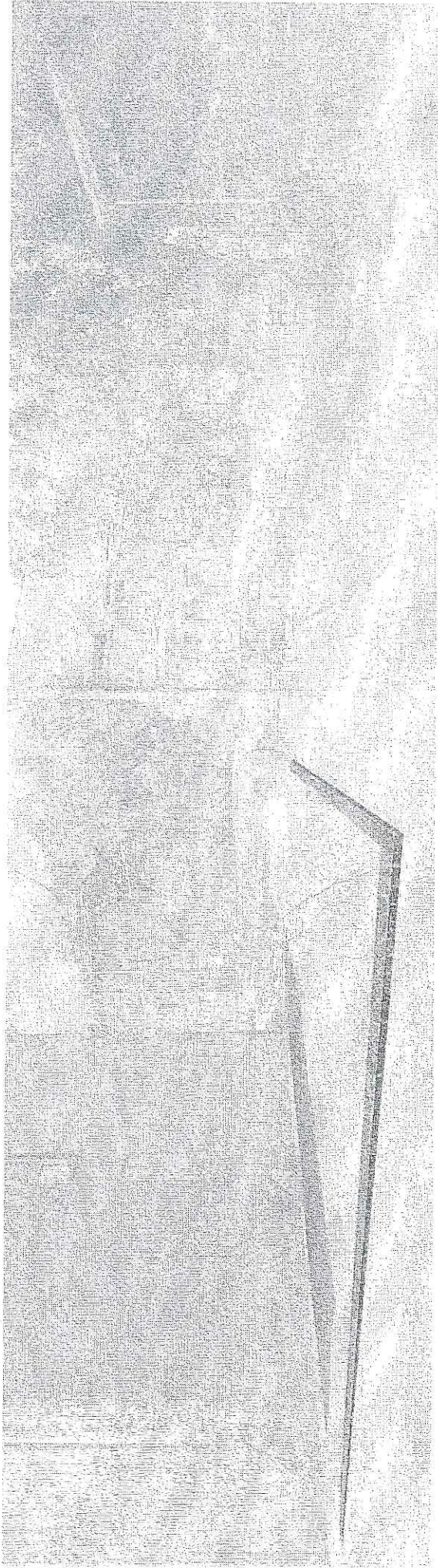
[SOCIAL LOT] 191 SOUTH ST. NEWBURGH

THE SOCIAL LOT

Create a meeting space for the block and the neighborhood with simple modular outdoor elements and furniture.



[SOCIAL LOT] 191 SOUTH ST. NEWBURGH



RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION TO AUTHORIZE THE CONVEYANCE OF REAL PROPERTY
KNOWN AS 139 WILLIAM STREET (SECTION 45, BLOCK 10, LOT 26)
AT PRIVATE SALE TO CYNTHIA TORRES FOR THE AMOUNT OF \$15,000.00**

WHEREAS, the City of Newburgh has acquired title to several parcels of real property by foreclosure *In Rem* pursuant of Article 11 Title 3 of the Real Property Tax Law of the State of New York; and

WHEREAS, pursuant to Section 1166 of the Real Property Tax Law the City may sell properties acquired by foreclosure *In Rem* at private sale; and

WHEREAS, the City of Newburgh desires to sell 139 William Street, being more accurately described as Section 45, Block 10, Lot 26 on the official tax map of the City of Newburgh; and

WHEREAS, the prospective buyer has offered to purchase this property at private sale; and

WHEREAS, this Council has determined that it would be in the best interests of the City of Newburgh to sell said property to the prospective buyer for the sum as outlined below, and upon the same terms and conditions annexed hereto and made a part hereof,

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that the sale of the following property to the indicated purchaser be and hereby is confirmed and the City Manager is authorized and directed to execute and deliver a quitclaim deed to said purchaser upon receipt of the indicated purchase price in money order, good certified or bank check, made payable to **THE CITY OF NEWBURGH**, such sums are to be paid on or before November 15, 2019, being approximately ninety (90) days from the date of this resolution; and

<u>Property address</u>	<u>Section, Block, Lot</u>	<u>Purchaser</u>	<u>Purchase Price</u>
139 William Street	45 - 10 - 26	Cynthia Torres	\$15,000.00

BE IT FURTHER RESOLVED, by the Council of the City of Newburgh, New York, that the parcel is not required for public use.

Terms and Conditions Sale

139 William Street, City of Newburgh (SBL: 45-10-26)

STANDARD TERMS:

1. City of Newburgh acquired title to this property in accordance with Article 11 of the Real Property Tax Law of the State of New York, and all known rights of redemption under said provisions of law have been extinguished by the tax sale proceedings and/or as a result of forfeiture.
2. For purposes of these Terms and Conditions, parcel shall be defined as a section, block and lot number.
3. All real property, including any buildings thereon, is sold "AS IS" and without any representation or warranty whatsoever as to the condition or title, and subject to: (a) any state of facts an accurate survey or personal inspection of the premises would disclose; (b) applicable zoning/land use/building regulations; (c) water and sewer assessments are the responsibility of the purchaser, whether they are received or not; (d) easements, covenants, conditions and rights-of-way of record existing at the time of the levy of the tax, the non-payment of which resulted in the tax sale in which City of Newburgh acquired title; and (e) for purposes of taxation, the purchaser shall be deemed to be the owner prior to the next applicable taxable status date after the date of sale.
4. The properties are sold subject to unpaid school taxes for the tax year of **2019-2020**, and also subject to all school taxes levied subsequent to the date of the City Council resolution authorizing the sale. The purchaser shall reimburse the City for any school taxes paid by the City for the tax year **2019-2020**, and subsequent levies up to the date of the closing. Upon the closing, the properties shall become subject to taxation. Water and sewer charges and sanitation fees will be paid by the City to the date of closing.
5. **WARNING: FAILURE TO COMPLY WITH THE TERMS OF THIS PARAGRAPH MAY RESULT IN YOUR LOSS OF THE PROPERTY AFTER PURCHASE.** The deed will contain provisions stating that the purchaser is required to rehabilitate any building on the property and bring it into compliance with all State, County and Local standards for occupancy within (18) months of the date of the deed. Within such eighteen (18) month time period the purchaser must either: obtain a Certificate of Occupancy for all buildings on the property; make all buildings granted a Certificate of Occupancy before the date of purchase fit for the use stated in such Certificate of Occupancy; or demolish such buildings. The deed shall require the purchaser to schedule an inspection by City officials at or before the end of the eighteen (18) month period. If the purchaser has not complied with the deed provisions regarding rehabilitation of the property and obtained a Certificate of Occupancy or Certificate of Compliance by that time, then the title to the property shall revert to the City of Newburgh. The deed shall also provide that the property shall not be conveyed to any other person before a Certificate of Occupancy or Certificate of Compliance is issued. A written request made to the City Manager for an extension of the eighteen (18) month rehabilitation period shall be accompanied by a non-refundable fee of \$250.00 per parcel for which a request is submitted. The City Manager may, in his sole discretion and for good cause shown, grant one extension of time to rehabilitate of up to, but not to exceed, three (3) months. Any additional request thereafter shall be made in writing and placed before the City Council for their consideration.
6. The City makes no representation as to whether the property is vacant and/or unoccupied. Evictions, if necessary, are solely the responsibility of the purchaser after closing and recording of the deed. The parcel is being sold subject to the City's Vacant Property Ordinance (Chapter 121) and all provisions of law applicable thereto. Within 30 days of closing, the purchaser must register the property and pay any applicable fees or submit an acceptable rehabilitation plan to the Building Department.
7. All purchasers are advised to personally inspect the premises and to examine title to the premises prior to the date upon which the sale is scheduled to take place. Upon delivery of the quitclaim deed by the City of Newburgh to the successful purchaser, any and all claims with respect to title to the premises are merged in the deed and do not survive.

8. No personal property is included in the sale of any of the parcels owned by City of Newburgh, unless the former owner or occupant has abandoned same. The disposition of any personal property located on any parcel sold shall be the sole responsibility of the purchaser following the closing of sale.
9. The City makes no representation, express or implied, as to the condition of any property, warranty of title, or as to the suitability of any for any particular use or occupancy. Property may contain paint or other similar surface coating material containing lead. Purchaser shall be responsible for the correction of such conditions when required by applicable law. Property also may contain other environmental hazards. Purchaser shall be responsible for ascertaining and investigating such conditions prior to bidding. Purchaser shall be responsible for investigating and ascertaining from the City Building Inspector's records the legal permitted use of any property prior to closing. Purchaser acknowledges receipt of the pamphlet entitled "Protecting Your Family from Lead in Your Home." Purchaser also acknowledges that he/she has had the opportunity to conduct a risk assessment or inspection of the premises for the presence of lead-based paint, lead-based paint hazards or mold.
10. The entire purchase price and all closing costs/fees must be paid by money order or guaranteed funds to the City of Newburgh Comptroller's Office by the date listed in the approved City Council Resolution, notwithstanding any extensions of time granted pursuant to terms contained herein ("Closing Deadline"). Such closing costs/fees may include, but are not limited to: recording fees, tax adjustments as of the day of closing, fuel oil adjustments, and applicable condominium charges (e.g. monthly maintenance charges, assessment charges, transfer buy-in fees, and/or closing package ordering fees). *The City of Newburgh does not accept credit card payments for the purchase price and closing costs/fees. The City is not required to send notice of acceptance or any other notice to a purchaser.* At closing, purchaser, as grantee, may take title as a natural person or as an entity wherein purchaser is an officer or managing member of said entity. If purchaser takes title as an entity, purchaser must provide an affidavit listing all of the members or shareholders of said entity, their addresses, their phone numbers, and their percentage ownership stake in the entity. Purchaser must have at least a fifty-one (51%) ownership stake in said entity in order for said entity to take title.
11. The City Manager may, in his sole discretion and for good cause shown, grant one extension of time to close title of up to, but not to exceed, sixty (60) additional days from the Closing Deadline. No request shall be entertained unless in writing, stating the reasons therefor, and unless accompanied by a fee of \$250.00 per parcel for which a request is submitted. The fee shall be in addition to all other fees and deposits and shall not be credited against the purchase price and shall not be returnable. Any additional request made thereafter shall be made in writing and placed before the City Council for its consideration.
12. In the event that a sale is cancelled by court order, judgment, the Comptroller or the Newburgh City Council, the purchaser shall be entitled only to a refund of the purchase money paid. Purchaser agrees that he shall not be entitled to special or consequential damages, attorney's fees, reimbursement for any expenses incurred as a result of ownership, improvements of property, or for taxes paid during period of ownership, and this agreement by the purchaser is a material condition of the sale.
13. Sale shall be final, absolute and without recourse once title is conveyed on the actual day of closing. In no event, shall City of Newburgh be or become liable for any defects in title for any cause whatsoever, and no claim, demand or suit of any nature shall exist in favor of the purchaser, his heirs, successors or assigns, against City of Newburgh arising from this sale.
14. Conveyance shall be by quitclaim deed only, containing a description of the property as it appeared on the tax roll for the year upon which the City acquired title or as corrected up to date of deed. The deed will be recorded by the City upon payment in full of the purchase price, tax reimbursements, buyer's premium (if applicable), and closing fees/costs. Possession of property is forbidden until the deed is recorded conveying title to the purchaser. **Title vests upon conveyance of deed.**
15. Upon closing, the City shall deliver a quitclaim deed conveying all of its right, title and interest in the subject property, which deed shall be drawn by the City Corporation Counsel. The City shall not convey its interest in any street, water, sewer or drainage easement, or any other interest the City may have in the property. The City shall only convey that interest obtained by the City pursuant to the judgment rendered in an *in rem* tax foreclosure action filed in the Orange County Clerk's Office.

16. The description of the property shall be from the City of Newburgh Tax Map reference or a survey description certified to the City of Newburgh. Any survey description shall be provided to the City Corporation Counsel by the purchaser at least thirty (30) days in advance of closing title and approved by the City's Engineer.
17. By acknowledging and executing these Terms & Conditions, the purchaser certifies that he/she is not representing the former owner(s) of the property against whom City of Newburgh foreclosed and has no intent to defraud City of Newburgh of the unpaid taxes, assessment, penalties and charges which have been levied against the property. The purchaser agrees that neither he/she nor his/her assigns shall convey the property to the former owner(s) against whom City of Newburgh foreclosed within 24 months subsequent to the Closing Deadline date. If such conveyance occurs, purchaser understands that he/she may be found to have committed fraud, and/or intent to defraud, and will be liable for any deficiency between the purchase price at auction and such sums as may be owed to City of Newburgh as related to the foreclosure on the property and consents to immediate judgment by City of Newburgh for said amounts.
18. In the event that Seller engaged the services of a New York State Licensed Real Estate Broker in connection with this sale, Seller shall pay said Broker any commission earned pursuant to a separate agreement between Seller and Broker.
19. Notice is given that the property lies within either the East End Historic District or the Colonial Terraces Architectural Design District as designated in the City of Newburgh's current zoning map. This parcel is sold subject to all provision of law applicable thereto. It is the sole responsibility of the purchaser to redevelop such parcel so designated in accordance any additional laws, rules or regulations applicable to those districts.

ACKNOWLEDGED AND AGREED

Date: _____

(Purchaser Name)

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO ACCEPT A DONATION
IN THE AMOUNT OF \$5,000.00 FROM THE COUNTY OF ORANGE
FOR THE ADMINISTRATION OF THE CITY OF NEWBURGH POLICE DEPARTMENT
JUNIOR CADET PROGRAM**

WHEREAS, The City of Newburgh Police Department has created and operated a Junior Cadet Program to strengthen community involvement and to bring about positive changes in the relationships with local youth; and

WHEREAS, Orange County has offered funding in the amount of \$5,000.00 to support the administration of the City of Newburgh Police Department Junior Cadet Program; and

WHEREAS, this Council has determined that accepting said donation would be in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to accept a donation from the County of Orange for the administration of the City of Newburgh Police Department Junior Cadet Program with the appreciation of this Council on behalf of itself, the City of Newburgh Police Department, and the children and families of the City of Newburgh.

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION AUTHORIZING THE CITY MANAGER
TO ENTER INTO AN AGREEMENT WITH THE
NEWBURGH ENLARGED CITY SCHOOL DISTRICT TO PROVIDE
POLICE SERVICES IN CONNECTION WITH THE
COMMUNITY RESOURCE OFFICER PROGRAM FOR COMPENSATION IN THE
AMOUNT OF \$100,000.00**

WHEREAS, the City of Newburgh and the Newburgh Enlarged City School District wish to enter into a cooperative agreement to provide the presence and services of City police officers in schools in and for the Newburgh Enlarged City School District; and

WHEREAS, such officers can provide valuable education, security, an enhanced learning environment, role modeling, timely response and other valued benefits; and

WHEREAS, this Council has reviewed the attached agreement and finds that the execution of the same is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to enter into an agreement with the Newburgh Enlarged City School District, in substantially the same form as annexed hereto with such other terms and conditions as may be required by the Corporation Counsel, to provide police services in connection with the Community Resource Officer Program for the 2019-2020 school year for compensation paid by the District to the City of Newburgh in the amount of One Hundred Thousand (\$100,000.00) Dollars.

COMMUNITY RESOURCE OFFICER AGREEMENT

AGREEMENT MADE THIS ____ DAY OF _____, 2019 by and between the Board of Education of the Newburgh Enlarged City School District, having its principal place of business at 124 Grand Street, Newburgh, New York 12550 (hereinafter “the Board of Education”) and The City of Newburgh having its principal place of business at City Hall, 83 Broadway, Newburgh, NY 12550 (hereinafter referred to as “the City”).

WHEREAS, the City and the Board of Education agree to establish the position of Community Resource Officer (hereinafter “CRO”), to be filled by police officers from the City of Newburgh Police Department, at Newburgh Free Academy; and

WHEREAS, the School Board has agreed that they will reimburse the City for its expense in participating in the CRO Program in the amount of One Hundred Thousand (\$100,000) for the period of September 1, 2019 through August 31, 2020 school year and, the City of Newburgh Police Department will provide one officer at the school specified above, each day that school is open for the hours of 7:30 a.m. – 3:30 p.m., during the school year and during the summer months on such days and times that summer school or the extended year program is being held in the buildings, on the terms and conditions set forth herein:

NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:

1. The School Board and the City of Newburgh by and through the City of Newburgh Police Department have established the following goals and objectives with regard to the CRO Program in the Schools: (a) to maintain a safe campus environment that will be conducive to learning, (b) to create a relationship based upon cooperation and mutual support between law enforcement and school officials; (c) to improve relationships between law enforcement, school, community and the youth of the school; (d) for Police Officers to serve as consultants to school staff, parents, and youth on safety matters and any other matters which will provide a better environment for the students and the teachers in which to pursue their respective tasks; (e) for police officers to serve as a role models to students; (f) to provide a continuum of youth services between the school and the community with the support of the Police Department and other City staff and agencies.
2. The City agrees that the City of Newburgh Police Department shall provide one officer as CRO during the term of this agreement. The CRO shall be subject to the administration, supervision and control of the City of Newburgh Police Department at all times, unless otherwise provided in this agreement.
3. The officer assigned as the CRO will be selected by the Chief of Police based upon the Police Chief’s judgment and discretion, taking into

consideration other criteria, the officer's training, qualifications, experience, interest in the position and the officer's ability to effectuate the goals and objectives set forth in paragraph 1.

4. The Board of Education shall have the right to request the removal of any CRO and have an officer substituted in his or her place by communicating such request to the Chief of Police at any time during the school year, which request will not be unreasonably denied.
5. The City of Newburgh agrees to provide and pay the CRO's salary and employment benefits in accordance with the current Newburgh PBA contract.
6. It is understood and agreed that the City of Newburgh Police Department, in its sole discretion, shall have the authority to discharge and discipline an officer assigned as a CRO as provided under the terms of any agreement between the City of Newburgh and the applicable collective bargaining unit, and/or by law. The City shall indemnify and hold harmless the Newburgh Enlarged City School District from any claims, suits, or causes of action arising out of allegations of unfair or unlawful employment practice brought by an officer assigned as a CRO.
7. The following named police officers shall be initially assigned by the Chief of Police of the City of Newburgh Police Department to act as CROs as follows:

Newburgh Free Academy – Humberto Perez, Jr.

It is understood that such assignments may be changed by the Chief of Police or other commanding officers as in their judgment circumstances may require.

8. It is understood and agreed that the Board of Education shall not be responsible for any overtime pay earned by an Officer serving as a CRO in connection with his or her duties as a CRO and that the cost of same shall be borne solely by the City of Newburgh. The entire extent of the obligation of the School District to compensate the City for CRO services as provided herein shall be as provided hereinabove.
9. It is understood and agreed that, should a CRO become unable to perform his or her duties as a result of illness or injury that causes the Officer to be absent in excess of five school days, the City of Newburgh Police Department shall assign another officer to fill the CRO position at the affected building.

10. It is understood and agreed that the CROs to be appointed by the City of Newburgh Police Department shall have the following qualifications:
- (a) The CRO shall be a full time police officer with a minimum of two (2) years of law enforcement experience;
 - (b) The CRO shall possess sufficient knowledge of applicable Federal, State and County Laws and Town ordinances as well as the School Board's policies and regulations;
 - (c) The CRO shall be capable of conducting in depth criminal investigations;
 - (d) The CRO shall possess an even temperament and set a good example for students;
 - (e) The CRO shall possess good communication skills, which would enable the CRO to function effectively within the school environment.

The Board of Education may, at its discretion, waive the requirement set forth in paragraph "a", above, upon the request of the Police Department and upon an interview by the Board of Education of the officer being proposed for the position.

11. The following are the duties of the CRO:
- (a) Consult with and coordinate activities as requested by a school's principal.
 - (b) Abide by School Board policies to the extent that such compliance does not interfere with or impede the CRO in the performance of his or her duties as a law enforcement officer.
 - (c) The CRO shall develop an expertise in presenting various subjects; including meeting Federal and State mandates in drug abuse prevention education and shall provide these presentations at the request of school personnel in accordance with the established curriculum;
 - (d) Encourage group discussions about law enforcement with students, faculty and parents;
 - (e) Under no circumstances shall a CRO be a school disciplinarian. The CRO will not be involved in the enforcement of disciplinary infractions that do not constitute violations of law;

- (f) Attend meetings with parents and faculty groups to solicit their support and understanding of the CRO school program and to promote awareness of law enforcement functions;
- (g) Where possible, serve as a member of the school student services committee, familiarizing students with all community agencies which offer assistance to youths and their families such as mental health clinics, drug treatment centers, etc. Where necessary, the CRO may make recommendations for referrals;
- (h) To confer with the principal of the school to which the CRO is assigned to develop plans and strategies to prevent and/or minimize dangerous situations on or near campus or involving students at school related activities;
- (i) Perform such duties as determined and requested by a given school principal. However, such duties shall not include things normally assigned to school personnel such as lunchroom or hall duty. Nothing herein shall preclude the CRO from being available in areas where interaction with students is expected;
- (j) The CRO shall familiarize himself/herself with and shall abide by School Board policy and applicable law concerning interviews with students should it become necessary to conduct formal law enforcement interviews with students or staff on school property or at school functions under the jurisdiction of the School Board insofar as same shall be in harmony with standard police practices and standing general orders;
- (k) Initiate law enforcement action as necessary and notify the school principal as soon as possible, and, whenever practicable advise the principal before requesting additional law enforcement assistance on campus and undertake all additional law enforcement responsibilities, as required by standard police practices and standing general orders;
- (l) The CRO shall act as a liaison for other law enforcement officers in matters regarding School Board policies while on school grounds;
- (m) The CRO shall affirm the role of law enforcement officer by wearing the City of Newburgh Police uniform, unless doing so would be inappropriate for scheduled school activities. The uniform shall be worn at events where it will enhance the image of the CRO and his/her ability to perform his/her duties;
- (n) The CRO shall patrol and maintain a safe corridor within ½ mile radius directly surrounding the school to which he or she is assigned,

including, but not limited to, other school buildings within that radius. The CRO shall be dispatched, as available, to calls for service emanating from within such a radius related to juvenile criminal activity.

12. It is understood and agreed that while the CRO will be stationed at one of the schools within the School Board's jurisdiction, the CRO shall remain an employee of the City of Newburgh Police Department, adhering to all policies and procedures of the Police Department.
13. The CRO shall report to the Main Office at the start of each work day and shall sign in on a log by the school. The CRO shall sign out at the end of each work day using the same log.
14. It is understood and agreed that the CRO in pursuing the performance of his/her duties shall coordinate and communicate with the school principal or the principal's designee.
15. The City of Newburgh Police Department shall provide the appropriate in-service training for the CRO, to enable the CRO to function efficiently. The School Board may also provide training in school policies, regulations and procedures, or additional training in other matters relating to students and their safety.
16. The City of Newburgh Police Department shall provide a standard marked patrol vehicle for the CRO, which vehicle shall be maintained by the City of Newburgh Police Department, providing among other things, fuel, tires, etc. and all expenses associated with the operation of the vehicle including insurance. The Police Department will also provide the CRO with a service weapon and ammunition and the usual and customary office supplies and forms required in the performance of the CRO's duties as a police officer. The CRO is authorized to carry a service weapon on school grounds.
17. Should the CRO program continue into future school years, it is understood and agreed that the School District shall evaluate annually the CRO Program and the performance of the CRO on forms to be developed jointly by the parties to this Agreement. Such evaluation by the School Board and the City of Newburgh Police Department shall be performed in order to evaluate the performance of the CRO in accordance with the Department rules and regulations and also to ascertain what, if anything, can be done to improve the CRO Program.
18. The City agrees to maintain at all times during the term of this Agreement a general comprehensive liability insurance policy for a minimum of \$1,000,000 and agrees to indemnify and hold harmless the School Board and the Newburgh Enlarged City School District, its agents and employees from and against any and all claims, suits or causes of actions arising from

or in any way out of the performance of the duties of the CRO or the CRO Program.

19. The School Board agrees to compensate the City for services rendered in connection with the CRO Program, in the amount of \$100,000. Such compensation shall be paid by the School Board to the City of Newburgh in monthly installments of \$10,000 per month from September 1, 2019 – June 30, 2020 commencing within thirty (30) days of ratification of this agreement by all parties.
20. The terms of this agreement are for the period commencing with the provision of such services and ending on the 31st day of August, 2020.

BOARD OF EDUCATION OF THE NEWBURGH
ENLARGED CITY SCHOOL DISTRICT

Dr. Roberto Padilla
SUPERINTENDENT OF SCHOOLS

CITY BOARD OF THE CITY OF NEWBURGH

Joseph P. Donat
CITY MANAGER
CITY OF NEWBURGH
Per Res. No.:

Document Title: _____

Approved as to Form:

MICHELLE KELSON
Corporation Counsel
Per Res. No. _____

DATE

TODD VENNING
City Comptroller
Per Res. No. _____

DATE

file

The City of Newburgh Office of the Corporation Counsel

City Hall - 83 Broadway
Newburgh, New York 12550

Michelle Kelson
Corporation Counsel

Tel. (845) 569-7335
Fax. (845) 569-7338

Jeremy Kaufman
Assistant Corporation Counsel

October 12, 2018

Gregory W. Kern
Assistant Superintendent of Finance
Newburgh Enlarged City School District
124 Grand Street
Newburgh, NY 12550

Re: The City of Newburgh with Newburgh Enlarged City School District
Community Resource Officer - 2018-2019 School Year


Dear Mr. Kern:

Pursuant to City Council authority, by Resolution No.: 165-2018, enclosed herewith is an original fully executed Community Resource Officer Agreement relative to the above-referenced.

By copy of this letter I am forwarding the duplicate original to the City Clerk for filing in her office.

Thank you for your assistance.

Very truly yours,


MICHELLE KELSON
Corporation Counsel

MK/dt
Enclosure

cc: Lorene Vitek, City Clerk
Douglas Solomon, Chief of Police
Charles Duffy, CPA - City Comptroller's Office

RESOLUTION NO.: 165 - 2018

OF

JULY 9, 2018

A RESOLUTION AUTHORIZING THE CITY MANAGER
TO ENTER INTO AN AGREEMENT WITH THE
NEWBURGH ENLARGED CITY SCHOOL DISTRICT TO PROVIDE
POLICE SERVICES IN CONNECTION WITH THE
COMMUNITY RESOURCE OFFICER PROGRAM FOR COMPENSATION IN THE
AMOUNT OF ONE HUNDRED THOUSAND DOLLARS

WHEREAS, the City of Newburgh and the Newburgh Enlarged City School District wish to enter into a cooperative agreement to provide the presence and services of City police officers in schools in and for the Newburgh Enlarged City School District; and

WHEREAS, such officers can provide valuable education, security, an enhanced learning environment, role modeling, timely response and other valued benefits; and

WHEREAS, this Council has reviewed the attached agreement and finds that the execution of the same is in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to enter into an agreement with the Newburgh Enlarged City School District, in substantially the same form as annexed hereto with such other terms and conditions as may be required by the Corporation Counsel, to provide police services in connection with the Community Resource Officer Program for the 2018-2019 school year for compensation paid by the District to the City of Newburgh in the amount of One Hundred Thousand (\$100,000.00) Dollars.

I, Lorene Vitek, City Clerk of the City of Newburgh,
hereby certify that I have compared the foregoing with the
original resolution adopted by the Council of the City of
Newburgh at a regular meeting held July 9
and that it is a true and correct copy of such original.

Witness my hand and seal of the City of
Newburgh this 10 day of July, 2018


City Clerk

COMMUNITY RESOURCE OFFICER AGREEMENT

AGREEMENT MADE THIS 31ST DAY OF July, 2018 by and between the Board of Education of the Newburgh Enlarged City School District, having its principal place of business at 124 Grand Street, Newburgh, New York 12550 (hereinafter "the Board of Education") and The City of Newburgh having its principal place of business at City Hall, 83 Broadway, Newburgh, NY 12550 (hereinafter referred to as "the City").

WHEREAS, the City and the Board of Education agree to establish the position of Community Resource Officer (hereinafter "CRO"), to be filled by police officers from the City of Newburgh Police Department, at Newburgh Free Academy; and

WHEREAS, the School Board has agreed that they will reimburse the City for its expense in participating in the CRO Program in the amount of One Hundred Thousand (\$100,000) for the period of September 1, 2018 through August 31, 2019 school year and, the City of Newburgh Police Department will provide one officer at the school specified above, each day that school is open for the hours of 7:30 a.m. – 3:30 p.m., during the school year and during the summer months on such days and times that summer school or the extended year program is being held in the buildings, on the terms and conditions set forth herein:

NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:

1. The School Board and the City of Newburgh by and through the City of Newburgh Police Department have established the following goals and objectives with regard to the CRO Program in the Schools: (a) to maintain a safe campus environment that will be conducive to learning; (b) to create a relationship based upon cooperation and mutual support between law enforcement and school officials; (c) to improve relationships between law enforcement, school, community and the youth of the school; (d) for Police Officers to serve as consultants to school staff, parents, and youth on safety matters and any other matters which will provide a better environment for the students and the teachers in which to pursue their respective tasks; (e) for police officers to serve as a role models to students; (f) to provide a continuum of youth services between the school and the community with the support of the Police Department and other City staff and agencies.
2. The City agrees that the City of Newburgh Police Department shall provide one officer as CRO during the term of this agreement. The CRO shall be subject to the administration, supervision and control of the City of Newburgh Police Department at all times, unless otherwise provided in this agreement.
3. The officer assigned as the CRO will be selected by the Chief of Police based upon the Police Chief's judgment and discretion, taking into

consideration other criteria, the officer's training, qualifications, experience, interest in the position and the officer's ability to effectuate the goals and objectives set forth in paragraph 1.

4. The Board of Education shall have the right to request the removal of any CRO and have an officer substituted in his or her place by communicating such request to the Chief of Police at any time during the school year, which request will not be unreasonably denied.
5. The City of Newburgh agrees to provide and pay the CRO's salary and employment benefits in accordance with the current Newburgh PBA contract.
6. It is understood and agreed that the City of Newburgh Police Department, in its sole discretion, shall have the authority to discharge and discipline an officer assigned as a CRO as provided under the terms of any agreement between the City of Newburgh and the applicable collective bargaining unit, and/or by law. The City shall indemnify and hold harmless the Newburgh Enlarged City School District from any claims, suits, or causes of action arising out of allegations of unfair or unlawful employment practice brought by an officer assigned as a CRO.
7. The following named police officers shall be initially assigned by the Chief of Police of the City of Newburgh Police Department to act as CROs as follows:

Newburgh Free Academy – Robert Pedrick

It is understood that such assignments may be changed by the Chief of Police or other commanding officers as in their judgment circumstances may require.

8. It is understood and agreed that the Board of Education shall not be responsible for any overtime pay earned by an Officer serving as a CRO in connection with his or her duties as a CRO and that the cost of same shall be borne solely by the City of Newburgh. The entire extent of the obligation of the School District to compensate the City for CRO services as provided herein shall be as provided hereinabove.
9. It is understood and agreed that, should a CRO become unable to perform his or her duties as a result of illness or injury that causes the Officer to be absent in excess of five school days, the City of Newburgh Police Department shall assign another officer to fill the CRO position at the affected building.
10. It is understood and agreed that the CROs to be appointed by the City of

Newburgh Police Department shall have the following qualifications:

- (a) The CRO shall be a full time police officer with a minimum of two (2) years of law enforcement experience;
- (b) The CRO shall possess sufficient knowledge of applicable Federal, State and County Laws and Town ordinances as well as the School Board's policies and regulations;
- (c) The CRO shall be capable of conducting in depth criminal investigations;
- (d) The CRO shall possess an even temperament and set a good example for students;
- (e) The CRO shall possess good communication skills, which would enable the CRO to function effectively within the school environment.

The Board of Education may, at its discretion, waive the requirement set forth in paragraph "a", above, upon the request of the Police Department and upon an interview by the Board of Education of the officer being proposed for the position.

11. The following are the duties of the CRO:

- (a) Consult with and coordinate activities as requested by a school's principal.
- (b) Abide by School Board policies to the extent that such compliance does not interfere with or impede the CRO in the performance of his or her duties as a law enforcement officer.
- (c) The CRO shall develop an expertise in presenting various subjects; including meeting Federal and State mandates in drug abuse prevention education and shall provide these presentations at the request of school personnel in accordance with the established curriculum;
- (d) Encourage group discussions about law enforcement with students, faculty and parents;
- (e) Under no circumstances shall a CRO be a school disciplinarian. The CRO will not be involved in the enforcement of disciplinary infractions that do not constitute violations of law;
- (f) Attend meetings with parents and faculty groups to solicit their

support and understanding of the CRO school program and to promote awareness of law enforcement functions;

- (g) Where possible, serve as a member of the school student services committee, familiarizing students with all community agencies which offer assistance to youths and their families such as mental health clinics, drug treatment centers, etc. Where necessary, the CRO may make recommendations for referrals;
- (h) To confer with the principal of the school to which the CRO is assigned to develop plans and strategies to prevent and/or minimize dangerous situations on or near campus or involving students at school related activities;
- (i) Perform such duties as determined and requested by a given school principal. However, such duties shall not include things normally assigned to school personnel such as lunchroom or hall duty. Nothing herein shall preclude the CRO from being available in areas where interaction with students is expected;
- (j) The CRO shall familiarize himself/herself with and shall abide by School Board policy and applicable law concerning interviews with students should it become necessary to conduct formal law enforcement interviews with students or staff on school property or at school functions under the jurisdiction of the School Board insofar as same shall be in harmony with standard police practices and standing general orders;
- (k) Initiate law enforcement action as necessary and notify the school principal as soon as possible, and, whenever practicable advise the principal before requesting additional law enforcement assistance on campus and undertake all additional law enforcement responsibilities, as required by standard police practices and standing general orders;
- (l) The CRO shall act as a liaison for other law enforcement officers in matters regarding School Board policies while on school grounds;
- (m) The CRO shall affirm the role of law enforcement officer by wearing the City of Newburgh Police uniform, unless doing so would be inappropriate for scheduled school activities. The uniform shall be worn at events where it will enhance the image of the CRO and his/her ability to perform his/her duties;
- (n) The CRO shall patrol and maintain a safe corridor within ½ mile radius directly surrounding the school to which he or she is assigned, including, but not limited to, other school buildings within that

radius. The CRO shall be dispatched, as available, to calls for service emanating from within such a radius related to juvenile criminal activity.

12. It is understood and agreed that while the CRO will be stationed at one of the schools within the School Board's jurisdiction, the CRO shall remain an employee of the City of Newburgh Police Department, adhering to all policies and procedures of the Police Department.
13. The CRO shall report to the Main Office at the start of each work day and shall sign in on a log by the school. The CRO shall sign out at the end of each work day using the same log.
14. It is understood and agreed that the CRO in pursuing the performance of his/her duties shall coordinate and communicate with the school principal or the principal's designee.
15. The City of Newburgh Police Department shall provide the appropriate in-service training for the CRO, to enable the CRO to function efficiently. The School Board may also provide training in school policies, regulations and procedures, or additional training in other matters relating to students and their safety.
16. The City of Newburgh Police Department shall provide a standard marked patrol vehicle for the CRO, which vehicle shall be maintained by the City of Newburgh Police Department, providing among other things, fuel, tires, etc. and all expenses associated with the operation of the vehicle including insurance. The Police Department will also provide the CRO with a service weapon and ammunition and the usual and customary office supplies and forms required in the performance of the CRO's duties as a police officer. The CRO is authorized to carry a service weapon on school grounds.
17. Should the CRO program continue into future school years, it is understood and agreed that the School District shall evaluate annually the CRO Program and the performance of the CRO on forms to be developed jointly by the parties to this Agreement. Such evaluation by the School Board and the City of Newburgh Police Department shall be performed in order to evaluate the performance of the CRO in accordance with the Department rules and regulations and also to ascertain what, if anything, can be done to improve the CRO Program.
18. The City agrees to maintain at all times during the term of this Agreement a general comprehensive liability insurance policy for a minimum of \$1,000,000 and agrees to indemnify and hold harmless the School Board and the Newburgh Enlarged City School District, its agents and employees from and against any and all claims, suits or causes of actions arising from or in any way out of the performance of the duties of the CRO or the CRO

Program.

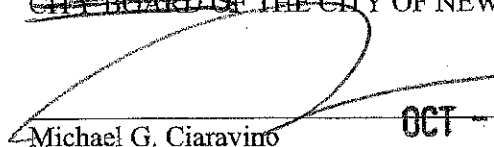
19. The School Board agrees to compensate the City for services rendered in connection with the CRO Program, in the amount of \$100,000. Such compensation shall be paid by the School Board to the City of Newburgh in monthly installments of \$10,000 per month from September 1, 2018 – June 30, 2019 commencing within thirty (30) days of ratification of this agreement by all parties.
20. The terms of this agreement are for the period commencing with the provision of such services and ending on the 31st day of August, 2018.

BOARD OF EDUCATION OF THE NEWBURGH
ENLARGED CITY SCHOOL DISTRICT



Dr. Roberto Padilla
SUPERINTENDENT OF SCHOOLS

~~CITY BOARD OF THE CITY OF NEWBURGH~~



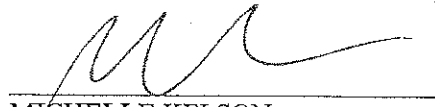
Michael G. Ciaravino
CITY MANAGER
CITY OF NEWBURGH

OCT - 9 2018


Per Res. No.: 165-2018

Document Title: _____

Approved as to Form:


MICHELLE KELSON
Corporation Counsel
Per Res. No. 165-2018

10/3/18
DATE


~~KATHRYN MACK~~ Heli Shah
City Comptroller Jr. Accountant
Per Res. No. 165-2018

10/4/18
DATE

RESOLUTION NO.: _____-2019

OF

AUGUST 12, 2019

**RESOLUTION APPROVING AN AMENDMENT TO
A MEMORANDUM OF UNDERSTANDING WITH THE
PATROLMEN'S BENEVOLENT ASSOCIATION OF NEWBURGH, NEW YORK, INC.
TO PROVIDE ADDITIONAL BENEFITS UNDER
SECTION 242 OF THE MILITARY LAW
FOR POLICE OFFICER ROBERT F. PEDRICK III
WHILE SERVING ACTIVE DUTY IN THE MILITARY
EFFECTIVE ON OR ABOUT JANUARY 16, 2018**

WHEREAS, by Resolution No. 44-2018 of February 12, 2018, the City Council of the City of Newburgh authorized the City Manager to execute Memorandum of Understanding between the City of Newburgh and the Police Benevolent Association of Newburgh, New York, Inc. providing additional benefits under New York State Military Law Section 242 to Police Officer Robert F. Pedrick III while serving active duty in the Military on or about January 16, 2019; and

WHEREAS, due to the Federal Government shutdown, there was a delay in confirming Officer Pedrick's military orders and as a result Officer Pedrick's absences from work on January 24, 2018 through January 26, 2018 were charged to compensatory time and vacation; and

WHEREAS, the terms of the Memorandum of Understanding can be amended or modified and the PBA has requested on behalf of its member that the military benefits for 2018 be extended by 3 days and his compensatory time and vacation be credited; and

WHEREAS, the City Council of the City of Newburgh wishes to extend the additional military benefits to Officer Pedrick; and

WHEREAS, the City Council has reviewed the terms of the Memorandum of Understanding, a copy of which is annexed hereto, which amends the Memorandum of Understanding approved by Resolution No. 44-2018; the same being in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to execute on behalf of the City of Newburgh, the Memorandum of Understanding annexed hereto, or in substantially the same form.

MEMORANDUM OF UNDERSTANDING

**BETWEEN
PATROLMEN'S BENEVOLENT ASSOCIATION
OF NEWBURGH, NEW YORK, INC.
AND
THE CITY OF NEWBURGH**

WHEREAS, the **CITY OF NEWBURGH (CITY)** and **PATROLMEN'S BENEVOLENT ASSOCIATION OF NEWBURGH, NEW YORK, INC. (PBA)**, are parties to a Memorandum of Understanding dated February 16, 2018 to provide for extended military benefits for members who are military reservists and are federally activated to military duty as of the result of the events of September 11, 2001 and the ongoing conflicts overseas beyond the benefits mandated by New York State Military Law; and

WHEREAS, said Memorandum of Understanding states that the benefits provided in paragraph 1 therein shall be in effect from January 16, 2018 to and including June 13, 2018 and that the terms of said Memorandum may be extended; and

IT IS HEREBY UNDERSTOOD AND AGREED BY THE PARTIES HERETO AS FOLLOWS:

1. Police Officer Robert F. Pedrick III shall be entitled to receive an additional three (3) days of supplemental military leave at full pay for the calendar year beginning January 1, 2018 and ending December 31, 2018.
2. Police Officer Robert F. Pedrick III's leave accruals shall be credited with 16 hours of compensatory time and 8 hours of vacation.
3. All other terms and conditions set forth in the Memorandum of Understanding dated February 16, 2018 shall remain in full force and effect.
4. This Memorandum of Understanding shall not establish any past practice or precedent between the City and the PBA for members called for active military duty for any reason.
5. This Memorandum of Understanding constitutes the entirety of the agreement of the parties regarding supplemental military leave benefits during the calendar year beginning January 1, 2018 and ending December 31, 2018 for Police Officer Robert F. Pedrick III. There are no other agreements, oral or otherwise.

Dated: _____, 2019
Newburgh, New York

AGREED TO:

CITY OF NEWBURGH

By: _____
Joseph P. Donat, City Manager
Per Resolution No.

PATROLMEN'S BENEVOLENT ASSOCIATION
OF NEWBURGH, NEW YORK, INC.

By: _____
Ricardo Rivera, President

The City of Newburgh Office of the Corporation Counsel

City Hall – 83 Broadway
Newburgh, New York 12550

Michelle Kelson
Corporation Counsel

Tel. (845) 569-7335
Fax. (845) 569-7338

Jeremy Kaufman
Assistant Corporation Counsel

MEMORANDUM

TO: Ricardo Rivera, Patrolmen's Benevolent Association President

FROM: Michelle Kelson, Corporation Counsel

RE: The City of Newburgh with Patrolmen's Benevolent Association
Military Leave - Memorandum of Understanding
Police Officer Robert F. Pedrick, III

DATE: February 20, 2018

CC: Lorene Vitek, City Clerk

The City Council has, by Resolution No.: 44-2018 of February 12, 2018, a copy of which is attached, authorized the execution of a Memorandum of Understanding ("MOU") with the Patrolmen's Benevolent Association to provide for additional benefits for Police Officer Robert F. Pedrick, III, while serving active duty in the military.

Accordingly, also attached is a fully executed original MOU. The duplicate original is attached to the City Clerk's copy for filing in her office.

Thank you for your assistance with this matter.



MICHELLE KELSON

MK/dt
Attachments

RESOLUTION NO.: 44 -2018

OF

FEBRUARY 12, 2018

RESOLUTION APPROVING A MEMORANDUM OF UNDERSTANDING
WITH THE PATROLMEN'S BENEVOLENT ASSOCIATION
OF NEWBURGH, NEW YORK, INC. TO PROVIDE
FOR ADDITIONAL BENEFITS PROVIDED FOR
IN SECTION 242 OF THE MILITARY LAW
FOR POLICE OFFICER ROBERT F. PEDRICK III
WHILE SERVING ACTIVE DUTY IN THE MILITARY
EFFECTIVE ON OR ABOUT JANUARY 16, 2018

WHEREAS, the City of Newburgh and the Police Benevolent Association of Newburgh, New York, Inc. (hereinafter "the Union"), are parties to a collective bargaining agreement; and

WHEREAS, certain members of the Union serving in the military reserve have been or are liable to be called to active duty as a result of the ongoing conflicts overseas to defend American freedom and protect our people from their declared enemies, and will continue to be required to interrupt regular City employment; and

WHEREAS, the City Council of the City of Newburgh wishes to grant certain additional benefits to such employees; and

WHEREAS, the City Council has reviewed the terms of the Memorandum of Understanding, a copy of which is annexed hereto, and has consulted with the representatives of the City, who have recommended that the City Council approve the agreement;

NOW, THEREFORE, BE IT

RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to execute on behalf of the City of Newburgh, the Memorandum of Understanding annexed hereto, or in substantially the same form; and be it further

RESOLVED, that the Council of the City of Newburgh hereby extends its esteem, gratitude, appreciation and admiration to every member of the Union and all others called to active duty on behalf of this Country.

I, Lorene Vitok, City Clerk of the City of Newburgh,
hereby certify that I have compared the foregoing with the
original resolution adopted by the Council of the City of
Newburgh at a regular meeting held Feb. 12, 2018
and that it is a true and correct copy of such original.

Witness my hand and seal of the City of
Newburgh this 12 day of Feb 2018

City Clerk

MEMORANDUM OF UNDERSTANDING

BETWEEN
PATROLMEN'S BENEVOLENT ASSOCIATION
OF NEWBURGH, NEW YORK, INC.
AND
THE CITY OF NEWBURGH

WHEREAS, the CITY OF NEWBURGH (CITY) and PATROLMEN'S BENEVOLENT ASSOCIATION OF NEWBURGH, NEW YORK, INC. (PBA), are desirous of entering into an agreement between the parties to provide for extended military benefits for members who are military reservists and are federally activated to military duty as of the result of the events of September 11, 2001 and the ongoing conflicts overseas beyond the benefits mandated by New York State Military Law.

IT IS HEREBY UNDERSTOOD AND AGREED BY THE PARTIES HERETO AS FOLLOWS:

1. Members of the PBA ordered to active military duty (including ordered service in the reserve force) as a result of the events of September 11, 2001, and the ongoing conflicts overseas, shall be entitled to receive the following benefits:
 - a) Members who have exhausted their entitlement to paid military leave under Section 242 of the Military Law shall be entitled to an additional thirty (30) calendar days or twenty-two (22) working days of supplemental military leave at full pay, whichever is greater, in any one calendar year, not exceeding in total sixty (60) calendar days for any one continuous period of absence;
 - b) Members who have exhausted their entitlement to the paid leave set forth in paragraph (a) above shall be entitled to military leave at a rate of pay equal to the Member's rate of pay pursuant to the Collective Bargaining Agreement less the compensation received by the Member as a result of his or her active duty. The Member shall provide the city with an "enlisted pay chart" establishing the applicable military rate of pay;
 - c) Members shall receive the same individual or family health insurance benefits provided pursuant to the Collective Bargaining Agreement, as received by such members prior to their date of activation;
 - d) Members shall accrue vacation leave at the rate set forth in the Collective Bargaining Agreement during the period they receive benefits pursuant to this Memorandum.


2. The benefits provided in paragraph 1 of this Memorandum shall be in effect from January 16, 2018 to and including June 13, 2018. The terms of this Memorandum may be extended by resolution in the event that the Member's active duty status extends beyond June 13, 2018.

3. The parties agree and acknowledge that this agreement shall not establish any past practice or precedent for members called for active military duty for any reason other than the events of September 11, 2001, and currently ongoing overseas conflicts in Iraq, Afghanistan and related areas.

Dated: February 16, 2018
Newburgh, New York

AGREED TO:

CITY OF NEWBURGH

By: 
Michael G. Ciaravino, City Manager
Per Resolution No. 44 - 2018

PATROLMEN'S BENEVOLENT ASSOCIATION
OF NEWBURGH, NEW YORK, INC.

By:  162
Ricardo Rivera, President

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**RESOLUTION APPROVING A MEMORANDUM OF AGREEMENT WITH THE
PATROLMEN'S BENEVOLENT ASSOCIATION OF NEWBURGH, NEW YORK, INC.
TO PROVIDE CERTAIN ADDITIONAL BENEFITS
TO MEMBERS WHO HAVE BEEN CALLED TO ACTIVE MILITARY DUTY**

WHEREAS, the City of Newburgh and the Patrolmen's Benevolent Association of Newburgh, New York, Inc. (hereafter "PBA") are parties to a collective bargaining agreement; and

WHEREAS, Police Officer Robert F. Pedrick III has been called to active duty in support of The Global War on Terrorism and will be required to interrupt his regular City employment; and

WHEREAS, the City Council of the City of Newburgh wishes to grant certain additional benefits to such employee and other employees and members of the PBA bargaining unit who may be called to active duty in the future; and

WHEREAS, the City Council has reviewed the terms of the Memorandum of Agreement, a copy of which is annexed hereto, and has consulted with the representatives of the City, who have recommended that the City Council approve the agreement;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the City Manager be and he is hereby authorized to execute on behalf of the City of Newburgh, the Memorandum of Agreement annexed hereto, or in substantially the same form; and

BE IT FURTHER RESOLVED, that the Council of the City of Newburgh hereby extends its pride, gratitude, appreciation and admiration to every member of PBA called to active duty on behalf of this Country.

AGREEMENT made and entered into this ____ day of _____ 2019 by and between the City of Newburgh and Patrolmen's Benevolent Association of Newburgh, New York, Inc. ("the PBA").

WHEREAS, the City of Newburgh and the Patrolmen's Benevolent Association of Newburgh, New York, Inc. (hereinafter "PBA"), are parties to a collective bargaining agreement; and

WHEREAS, members of the PBA serving in the military reserve have been and continue to be called to active duty as a result of the terrorist attacks in New York City and Washington, D.C. on September 11, 2001 and in support of the Global War on Terrorism and other on-going conflicts overseas and will be required to interrupt their regular City employment; and

WHEREAS, the City of Newburgh and PBA have been approving Memoranda of Agreement on a case-by-case basis to grant certain additional benefits to employees ordered to active military service; and

WHEREAS, the City and PBA wish to enter into a Memorandum of Agreement to provide certain additional benefits to all PBA members ordered to active military service without further need for individual agreements;

NOW, THEREFORE, in consideration of the mutual covenants contained herein, the parties stipulate and agree as follows:

1. Members of the PBA ordered to active military duty (including ordered service in the reserve force) as a result of the events of September 11, 2001, and the ongoing conflicts overseas, shall be entitled to receive the following benefits:

- a) Members who have exhausted their entitlement to paid military leave under Section 242 of the Military Law shall be entitled to an additional thirty (30) calendar days or twenty-two (22) working days of supplemental military leave at full pay, whichever is greater, in any one calendar year, not exceeding in total sixty (60) calendar days for any one continuous period of absence;
- b) Members who have exhausted their entitlement to the paid leave set forth in paragraph (a) above shall be entitled to military leave at a rate of pay equal to the Member's rate of pay pursuant to the Collective Bargaining Agreement less the compensation received by the Member as a result of his or her active duty. The Member shall provide the city with an "enlisted pay chart" establishing the applicable military rate of pay;
- c) Members shall receive the same individual or family health insurance benefits provided pursuant to the Collective Bargaining Agreement, as received by such members prior to their date of activation;
- d) Members shall accrue vacation leave at the rate set forth in the Collective Bargaining Agreement during the period they receive benefits pursuant to this Memorandum.

2. This Agreement constitutes the entirety of the agreement between the parties regarding its subject matter. There are no other agreements, oral or otherwise.

Dated: August _____, 2019
Newburgh, New York

AGREED TO:

CITY OF NEWBURGH

By: _____
Joseph P. Donat, City Manager
Per Resolution No.

PATROLMEN'S BENEVOLENT ASSOCIATION
OF NEWBURGH, NEW YORK, INC.

By: _____
Ricardo Rivera, President

ORDINANCE NO.: _____ - 2019

OF

AUGUST 12, 2019

AN ORDINANCE AMENDING SECTION 272-12, ENTITLED "VEHICLE LICENSE REQUIRED; FEE; STICKERS," SECTION 272-15 ENTITLED "VEHICLE LICENSE CARD," SECTION 272-16, ENTITLED "INSPECTIONS REQUIRED," AND SECTION 272-17, ENTITLED "VEHICLE MARKINGS, SAFETY AND EQUIPMENT; OWNER, OPERATOR AND/OR DRIVER RESPONSIBILITIES" OF THE CODE OF THE CITY OF NEWBURGH

BE IT ORDAINED, by the Council of the City of Newburgh, New York that Section 272-12 entitled "Vehicle License Required; Fee; Stickers," Section 212-15, entitled "Vehicle License Card," Section 272-16 entitled "Inspections Required," and Section 272-17, entitled "Vehicle Markings, Safety and Equipment; Owner, Operator and/or Driver Responsibilities" of the Code of the City of Newburgh is hereby amended as follows:

Section 1. § 272-12. Vehicle License Required; Fee; Stickers

C. For each vehicle licensed as a taxicab hereunder, the City Clerk shall issue a sticker of uniform design. Each sticker shall display a unique number as provided in this section, ~~and~~ shall show the expiration date of said taxicab vehicle license, and shall show the expiration date of said taxicab's inspection. Such sticker shall be affixed ~~to the inside of the left rear window on the windshield~~ of the vehicle for which same shall have been issued by the Chief of Police or his designee so as to be clearly visible. Each taxi company shall be assigned a unique number and each vehicle operated by each such company shall be assigned a unique number with said company; thus, each vehicle shall have a unique number in the form AB-CD where "AB" is the number assigned to the company and "CD" is the number assigned to each vehicle operated by each such company.

Section 2. § 272-15. Vehicle License Card

If, upon inspection, a vehicle is found to be in proper condition and in compliance in accordance with the provisions of all applicable laws, rules and regulations, upon the approval of the application for a taxicab vehicle license and the payment of the license fee hereinafter set forth, such vehicle shall be licensed by delivering to the owner a card of such size and form as may be prescribed by the Chief of Police. The card shall contain the official license number of the taxicab vehicle and a statement to the effect that, in case of any complaints, the Chief of Police shall be notified, giving the license number of the taxicab and the telephone number and address via which such complaints may be made. Such card shall be signed by the ~~Chief of Police~~ City Clerk. The taxicab vehicle license number assigned hereunder shall, in each case, be the same as that assigned to the vehicle for that year pursuant to law. Taxicab vehicle license cards must be displayed in a prominent place visible to

Underlining denotes additions

~~Strikethrough~~ denote deletions

all passengers in the taxicab vehicle for which the license card is issued. For each such vehicle, the license number shall correspond to the number appearing on the sticker required to be affixed to the ~~left rear bumper~~ windshield of each such vehicle as required by this chapter.

Section 3. § 272-16. Inspections Required

~~G. — Such designated inspection station shall affix to each vehicle which satisfies the requirements of this chapter pertaining to taxicabs the sticker described in § 272-12C to the inside of the left rear window on the windshield of each such vehicle by the Chief of Police or his designee.~~

~~H.~~ G. Repair work which is required after inspection of a taxi must be completed within 10 days of the inspection, and satisfactory proof of repair shall be presented to the Chief of Police. Failure to make necessary repairs and to present satisfactory proof may be grounds for suspension of an owner's taxicab vehicle license. The cost for reinspection shall be that established by state law or regulation for such inspection of motor vehicles.

~~I.~~ H. Upon receipt of a report from any designated inspection station which finds a taxi to be unfit or unsuited for public patronage or which shall fail to comply with the requirements of this chapter, the ~~licensing official~~ Chief of Police or his designee shall refuse a license or shall revoke or suspend the license previously issued.

~~J.~~ I. Upon receipt of the appropriate report from the designated inspection station, the Chief of Police or his designee will issue a suitable inspection sticker with the month and year of inspection expiration marked out. The sticker shall be affixed on the windshield of the vehicle by the Chief of Police or his designee where it shall be clearly visible and available for inspection by any member of the City of Newburgh Police Department at all times while said vehicle is licensed within the City of Newburgh.

~~K. — Upon being issued a City of Newburgh taxi inspection sticker, the taxi operator or owner will affix the sticker by placing it on the rear bumper left side the sticker shall be affixed on the windshield of the vehicle by the Chief of Police or his designee where it shall be clearly visible and available for inspection by any member of the City of Newburgh Police Department at all times while said vehicle is licensed within the City of Newburgh.~~

~~L.~~ J. It shall be unlawful to possess or display a forged, altered or unauthorized City of Newburgh inspection sticker.

~~M.~~ K. The Chief of Police may additionally inspect or cause to be inspected all taxicabs from time to time, as often as he may deem necessary for the public health, safety and welfare. Said inspections shall not be evidence to be used against the City with respect to any claim of liability, and the City assumes no special duty or obligation to any person with respect to same, but shall be evidence merely that the licensee has had inspections made as required by this chapter.

Underlining denotes additions
~~Strikethrough~~ denote deletions

Section 4. § 272-17. Vehicle Markings, Safety and Equipment; Owner, Operator and/or Driver Responsibilities

(A)(6). The ~~City Clerk~~ Chief of Police or his designee shall issue a sticker for each vehicle so licensed, which shall be affixed to the ~~left rear bumper~~ windshield of the subject vehicle by the Police Chief or his designee. Each such sticker shall display the unique four-digit number assigned to such vehicle.

Section 4. This Ordinance shall take effect immediately.

Underlining denotes additions
~~Strikethrough~~ denote deletions

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**RESOLUTION SCHEDULING A PUBLIC HEARING FOR SEPTEMBER 9, 2019
TO HEAR PUBLIC COMMENT CONCERNING A LOCAL LAW AMENDING CHAPTER
270 "TAXATION" OF THE CODE OF THE CITY OF NEWBURGH RESCINDING
SECTION 270-23.1 THROUGH SECTION 270-23.11 OF
ARTICLE III "COLLECTION OF DELINQUENT TAXES" PROVIDING FOR
THE INSTALLMENT PAYMENT OF ELIGIBLE DELINQUENT TAXES**

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York, that there is hereby scheduled a public hearing to receive comments concerning a "A Local Law amending Chapter 270, 'Taxation', of the Code of the City of Newburgh rescinding Section 270-23.1 through Section 270-23.11 of Article III entitled 'Collection of Delinquent Taxes' providing for the Installment Payment of Eligible Delinquent Taxes"; and that such public hearing be and hereby is duly set for the next regular meeting of the Council to be held at 7:00 p.m. on the 9th day of September, 2019, in the 3rd Floor Council Chambers, 83 Broadway, City Hall, Newburgh, New York.

LOCAL LAW NO.: _____ - 2019

OF

_____, 2019

**A LOCAL LAW AMENDING CHAPTER 270 "TAXATION" OF THE CODE
OF THE CITY OF NEWBURGH RESCINDING
SECTION 270-23.1 THROUGH SECTION 270-23.11 OF
ARTICLE III "COLLECTION OF DELINQUENT TAXES" PROVIDING FOR
THE INSTALLMENT PAYMENT OF ELIGIBLE DELINQUENT TAXES**

BE IT ENACTED by the City Council of the City of Newburgh as follows:

SECTION 1 - TITLE

This Local Law shall be referred to as "A Local Law amending Chapter 270, 'Taxation', of the Code of the City of Newburgh rescinding Section 270-23.1 through Section 270-23.11 of Article III entitled 'Collection of Delinquent Taxes' providing for the Installment Payment of Eligible Delinquent Taxes".

SECTION 2 - PURPOSE AND INTENT

The purpose of this local law is to repeal Local Law No. 1-2012 which enacted Section 270-23.1 through Section 270-23.11 of Chapter 270, Article III which authorized installment payment of eligible delinquent taxes pursuant to Section 1184 of the New York State Real Property Tax Law. The City Council of the City of Newburgh finds that since Local Law No. 1-2012 was enacted that the installment payment agreement has not assisted property owners in becoming current on their real property tax payments and resulted in continued delinquency and non-payment of real property taxes. This City Council has determined that the continued delinquency and non-payment of real property taxes discourages the prompt payment of real property taxes and adversely affects the City's ability to provide and pay for essential public services. Therefore, the City Council further finds that repealing and rescinding Local Law No. 1-2012 in its entirety will promote the life, health, safety and well-being of all City residents.

SECTION 3 - AMENDMENT

Local Law No. 1-2012 of April 9, 2012, enacting Section 270-23.1 through Section 270-23.11 of Article III entitled "Collection of Delinquent Taxes" providing for the Installment Payment of Eligible Delinquent Taxes is hereby rescinded in its entirety.

SECTION 4 - SEVERABILITY

The provisions of this Local Law are separable and if any provision, clause, sentence, section, subsection, word or part thereof is held to be illegal, invalid, or unconstitutional, or inapplicable to any person or circumstance, such illegality, invalidity, or unconstitutionality, or inapplicability shall not affect or impair any of the remaining provisions, clauses, sentences, subsections, words or parts of this Local Law or their application to other persons or circumstances. It is hereby declared to be the legislative intent that this Local Law would have been adopted is such illegal, invalid, or unconstitutional provision, clause, sentence, subsection, word or part had not been included therein, and if such person or circumstance to which the Local Law or part here of is held inapplicable had been specifically exempt therefrom.

SECTION 5 - CODIFICATION

It is the intention of the City Council of the City of Newburgh and it is hereby enacted that the provisions of this Local Law shall be included in the Code of Ordinances of the City of Newburgh; that the sections and subsections of this Local Law may be re-numbered and/or re-lettered by the codifier to accomplish such intention; that the term "Local Law" shall be changed to "Chapter", "Section", or other appropriate word as required for codification; and that any such rearranging of the numbering and/or lettering and editing shall not affect the validity of this Local Law or the provisions of the Code of Ordinances affected thereby.

SECTION 6 - VALIDITY

The invalidity of any provision of this Local Law shall not affect the validity of any other provision of this Local Law that can be given effect without such invalid provision.

SECTION 7 - EFFECTIVE DATE

This Local Law shall be effective on October 1, 2019 and after the filing in the Office of the New York State Secretary of State in accordance with the provisions of New York State Municipal Home Rule Law.

RESOLUTION NO.: _____ - 2019

OF

AUGUST 12, 2019

**A RESOLUTION RE-APPOINTING TRISHA HALVERSON AND BELINDA MCKEON
AND APPOINTING MICHELLE ROACH, NAOMI MILLER AND JOY SALDANA
TO THE CITY OF NEWBURGH ARTS AND CULTURAL COMMISSION
FOR THREE YEAR TERMS**

WHEREAS, the City of Newburgh has created an Arts and Cultural Commission to develop and promote arts and culture in the City as an integral part of City life; to encourage tourism; to foster a creative environment which reflects the rich diversity of our City; and to increase and enhance the role played by the arts in education in all its forms; and

WHEREAS, by Resolution No. 186-2018 of July 9, 2018, the City Council appointed 13 new members to The Arts and Cultural Commission; and

WHEREAS, the initial one-year terms of the Arts and Cultural Commission Members expired on July 9, 2019 and Ms. Halverson and Ms. McKeon wish to continue to serve new three-year terms and Michelle Roach, Naomi Miller and Joy Saldana have submitted letters of interest to serve as Members of The Arts and Cultural Commission to replace three Members who have either vacated their positions or do not seek reappointment; the same being in the best interests of the City of Newburgh;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that Trisha Halverson and Belinda McKeon are hereby re-appointed and Michelle Roach, Naomi Miller and Joy Saldana are hereby appointed to The Arts and Cultural Commission each for a three (3) year term commencing July 10, 2019 and ending on July 9, 2022.

RESOLUTION NO.: 186 - 2018

OF

JULY 9, 2018

A RESOLUTION APPOINTING 13 MEMBERS OF THE CITY OF NEWBURGH
ARTS AND CULTURAL COMMISSION

WHEREAS, the City of Newburgh has created an Arts and Cultural Commission to develop and promote arts and culture in the City as an integral part of City life; to encourage tourism; to foster a creative environment which reflects the rich diversity of our City; and to increase and enhance the role played by the arts in education in all its forms; and

WHEREAS, many citizens have expressed their interest in donating their time and efforts to this Commission, and well-qualified persons have been identified as appropriate appointees thereof;

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Newburgh, New York that the following individuals be and are hereby appointed to the Arts and Cultural Commission for the terms as specified:

One (1) Year Term

Clayton Buchanan
Trisha Halverson
Mary Medina
Belinda McKeon
Jo'Van O'Neal

Two (2) Year Term

Garin Baker
Mark Carranceja
Vincent Cianni
Stuart Sachs

Three (3) Year Term

Michael Gabor
Jacqueline Hesse
Nancy Layne
Christine McCartney

BE IT FURTHER RESOLVED, that the terms provided for herein shall commence on July 10, 2018.

I, Lorena Vitek, City Clerk of the City of Newburgh,
hereby certify that I have compared the foregoing with the
original resolution adopted by the Council of the City of
Newburgh at a regular meeting held July 9, 2018
and that it is a true and correct copy of such original.

Witness my hand and seal of the City of
Newburgh this 9th day of July, 2018

Lorena Vitek
City Clerk

HONORARY DESIGNATION POLICY

I. Ceremonial Street Naming Application Process

- A. Definition: Ceremonial street dedication consists of a secondary sign that may be installed above or below an existing street name sign, which does not replace the official street name or cause the need for the re-addressing the street. Ceremonial street dedications may encompass only a portion of an existing street and also may be installed for a predetermined period of time and later removed.
- B. Requests for consideration of a ceremonial street designation on City property shall be sent in writing to the Council Member in whose ward the subject street is located. A Council Member may initiate a request by providing the information required in paragraph C to all City Council Members as provided in paragraph D.
- C. All requests must include the following information:
 - 1. Name of person or group requesting the street name change
 - 2. Designated contact person, address, telephone number and email address
 - 3. Location of proposed ceremonial street dedication, length of street to be affected, bounding streets or other boundaries
 - 4. Proposed ceremonial designation
 - 5. A biography of the person to be honored, or a description of the organization, object, or event to be honored, whichever is applicable
 - 6. An explanation of the reason for the honorary designation
 - 7. A statement of cost from the Superintendent of Public Works for the installation of the proposed honorary street sign.
- D. Upon receipt of a complete request, the Council Member receiving the request shall distribute to all City Council Members who will have two weeks to review the proposed honoree, evaluate the request under the approval criteria contained in paragraph E, and respond to the Council Member who received the request with a recommendation to proceed with a resolution or objection to the request. Any objection shall include the basis for the objection and whether additional information or review is needed.
- E. Criteria for approval:
 - 1. If subjects are individuals, they must be: deceased; Newburgh residents or natives or individuals of particular importance to Newburgh; of enduring or lasting interest to large segments of the City's population or have undertaken an act or acts of enduring or lasting interest to their community; and whose importance to the City or whose enduring interest is a result of exemplary acts or achievements which reflect positively on the City.
 - 2. Individual prospective honorees must be deceased for at least two years prior to consideration. Exceptions may be made however for individuals who die under infamous circumstances of crime, accident, disease, social circumstance, military service or the like, or if the death itself leads to a

greater awareness within society of the cause of death and a concerted effort to address that problem.

3. An application to co-name a street for an individual already honored in a similar fashion will be discouraged.
 4. If subjects are organizations, they must be: of particular importance to Newburgh; of enduring or lasting interest to large segments of the City's population that have undertaken acts of enduring or lasting interest; and whose importance to the City or whose enduring interest is a result of exemplary acts or achievements which reflect positively on the City.
- F. Upon recommendation to proceed by the City Council, the complete request shall be sent to the City Manager and the Corporation Counsel who will have 2 weeks to prepare a resolution for the next City Council meeting cycle, unless the Council is in summer session and then the time to prepare a resolution shall be extended to 4 weeks.
- G. If a resolution is adopted by the City Council, then the party requesting the ceremonial dedication shall deposit funds for the cost of the signage with the City Manager, unless the party making the request is a Council Member. Upon receipt of funds the signage will be supplied and installed by the Department of Public Works in accordance with the City's existing design standards.
- H. It shall be the responsibility of the party requesting the ceremonial dedication to coordinate the dedication and installation through the Office of the City Manager.

II. Key to the City and Other Honorary Designations

- A. Definition: Honorary designations include all ceremonial recognitions except for a ceremonial street naming and Mayoral proclamation or Council Certificate of Appreciation. Examples of such honorary designations include but are not limited to Key to the City, Square dedication and special day designation
- B. Requests for consideration of honorary designations, other than ceremonial street naming, shall be sent in writing to the Mayor or any Council Member. A Council Member may initiate a request by providing the information required in paragraph C to all City Council Members as provided in paragraph D.
- C. All requests must include the following information:
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- F. Upon recommendation to proceed by the City Council, the complete request shall be sent to the City Manager who will coordinate with the Council Member receiving or sponsoring the request to prepare the request for the next City Council meeting cycle and it shall be the responsibility of the Council Member receiving the request to ensure that the key to the City or other supporting material is prepared and ready for presentation at a City Council meeting.

III. Mayoral Proclamation and City Council Certificates of Appreciation

- A. Nothing in this Honorary Designation Policy shall affect the Mayor's authority to issue or present a Mayoral Proclamation or any City Council Member's authority to issue or present a Certificate of Appreciation.
- B. It shall be the responsibility of the Mayor or the Council Member to ensure that the supporting material is delivered to the City staff in sufficient time to prepare the proclamation or certificates for the presentation date.

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- G. If a resolution is adopted by the City Council, then the party requesting the ceremonial dedication shall deposit funds for the cost of the signage with the City Manager, unless the party making the request is a Council Member. Upon receipt of funds the signage will be supplied and installed by the Department of Public Works in accordance with the City's existing design standards.
- H. It shall be the responsibility of the party requesting the ceremonial dedication to coordinate the dedication and installation through the Office of the City Manager.

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The City of Newburgh

Office of the Corporation Counsel

City Hall – 83 Broadway
Newburgh, New York 12550

Michelle Kelson
Corporation Counsel

Tel. (845) 569-7335
Fax. (845) 569-7338

Jeremy Kaufman
Assistant Corporation Counsel

MEMORANDUM

TO: Councilman Anthony Grice
Councilwoman Karen Mejia
Councilwoman Ramona Monteverde
Councilwoman Hillary Rayford
Councilman Robert Sklars
Councilwoman Patty Sofokles
Mayor Torrance Harvey

FROM: Michelle Kelson, Corporation Counsel

RE: Proposed Application Process for Ceremonial Street Naming and Other Honors

CC: Joseph P. Donat, City Manager

DATE: June 19, 2019

Below are **suggested** procedural guidelines for requests for ceremonial street designations and other forms of honoring individuals and/or groups in the City of Newburgh. The suggested language includes application submittal requirements and a process for review. It is suggested that the primary review and evaluation be administered by the City Council.

- I. Ceremonial Street Naming Application Process
 - A. Definition: Ceremonial street dedication consists of a secondary sign that may be installed above or below an existing street name sign, which does not replace the official street name or cause the need for the re-addressing the street. Ceremonial street dedications may encompass only a portion of an existing street and also may be installed for a predetermined period of time and later removed.
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Manager. Upon receipt of funds the signage will be supplied and installed by the Department of Public Works in accordance with the City's existing design standards.

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CITY PLANNING COMMISSION
CITY OF NEW ORLEANS

MITCHELL J. LANDRIEU
MAYOR

ROBERT D. RIVERS
EXECUTIVE DIRECTOR

LESLIE T. ALLEY
DEPUTY DIRECTOR

City Planning Commission Staff Report

Executive Summary

Consideration:

Request by City Council Motion M-15-444 for the City Planning Commission to conduct a study and public hearing to amend its Administrative Rules, Policies, & Procedures relative to the creation of an honorary street name change process.

Background:

To date, the City of New Orleans does not have a policy related to an honorary street dedication program. Currently, the City's street naming policy, which is documented in the City Planning Commission's Administrative Rules, Policies, and Procedures, only delineates the procedure for street name changes. An honorary street dedication program, which many other jurisdictions across the country have implemented, allows cities the opportunity to commemorate individuals and groups who have made significant contributions to the community, but without causing any disruption of the existing street grid associated with a modification to the Official Map as would a permanent street name change. According to best practices, honorary street signage is typically a secondary sign that is installed above or below an existing street name sign. The City Council has granted honorary street dedications in the recent past, though without a formalized policy to guide the process.

Following the recent approval of street name changes in the spring of 2015, the New Orleans City Council requested that the City Planning Commission review the City's street renaming rules and explore opportunities to create an honorary street dedication program.

Recommendation:

In order to promote clear wayfinding, efficient emergency response and service delivery, as well as accurate address keeping, the staff advises against frequent changes to the City's Official Map. The staff recognizes, however, that cities are ever-changing entities, and from time to time, an official street name change may be warranted. In these circumstances, decisions should consider all associated

impacts. Instituting an honorary street dedication policy could allow the city to honor multiple deserving individuals and groups without requiring an amendment to the Official Map. Honorary or ceremonial street naming programs have been successfully instituted across multiple jurisdictions in the United States as a means of recognizing and memorializing important community members.

In making its recommendation the staff relied on a thorough analysis of street naming practices employed within other communities which is found in Section III of this report. The staff makes a recommendation in two parts. The first part is related to the development of an honorary street dedication program. The second part is related to modifications to the City Planning Commission's Administrative Rules, Policies, and Procedures in order to complement the proposed honorary street naming policy and further delineate the roles of the City Planning Commission and the City Council in the honorary and official street naming process. Because of the inherent relationship between a City Council member and his or her constituents, the staff believes evaluations of honorary street dedications would most appropriately be made by that body as opposed to the City Planning Commission. The staff recommends that the City Council adopt its own policy related to honorary street dedications, as deemed appropriate by its members. However, suggested policy components, based on best practices, are provided in this report as they pertain to an application process and evaluation criteria.

As with the honorary street dedication program, the staff believes it should be the sole responsibility of the City Council to determine the validity of the biography or character of the proposed named party, and to accept or decline the request based on these factors. Therefore, the staff recommends that the evaluative criteria listed in the current street renaming policy should be amended to exclude all language which would give the City Planning Commission authority to evaluate the significance of a named party, and limit the evaluation to only technical matters which the staff believes is the more appropriate responsibility of this body per the Home Rule Charter of the City of New Orleans.

City Planning Commission Meeting
February 23, 2015

PRELIMINARY STAFF REPORT

To: City Planning Commission

Prepared By: Nicolette Jones, Stosh
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Derreck Deason

Date: February 16, 2015

Consideration: Request by City Council Motion M-15-444 for the City Planning Commission to conduct a study and public hearing to amend its Administrative Rules, Policies, & Procedures relative to the creation of an honorary street name change process.

I. Background

A. Authority

The City's current street renaming policy is published within City Planning Commission's Administrative Rules, Policies.¹ This authority is based on an interpretation of the Home Rule Charter of the City of New Orleans which outlines the role of the City Planning Commission. Pursuant to *Article V, Chapter 4* of the Home Rule Charter, the City Planning Commission is authorized to prepare and recommend amendments to the City's Official Map, to be considered by the New Orleans City Council.

Prior to 1994, the City did not have a formal process for considering street name changes, though several public streets had been renamed in prior years through the enactment of ordinances. Due to the questioning of the informal nature of the street renaming process, on November 22, 1994 the City Planning Commission voted to amend the CPC's Administrative Rules, Policies, and Procedures to outline a formal policy related to the renaming of streets. The 1994 policy described the main objectives of street renaming, the procedure by which requests for street name changes could be considered, and the criteria by which the City Planning Commission would evaluate each request. The policy was subsequently amended in 2011 and again in 2014.

The purpose of the 2011 amendment was to update the roles of the agencies involved in the process, provide for public notice and a public hearing, and streamline the procedure. The purpose of the most recent amendment to the street renaming policy in 2014 was to include a fourth approval criterion related to the technical aspects of street naming (e.g., character length, the use of numerals, the use of punctuation marks, etc.).

The 2014 amendments were inspired by concerns expressed by other City agencies who rely on clear street name and addressing information such as the Mayor's Office of Information Technology and Innovation (ITI), which maintains the City's electronic

¹ Last amended March 11, 2014.

geographic information for streets, the Department of Public Works, and others. The City, to date, has not adopted a policy related to honorary street designations.

B. Current Street Name Change Procedure

The CPC's Administrative Rules, Policies and Procedures currently delineate the process by which a request for a street name change may be submitted and authorized.² First, the process requires that an applicant send a written request to the Councilmember of the District in which the street name change is being considered. The Councilmember is then required to consult with both the City Planning Commission and the department which oversees the City's electronic geographic information in order to become familiar with the procedure and standards for street name change requests, and to review the proposed name change in the context of the City's system of street names and other geographic features in the vicinity of the subject street. Afterward, if the Councilmember is willing to consider the proposed street name change, the Councilmember will submit the official request to the City Planning Commission and the Department of Public Works.³ The applicant must subsequently obtain an estimate for the cost to replace street signs from the Department of Public Works, and must deposit the same amount of money to the City through the Department of Finance.

Provided a deposit has been made to the City, the City Planning Commission is to hold a public hearing within 60 days of its receipt of the request. This public hearing process also requires public outreach in the form of mailed letters to all property owners whose properties front the petitioned street and all reported neighborhood organizations whose boundaries contain the petitioned street. Prior to the public hearing, the request is required to be reviewed by the Planning Advisory Committee. Depending on the location of the street name change, the request may also be required to be reviewed by the Vieux Carré Commission or Historic District Landmarks Commission. The CPC staff evaluates the street name change and prepares a report and recommendation for the City Planning Commission. The City Planning Commission holds a public hearing and votes on a recommendation to be sent to the City Council. The City Council then considers the request at its own public hearing. A street name change is effectuated through the adoption of an ordinance by the City Council and the Mayor's signature. However, the approval of a street name change is based on several criteria outlined in the next section of this report. If an ordinance is adopted to effectuate a street name change, the City Planning Commission staff is charged with amending the Official Zoning Map and Official Map of the City.

² It should be noted that procedures and guidelines for the names of new streets are currently outlined in the City's Subdivision Regulations, and are not part of the street renaming policy found in the CPC's Administrative Rules, Policies, and Procedures.

³ Per the CPC's Administrative Rules, Policies, and Procedures a complete request includes: the name of person or group making the request and their contact information; location of name change; total number of lots affected; total number of residential, commercial, and industrial uses affected; and the proposed street name and reason for change.

Street Name Change Evaluation Criteria

The CPC's Rules, Policies, and Procedures delineate six criteria by which street name change proposals must be evaluated by the City Planning Commission. These criteria generally address technical factors such as street name duplication, character type and length, abbreviation standards, and standards related to the use of prefixes and suffixes, among others. Some of these technical criteria were adopted with the most recent amendments to the policy in 2014. The first criterion stipulates that streets may be named after significant actions, as part of a theme, or after a person who has made a significant positive impact within the city, state, or country. Rather than being technical, this criterion requires the Commission to evaluate significance, which could be considered more subjective in nature. The fifth and sixth criteria are essentially provisions. The fifth criterion allows the City Planning Commission to recommend modifications to the proposed street name change, except for any modification to the boundary of the requested change. The sixth criterion allows the City Planning Commission to waive any of the evaluative criteria for the sake of promoting the health, safety, and welfare of the community.

The CPC's six current evaluation criteria for street name changes are written as follows.

1. Streets may be named after historically significant actions, as part of a theme, or after a person who has made a demonstrable and significant positive impact on the city, state or country. In order to reduce any perception of misconduct, favoritism, or bias, no street shall be renamed to honor a person who has been deceased for less than five (5) years.
2. No fragmentation of the name of any continuous street shall be permitted. All street renaming shall occur in such a manner that one of the following two criteria are met: 1) the entire length of a continuous street shall be renamed, or 2) a section of a street shall be renamed only where such section is physically separated or obstructed from other sections of the same street by structures, parks, canals, interstates, rivers, bayous, or other significant objects, or as a result of shifts in the City's street grid pattern that causes a portion of a street to be clearly disconnected for other portions of the street.
3. The creation of duplicate street names shall not be permitted, and preference shall be given to requests for the renaming of existing duplicate street names. Street names shall be considered duplicate even if their suffixes (Street, Road, Circle, Boulevard, etc.) are different or if they have similar spellings (Claire Avenue and Clare Court) or pronunciations (Rayne Drive and Reynes Street).
4. In order to provide for consistency in the naming of streets and to promote the health, safety, and general welfare of the community, all street names shall conform to the following standards:

- a. A pre-directional indicator may be included as part of a street name. When used, pre-directional indicators must precede all other components of a street name, and the use of the predirectional indicator shall be consistent with the pattern established by the City (for example, with respect to Canal Street). Only the directions North, South, East, and West shall be used as pre-directional indicators. No post-directional indicators or other direction following a name shall be permitted;
 - b. The use of punctuation marks, including but not limited to the following, are prohibited: apostrophes, brackets, colons, commas, dashes, ellipses, question marks, exclamation marks, hyphens, parentheses, periods, quotation marks, semicolons, and slashes;
 - c. Diacritical marks (for example, ç, é, ô, etc.) and hyphens may be used;
 - d. The use of abbreviations (for example, Cath., Geo., Wm., etc.) are prohibited, except as provided below;
 - e. The use of titles (for example, Empress, Honorable, King, etc.), prefixes (for example, Dr., Mr., Rev., etc.), and suffixes (for example, Esquire, M.D., Ph.D., etc.) are prohibited, with the exception that suffixes forming part of the legal name of a person, and which are necessary to distinguish between two people with the same name, may be used. In such cases, the suffixes Junior and Senior shall be abbreviated Jr. and Sr. No comma shall be used to separate such suffixes from the other portions of a name, and no period shall be used following such a suffix;
 - f. The shortest meaningful version of a proposed street name should be given preference;
 - g. No new street name shall be permitted to exceed a length of thirty (30) characters. For this purpose of this standard, each letter, hyphen, numeral and space between words with a street name, including any pre-directional prefix (for example, North South etc.) and any street type suffix (for example, Avenue, Boulevard, etc.) shall be counted as an individual character. However, no diacritical mark shall be counted as a separate character from that of the letter to which it is added.
5. The City Planning Commission may consider and recommend to the City Council any modification to a proposed street name that is deemed necessary to carry out the provisions of the Street Naming Policy or to promote the health, safety, and general welfare of the community. However,

the City Planning Commission shall not recommend any changes to the name of a street or right-of-way that is outside the bounding streets or other boundaries specified on the request submitted to the City Planning Commission by the City Council.

6. The City Planning Commission may waive any of the evaluation criteria in this section as part of its recommendation when doing so is deemed necessary in order to promote the health, safety and general welfare of the community.

Recent Street Name Change History

- 2015 Two street name changes were approved: SNC 1/15 for a four block portion of Carondelet Street to be named Robert C. Blakes, Sr. Drive; and, SNC 2/15 for an eleven block portion of LaSalle Street to be named Rev. John Raphael Jr. Way.
- 2013 Two street name change requests were approved: SNC 1/11 for a one block portion of University Place to be named Roosevelt Way; and, SNC 1/13 for a one block portion of Jumonville Street to be named ForeKids Drive.

C. Study Scope

Council Motion M-15-444

Council Motion M-15-444 directs the City Planning Commission to conduct a study and public hearing to amend its Administrative Rules, Policies, & Procedures relative to the creation of an honorary street name change process. In light of fact that the City has no written procedure for the approval and installation of honorary street signage, the Council passed the motion in order to formalize a process which would in turn allow constituents a means of honoring or memorializing the great number of community members who have had a profound influence upon the City of New Orleans and its residents.

Study Objectives

In making its recommendation the staff relied on a thorough analysis of street naming practices employed within other communities which is found in Section III of this report. The staff looked at both the procedures other jurisdictions followed in order to process and implement honorary street signage as well as the criteria used to evaluate such proposals. In addition, the staff looked at the official street renaming policies of these communities to see how they interacted with honorary street naming policies and procedures. In examining both, the staff aimed to produce a coordinated and comprehensive recommendation. The study was guided by the following goals and objectives:

- Ensure accuracy of the City's addressing and GIS systems;
- Facilitate efficient emergency response and service delivery;
- Promote clear wayfinding throughout the streets system;

- Develop a program which affords the City the opportunity to recognize important individuals or groups within the community without impacting residents, businesses, or the provision of City services in a potentially negative way;
- Explore the role of the City Planning Commission in both the street renaming and honorary street naming processes;
- Establish an appropriate structure of governance related to the enactment of official street name changes and honorary street dedications; and
- Develop suitable criteria for the evaluation of honorary street dedication proposals as well as street name changes based on best practices.

II. Street Renaming and Honorary Street Dedications

City streets are commonly named after people, places, or events because of their significant contributions to, or impacts on the City or community. As explained in the Section I of this report, the process for a street name change is outlined in the City Planning Commission's Administrative Rules, Policies and Procedures. While the existing CPC policy provides a procedure for street name changes, it does not provide a procedure for honorary street dedications. To date, the City has no formal honorary street dedication policy.

The creation of an honorary street dedication program could allow those people, organizations, or entities, who may have made a significant contribution to the City of New Orleans, the State of Louisiana, or the United States, to be recognized. The difference between an honorary street dedication and an official street renaming is that a street renaming changes the official name of all or a portion of a street and all associated post addresses. An honorary street dedication, on the other hand, is a supplemental sign used to commemorate a person, group or event on a sign post adjacent to or within the primary street sign. An honorary street dedication does not require a change to the official map.

Honorary, also known as ceremonial, street dedication signage typically consists of a secondary sign that may be installed above or below an existing street name sign. An honorary street dedication does not replace the official street name or cause the need for the re-addressing the street. Honorary dedications may encompass all or a portion of an existing street. An honorary street dedication may also be installed for a predetermined period of time and later removed.

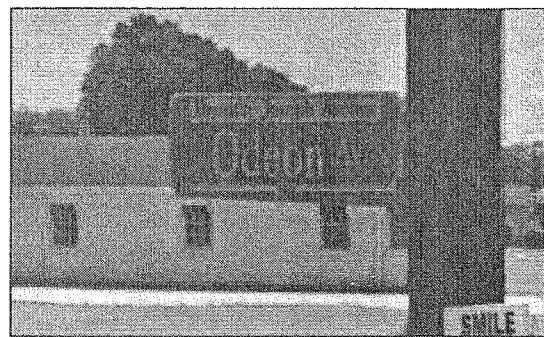


Figure 1. Example of honorary street sign in Algiers neighborhood, installed in 2009.

The New Orleans City Council has approved honorary street dedications in the past, though by resolution and without a formalized process. The staff found that in 2009, the Council voted to dedicate a portion of Odeon Avenue in Algiers in honor of two local churches

celebrating their anniversaries.⁴ The churches were commemorated with the installation of several “dual street name signs” where the honorary name is located at the top of the street sign and the current street name is located at the bottom of the sign. An image of one of the installed signs can be seen in Figure 1.

Honorary Street Dedication Sign Components

Most honorary street name signs in other cities are mounted above or below the primary street name sign, or are otherwise clearly distinguishable from the official street name sign. A dedication sign may also be free standing and installed at a corner or mid-point along a particular street segment. Honorary, or ceremonial, signs usually have a distinct design and a contrasting color to distinguish them from the primary street name. Some street dedication signs may also specify the word “Honorary” or use the suffix “Way” to differentiate the dedication name from the formal street name.

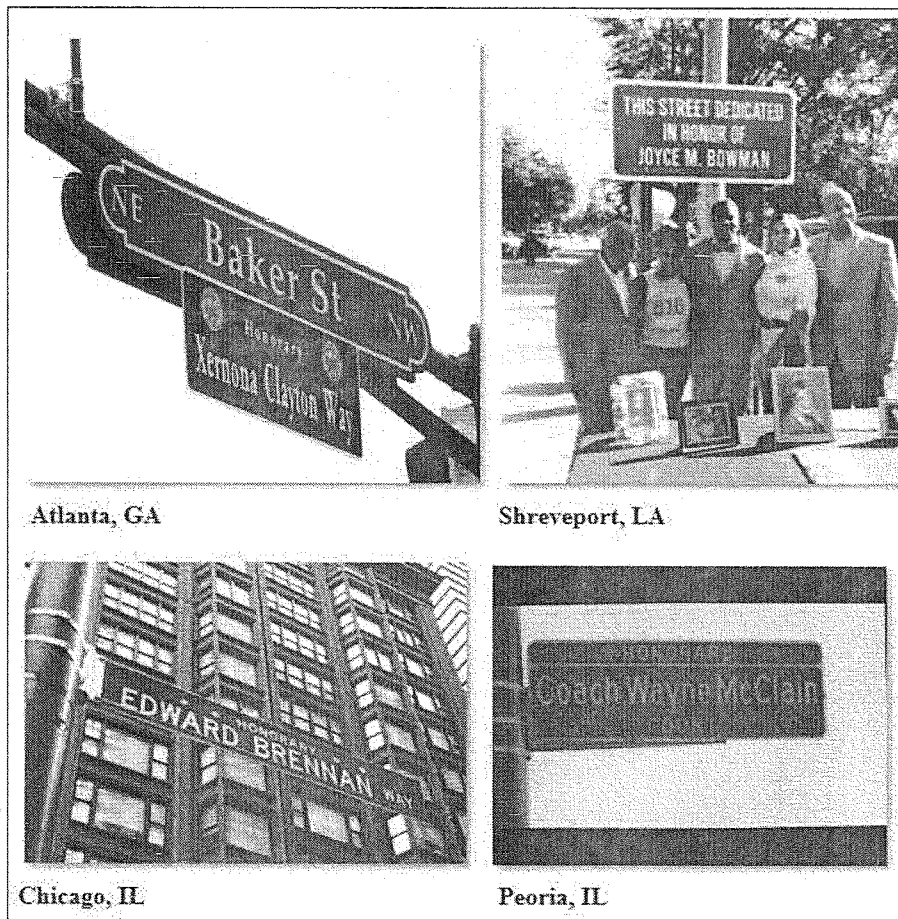


Figure 2. Honorary street signage within other communities.

⁴ See Resolution R-09-458.

III. Best Practices

Assessment of Policies in Other Communities

As part of this study, the City Planning Commission staff reviewed numerous municipalities with street renaming policies and honorary street naming programs. The following sample cities were chosen as examples of street renaming or honorary street dedication best practices based on their having long-established or comprehensive policies which are easily accessed within published sets of rules. Below the staff provides an account of each municipality's street renaming policy and procedure as well as an account of the process each city has followed in creating honorary street dedications.

Shreveport, LA

In recent years, the City of Shreveport's Council has authorized several honorary street name dedications through resolution.⁵ If approved, dedication signs are typically placed at a midpoint of the roadway between two intersecting streets, and measure two feet by four feet so that the sign is legible to passing cars. Council members of Shreveport have expressed that honorary street sign dedications are a preferable way to honor individuals based on the amount of requests received and the confusion which could arise with frequent and numerous official street name changes.⁶ Although the honorary program has become a common practice of the City of Shreveport, no formal process or evaluation criteria for such practice exist. However, in adopting a resolution, Council members usually provide a justification for the proposed honorary designation such as the honoree's contributions to the community, which is also a requirement of an official street name change.⁷

The City of Shreveport does have an official street naming and renaming policy which is codified in *Chapter 78 – Streets, Sidewalks, and Other Public Places* of the City's Code of Ordinances.⁸ The code outlines the following evaluative criteria for street name changes:

1. No public street or other public property may be named for an individual until the person has been deceased for at least two years.
2. No public street or other public property that memorializes (is named for) a person shall be renamed. Only streets or public property that have generic or geographical names may be renamed.

⁵ The staff found several recent examples such as the dedication of the 3200 block of Lakeshore Drive in honor of Dr. Ricky J. More, Sr. in 2012, the dedication of Hutchinson Street in honor of Bishop Larry L. Brandon in 2010, and most recently, in 2015, the dedication of the 3600 block of Milton Street in honor of Joyce Bowman who served as a council member and who had passed away in 2013.

⁶ Council Proceedings of the City of Shreveport, Louisiana February 11, 2014

⁷ Verified by City of Shreveport Clerk of Council, Arthur G. Thompson on January 6, 2015.

⁸ Shreveport's policy and procedures are found in *Chapter 78, Article IX – Naming and Renaming Public Property*.

3. No public street shall be renamed unless the owners of two-thirds of the linear feet of the abutting property agree to change the name of a street.
4. The name of a street that is located within a designated historical district shall not be changed unless there are compelling reasons for the change.

The City of Shreveport's Code of Ordinances also outlines the following procedural requirements for a street name change⁹:

1. No public property shall be renamed unless an ordinance been introduced before the city council, which shall include, as a minimum:
 - a. If the property to be named or renamed already has a name, the origin and the significance of the existing name and any historical facts about the name.
 - b. The proposed new name.
 - c. The reasons for the proposed change, including biographical information about the person if the property is to be named for an individual. The biographical information shall include any significant contributions that the person has made to the city, state, or nation, whether the person was a generally recognized community leader, the history of the person's public service, if any, and other ways the person has demonstrated outstanding service, brought recognition to, or otherwise enhanced the community.

New York, NY

According to the New York City Administrative Code, no streets which are currently laid out upon the city map are to be formally renamed.¹⁰ However, the City has adopted a policy in which existing streets may be "co-named," which in other words allows them to acquire an additional honorary name. Street co-naming requests must be approved by the New York City Council. The City Council generally approves of honorary street names in batches, two to four times a year.¹¹ In June 2011, for instance, 56 thoroughfares and

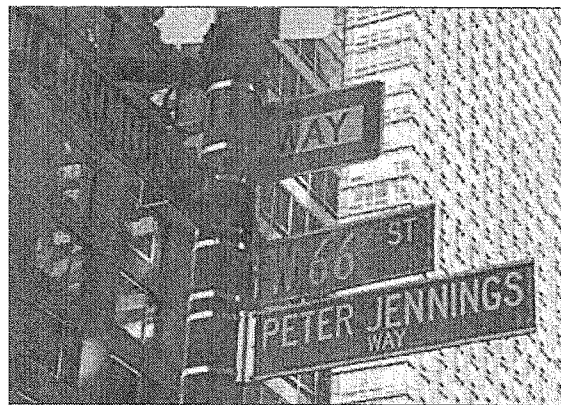


Figure 3. Example of honorary street sign in NYC.

⁹ Per Chapter 78, Article IX, Section 78-452 – Procedure of the Shreveport Code of Ordinances.

¹⁰ New York City Administrative Code § 25-102.1

¹¹ Verified by Brooklyn Community Board 2 website, accessed January 7, 2016.

public places, were given honorary names.¹² Prior to the City Council's consideration, however, a request is reviewed by the Community Board of the borough in which the street is located. The Community Board will vote on a recommendation to send to the City Council. The City Council has adopted its own street co-naming standards, while individual community boards have also adopted additional standards for approval of honorary street names. Some approval standards outlined by the New York City Council and various community boards are as follows:

- If subjects are individuals, they must be: deceased; New York City residents or natives or individuals of particular importance to New York City; of enduring or lasting interest to large segments of the city's population or have undertaken an act or acts of enduring or lasting interest to their community; and whose importance to the city or whose enduring interest is a result of exemplary acts or achievements which reflect positively on the city.
- If subjects are organizations, they must be: of particular importance to New York City; of enduring or lasting interest to large segments of the city's population that have undertaken acts of enduring or lasting interest; and whose importance to the city or whose enduring interest is a result of exemplary acts or achievements which reflect positively on the city.
- Individual prospective honorees must be deceased for at least two years prior to consideration. Exceptions may be made however for individuals who die under infamous circumstances of crime, accident, disease, social circumstance, military service or the like, or if the death itself leads to a greater awareness within society of the cause of death and a concerted effort to address that problem.
- An application to co-name a street for an individual already honored in a similar fashion will be discouraged.

¹² Legislation Text File #: Int 0590-2011, Version: A

Charleston, SC

The City of Charleston does not have a formal honorary street naming process; however, the City recently created an honorary street district to memorialize victims of a tragic mass shooting at a church which occurred in August of 2015.¹³ The Council could not officially rename the street because it was under the jurisdiction of the State of South Carolina.¹⁴ The

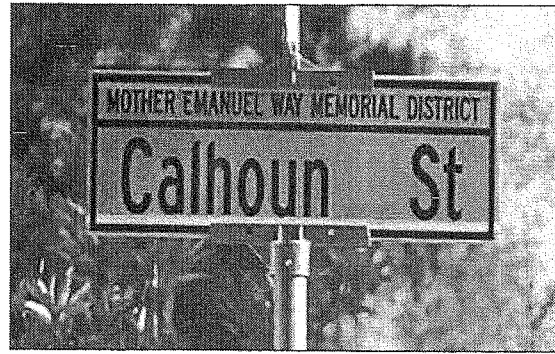


Figure 4. Honorary street sign in Charleston, SC.

honorary district, which was adopted by resolution of the City Council, spans five municipal blocks and is demarcated by a large banner sign suspended at the center of the street. The honorary name is also imprinted at the top of all relevant street signs. See Figure 4.

Though the City of Charleston does not have a formalized honorary streets program, it does have an official street naming policy which is an appendix to the City's zoning ordinance.¹⁵ Charleston's zoning ordinance also outlines street design standards within a section assigned to their subdivision regulations article.¹⁶ Newly proposed street names and suffixes are subject to the final review of the GIS Division of the City, while the changing of an existing street name requires the approval of Charleston's Planning Commission as well as a petition with signatures of 75% of the property owners in favor of the name change. The Charleston City Council is not involved in the process to authorize a street name change.

The City of Charleston's street naming and renaming policy outlines the following four approval criteria. The criteria are technical in nature and do not require the planning commission to evaluate the significance of the named party.

1. **Choosing a proper street name.** Proposed street names will be checked with the most current list of existing street names in Charleston County and Berkeley County. Proposed street names which duplicate or might be confused with existing street names in either County will be rejected.
2. **Choosing a proper street suffix.** Street suffixes should be chosen based on the width and design of the proposed street. Within neighborhoods, street suffixes should be used to reinforce the neighborhood's street hierarchy. The GIS Division is responsible for approving proposed street suffixes. The

¹³ Knich, Diane. "City Council designates portion of Calhoun Street as Mother Emanuel Way Memorial District." The Post and Courier. September 8, 2015.

¹⁴ Verified by Charleston City Attorney's Office, January 29th, 2016.

¹⁵ Chapter 54, Appendix I of the Code of the City of Charleston (Zoning Ordinance).

¹⁶ Chapter 54, Article 8 – Subdivision of the Code of the City of Charleston (Zoning Ordinance).

following is a list of acceptable street suffixes. Suffixes other than those listed may be used with the approval of the GIS Division.

Alley	Avenue	Bend	Bluff
Boulevard	Circle	Court	Crescent
Crossing	Drive	Expressway	Highway
Landing	Lane	Loop	Parkway
Pass	Path	Pike	Place
Point	Road	Row	Run
Spur	Street	Terrace	Trace
Trail	View	Walk	Way

3. **Properly applying street names to a proposed street layout.** Street names must be applied to a proposed street layout in accordance with the following procedures. The GIS Division is responsible for approving the application of proposed street names.
 - a. Street names shall not change within a street. Extensions of existing streets should use the same name as the existing street. Streets should not change names except in the following circumstances: (offsets and 90 degree turns).
 - b. Thumbnail cul-de-sacs with a depth of more than 50 feet and four or more lots fronting on the cul-de-sac may require a separate street name if the GIS Division determines that the configuration of the lots and street would prevent the thumbnail cul-de-sac lots from being visible from the through street.
 - c. Existing patterns of applying street names within the area surrounding the proposed subdivision should be considered.
 - d. The application of street names should be as simple and sensible as possible. Unnecessary changes in street names create more confusion.
4. **Changing an existing street name.** The changing of an existing street name requires approval by the Planning Commission.

Los Angeles, CA

Like other cities mentioned above, the City Council of Los Angeles has recently approved of ceremonial names for streets and squares without a formal honorary street naming process in place. In 2015, the Los Angeles City Council moved to place a ceremonial name at the intersection of West 24th Street and South Vermont Avenue to honor the Korean Culture Center at its centennial anniversary.¹⁷ In 2014, the Council moved to install honorary street signs for all police officers who had been killed in the line of duty in the approximate location of each incident.¹⁸ In

¹⁷ "LA names street corner Korean Cultural Center Square." The Korea Times Los Angeles. October 26, 2015.

¹⁸ Los Angeles Council File No. 14-0560

2013, the Council moved to place an honorary title of “Sylvester Washington Court” to a portion of Wilton Place.¹⁹

The official street naming policy and process for the City of Los Angeles is outlined within the Bureau of Engineering’s Land Development Manual.²⁰ To change the name of existing street, a public hearing and approval by ordinance of the City Council is required. Generally, the City Council must determine that it is in the public interest, necessity, or convenience to change the name of a public street. The Council may initiate a motion to change a name of a street, or owners and occupants of property abutting the street may submit a petition to the City, which must be signed by a majority of property owners and occupants on the street. The City of Los Angeles has adopted evaluative criteria which are similar to those adopted in 1967 by the County of Los Angeles.

The approval criteria listed in the Los Angeles Land Development Manual are as follows:

- Historic names or names referring to applicable geographic features should be used whenever possible.
- Names of existing streets should be used on new streets which are continuous alignment with, or are continuations of existing streets.
- Duplicated names within the County should not be used for new street names.
- Streets shall not be named for any commercial organization or in a manner to honor any living person.
- The use of suffixes such as Drive, Place, Way, Boulevard, Street or Avenue should not be considered as a part of the basic name. (In the City of Los Angeles they are considered as a part of the record name and are shown on Navigate LA, the District Map and in the Official List of City Streets as such).
- The use of prefixes such as North, South, East or West should not be used, if possible, as part of the official name of the street. The Post Office uses these prefixes for mail delivery.

¹⁹ Los Angeles Council File No. 13-0238

²⁰ Land Development Manual accessed here: <http://eng.lacity.org/techdocs/landdev/index.htm>

- East and West thoroughfares shall be called “Streets”, North and South thoroughfares shall be called “Avenues”. Northwest/Southeast thoroughfares are “Streets”, Northeast/Southwest thoroughfares are “Avenues”.
- Streets adjacent to a freeway or other permanent division other than a divided highway, such as a railway, should have two different names on the two sides of such permanent division.
- The maximum length of a street name should be 16 letters including the spaces between words.
- The use of compound names shall be discouraged.
- Alleys, walks and other substandard public ways shall not be named.
- Names of streets shall be easy to pronounce or spell and should be distinctive to prevent confusion. Avoid using a name that sounds like another name. No obscenities, in any language shall be used.
- Private street names shall conform with those of public streets and shall follow the same guidelines.

Boston, MA

The City of Boston has implemented very few honorary street designations in recent years. In 2007, the Boston City Council approved to give Centre Street in the Jamaica Plain neighborhood the ceremonial name of “Avenida de las Americas” in honor of the community’s Latin heritage. The honorary name is displayed on a separate sign above the official street name sign. See Figure 5. The Council has since moved away from a ceremonial street naming program because of noted confusion experienced by the local Fire Department.²¹ They have, however, developed a square dedication program to honor local veterans and significant local leaders, which the City has dubbed as the “Hero Square” program. With this



Figure 5. Honorary street sign in Boston’s Jamaica Plain neighborhood.

²¹ Conversation with Chief of Staff for Councilor At-Large, Michelle Wu, February 10, 2016.

program, an honorary designation is applied to one intersection and demarcated with a single honorific sign and plaque. The designations are approved by resolution of the City Council. To date, the city has installed over 1,000 of these honorary designations. A database of the recognized heroes is published on the City's Veterans Services website.²²

Official street name changes in the City of Boston are administered solely by the Public Improvement Commission (PIC), and do not require approval by the Boston City Council. The Boston PIC adopted an official street name change policy in 2011. The policy outlines the following evaluation criteria or requirements of a street name change:

- The street name(s) shall not be eligible for change until and unless such name has been in use for twenty-five (25) years or more.
- The changed street name shall not be identical to those of the existing public and/or private ways, whether under the title of street, avenue, way, court, place, alley, or other descriptive title.
- The street name change shall not be used to honor any living person.
- The PIC shall also take the following additional factors into consideration, including but not limited to (1) public safety, (2) rights of way management and public travel, (3) historic preservation, (4) potential impact on abutting community, and (5) other legitimate concerns.

The policy also outlines a petition requirement where at least 50 percent of all adjacent property owners must sign in approval of the proposed name change. This petition must be submitted with the initial application. The PIC is then required to hold a public hearing. The PIC is not to approve of a street name change unless 100 percent of "qualified abutters" consent to the change.²³

Chicago, IL

The naming of new public streets in the City of Chicago is done at the discretion of the Commissioner of Transportation through the Office and Maps and Plats. It is the policy of the Office of Maps and Plats that whenever possible, street names will be assigned in keeping with existing streets in the same plane, elsewhere within the City.²⁴ The City rarely allows for the changing of existing street names because of long length of most streets and the sheer impact on the number of abutting properties which would be

²² <http://www.cityofboston.gov/veterans/herosquares/>

²³ City of Boston Public Improvement Commission's Policy for Name Changes and Square Dedication for Public Way and Private Way, August 11, 2011.

²⁴ City of Chicago, Street and Site Plan Design Standards.

impacted.²⁵ However, for a long time the City has had in place an honorary street name program, and Chicago is known for its prolific amount of honorary streets. The Honorary Street Name Ordinance was passed in December of 1984, and since then several other communities in the greater Chicago area have adopted similar ordinances and standards for the implementation of honorary street names. Honorary street designations are initiated by an alderman at his or her discretion and then passed onto the entire Council for final approval by ordinance. If approved, the Department of Transportation is charged with the installation of the signage. According to the Department of Transportation, an honorary street sign is posted for a period of three years.²⁶

Milwaukee, WI

The City of Milwaukee has both an official street name change and honorary street naming policy which are codified within its Code of Ordinances.²⁷ The policy stipulates that official street names “may be changed only in exceptional cases and only when compelling reasons for change are evident.” It also requires that “priority shall be given to names reflecting the city's cultural diversity and the contributions of groups underrepresented in the existing nomenclature” with regard to an official street name change as well as an honorary street name designation.

The policy outlines the creation of a *Citizen Advisory Committee* with the specified task of making a recommendation to the Milwaukee City Council regarding proposals to name or rename streets or to assign honorary street names. The committee consists of seven members who are residents of the city of Milwaukee and who hold no other public office or public employment. Committee members are appointed by the mayor and confirmed by the council to serve staggered 3-year terms. Members of the committee include at least one recognized local historian, one representative of a local business association or chamber of commerce, and one employee of a local nonprofit community organization. The Citizen Advisory Committee must hold a public meeting at which a proposal for a street name change or honorary street designation is discussed. The Citizen Advisory Committee then makes a recommendation which is presented at another public hearing of the City Council.

Milwaukee's Code of Ordinances details a comprehensive application process for both street name change requests and honorary street dedication requests. Part of the approval process for a street name change includes the mailing out of post card surveys to all residents, businesses, and owners of property along the street. The council will not consider a request that has received less than 50 percent of petition

²⁵ Verified in conversation with a staff member of the Office of Maps and Plats, February 16, 2016.

²⁶ Verified in conversation with Deputy Commissioner of the Chicago Department of Transportation, February 16, 2016.

²⁷ Ch. 113 – *Arrangement of City Streets* of the Milwaukee Code of Ordinances

responses in support of the name change request. The policy also outlines several evaluation criteria which must be considered by both the City Council and the Citizen Advisory Committee. The criteria are illustrated as follows:

Milwaukee's City Planning Commission is not a part of the approval process for a proposed honorary street designation or for a proposed street name change. Milwaukee's Code of Ordinances does call for the City Planning Commission to evaluate changes to the City's Official Map; however, these changes do not relate to names of streets, but to the establishment of new exterior lines for new streets, or the widening, narrowing, or closure of existing streets.

Key Findings

Street Name Change Best Practices

With regard to street name change approval processes, the staff found the following best practices among the communities surveyed in this study.

- Most communities do not allow for the renaming of existing streets. If they do, most involve exceptional cases where a compelling reason for renaming exists.
- In most cities, if streets are to be named after an individual, the person should be of importance to the community or have made a significant contribution to society.
- Several communities require a certain percentage of support from abutting properties for a street name change proposal, even though the means of obtaining such information may be difficult.
- Most street name change policies are effectuated through the adoption of an ordinance by the jurisdiction's city council. The exceptions to this finding include the City of Charleston, where the Planning Commission is the sole entity to approve of street name changes, and the City of Boston, where its Public Improvement Commission is the sole entity which approves of street name changes.
- Street name change policies are housed in varying sets of laws or rule documents. Some are housed within a zoning ordinance, while others are located with a "Streets" chapter of the city code.
- Several street name change policies stipulate that approval by the jurisdiction's GIS department is required.
- Most cities do not require approval or consideration by a planning department.

Honorary Street Dedication Best Practices

With regard to honorary street naming programs, the staff found the following best practices among the communities surveyed in this study.

- An honorary street designation typically encompasses a one block portion of a street, or a single intersection if the dedication is an honorary square.
- Most honorary street designations are enacted by resolution of a city council.
- Most cities require honorees to be individuals or groups who are of particular importance and who have made a substantial positive contribution to the

community. Honorees have included veterans, civic leaders and public servants, victims of crime, among others.

- Some, though not all, cities require honorees to be deceased.
- Most cities prohibit corporations as candidates for an honorary designation.

IV. Recommendations

The creation of an honorary street name dedication program provides city officials the opportunity to commemorate citizens who have made significant contributions to the community in a tangible way. Further, the installation of honorary street signage provides a lasting memorial to those important community members, but without causing any of the potential burdens associated with a modification to the Official Map as would a permanent street name change. However, the adoption of an honorary street designation program would not preclude the City from making an official street name change if such were necessary.

The frequent renaming of streets as a method of honoring proves problematic as it has a substantial financial impact on the City and adjacent residents and businesses. Though the design, fabrication, installation, and maintenance costs associated with an honorary street sign would be similar to those associated with an official street name sign, the implementation of an honorary street name would not result in the multiple indirect costs associated with an official street name change, such as the costs of changing maps and records incurred by the City, the costs of ancillary signage, and the costs of changing stationary, checks, subscriptions, billing, etc. incurred by affected businesses and residents. The renaming of a streets which would result in street fragmentation or which disrupt the City's existing historic theme-name systems could also impair the provision of essential services by creating difficulties for emergency vehicles, utility distribution, trash collection, and mail delivery. For these reasons, the staff believes that an honorary street dedication program could provide the Council with an alternative which could avoid the above mentioned complications.

The staff found that several jurisdictions across the country have instituted some form of an honorary street dedication program. Further, all jurisdictions reviewed in this analysis, with or without a formal honorary street naming process, have recently approved of ceremonial street names for existing streets as a means of paying tribute to community members or groups. Honorary street naming programs have proven successful in that they have allowed each city to honor numerous deserving individuals and groups, more than would be possible without such a policy.

The staff recognizes, also, that cities are living and changing entities which, from time to time, need adjustment in order to mirror the moral sense of the community as well as its culture, its social institutions, and its historic events. For this reason specifically, the staff believes that an existing street name may warrant an official change as opposed to an honorary designation. The staff believes the existing street name policy could, with minor amendments, sufficiently govern the City's street renaming process when necessitated.

Below, the staff makes a recommendation in two parts: one part related to the development of an honorary street dedication program, and the second part related to modifications to the City Planning Commission's Administrative Rules, Policies, and Procedures in order to complement the proposed honorary street naming policy as well as further delineate the separate roles of the City Planning Commission and the City Council in the honorary and official street naming process.

A. Honorary Street Dedication Program Recommendation

The staff believes the Council should adopt a policy which sets out criteria as well as an approval process for the implementation of an honorary street dedication. Because of the inherent relationship between a City Councilmember and his or her constituents, the staff believes evaluations of honorary street dedications would most appropriately be made by the Council as opposed to the City Planning Commission. Further, because per the Home Rule Charter the City Planning Commission is to oversee the Official Map, and because the creation of an honorary street name would not affect the Official Map, the recommendation of the City Planning Commission is not necessitated in this process. Therefore, the staff recommends that the proposed honorary street dedication policy not be placed within the City Planning Commission's Administrative Rules, Policies, and Procedures. The staff believes the Council should adopt their own honorary or ceremonial street dedication policy. Based on best practices of other communities and based on the precedent already set through Council's previous honorary street name approvals, the staff offers the following guidance related to the evaluation and approval process for proposed honorary street dedications.

Policy Considerations

Approval Criteria

The Council should adopt its own evaluation criteria for honorary street designations, as deemed appropriate by its members. These criteria should primarily relate to the honoree's significance within the community. Of the cities researched in this study, those with a written honorary street naming policy consistently require that the honoree, whether a group or individual, to have made a demonstrable and significant positive impact on the community. Other policies stipulate that a candidate for an honorary street designation shall have been a continuing contributor to the cultural, economic, educational, intellectual, political or scientific vitality of the community, or have made an extraordinary contribution in the service of humanity. The staff found that it is also common for cities to reject proposals which would honor commercial or corporate entities as it could confer competitive advantage, benefit, or preferential treatment to the named party. Similarly, the Council may want to prohibit honorary designations for corporate entities, as well as exclude candidates who may be already honored within the city in a similar fashion. The staff also found that it is common practice that an individual being honored must be deceased, though not all cities researched require this condition. For example, The City of Milwaukee specifies that honorees are required to be at least 70 years in age.

Length of Street Designation

The Council may consider a policy to limit the length of an honorary street designation. The staff found that in other communities where honorary street names have been implemented, most were limited to a portion of a street, commonly ranging from one block to five blocks long. The Council may also opt to implement an honorary square designation program, as opposed to an honorary street designation program, as is practiced in the City of Boston.

Signage Design

The cities researched in this analysis appear to employ varying methods of signage design in order to differentiate honorary street signs from official street signs. Some cities inscribe the honorary name on the same sign where the official street name is printed, though with a smaller font size or color in order to differentiate between the two. Other cities, such as Chicago and New York, inscribe an honorary name on a sign of its own, and place it above or below the official street name sign. The City of Shreveport places a large honorary plaque at the midpoint of a block, as opposed to at an intersection near the regular street sign. In most cities the honorary street name sign is a different color, making it easily distinguishable. The Council should establish standards related to the design and fabrication of the honorary street signage. Since there is precedent within the city, the Council may want to adopt the same style for future honorary signs as the style of those signs already installed (i.e., Odeon Avenue).

Use of Prefixes and Suffixes

In order to promote consistency the Council may consider a policy related to the use of prefixes and suffixes for honorary street names. In Chicago, for example, all honorary street name designations use “honorary” as a prefix, such as Honorary Ann “Eppie” Landers Way. Several other cities appear to use “way” as a suffix for all honorary street designations. Using consistent prefixes and suffixes also helps travelers easily distinguish honorary signs from regular street name signs.

Number of Dedications Approved

The staff found that a possible disadvantage of an honorary street name program is that it could potentially cause confusion to the public by virtue of multiple additional and different street names.²⁸ For this reason, the staff would advise that the Council consider placing limits on the number of honorary street designations approved per year. Some cities limit the number of honorary street sign installations per year to one per district. To cut down on time spent processing honorary street dedications, the New York City Council only considers requests in batches limited to two to four times per year. If the number of times or dates when honorary street dedications could be considered were to be limited, then the Council would have to set deadlines for applications.

Period of Designation

On account of the fact that signage will degrade over time and may require replacement, the Council may consider a policy to limit the period of time in which an honorary street designation is effective (e.g., 5, 10, 20 years, etc.). The City of Chicago’s policy stipulates

²⁸ This problem was noted by several communities researched in this study.

that honorary signs are valid for a period of three years. If such a policy were to be put into place, the Council may also want to consider establishing a procedure related to the renewal of an honorary street dedication.

Fees

Most communities with established honorary street dedication programs require applicants to supply the cost of the design, fabrication, installation, and maintenance of honorary signage. Some cities also assess an application fee in order to cover associated administrative costs of a review. For example, the City of Milwaukee Code of Ordinances requires an application fee of 100 dollars for both a street renaming and honorary street designation request. For the recent honorary street name approvals in New Orleans, the Department of Public Works valued the cost of the signage, labor, and associated parts and equipment to approximately 60 dollars per sign. The staff recommends the Council consult with the Department of Public Works in order to establish a standard honorary street sign design and then determine its value.

Suggested Application Process for Honorary Street Dedication

Below are suggested procedural guidelines for requests for honorary street designations. The suggested language includes application submittal requirements and a process for review. The staff suggests that the primary review and evaluation be administered by the City Council. However, the staff suggests that the Department of Public Works should review technical aspects of the request such as compliance with traffic design standards. The staff also suggests that proposals located within the Vieux Carré or within a local historic district be reviewed by these respective commissions, similar to the process for a street name change request. Because the installation of an honorary street designation would not change the Official Map, the staff does not recommend review by the City Planning Commission.

The suggested honorary street dedication application process is illustrated as follows.

Procedure

1. Requests for the consideration of an honorary street designation on public property shall be sent in writing to the Councilmember or Councilmembers in whose district the subject street is located. All requests must include the following information:
 - a. Name of person or group requesting the street name change;
 - b. Contact person and address;
 - c. Location of proposed honorary street dedication, including total length of the street to be affected and bounding streets or other boundaries;
 - d. Proposed honorary designation;

- e. A biography of the person to be honored, or a description of the organization, object, or event to be honored, whichever is applicable;
 - f. An explanation of the reason for the honorary designation; and
 - g. A statement of cost from the Department of Public Works for the installation and maintenance of the proposed honorary street signs.
2. Upon receipt of a complete request, the City Council staff will conduct a background analysis of the proposed honoree, evaluate the street dedication request in terms of the approval criteria contained in this section, and produce a statement of findings. The statement and all other relevant information shall be forwarded to all Council members, who have up to 30 days in which to comment. If there is objection to the proposal, the Council member may flag a candidate as in need of further consideration.
 3. Upon receipt of a complete request, the Council staff shall also forward the proposal to the Department of Public Works for review, who will have 30 days to respond. The Department of Public Works shall consider impacts such as the impact of multiple signs on the street and shall ensure that the proposed signage would not be confusing to the public.
 4. If the proposed street dedication is located within the Vieux Carré or one of the city's designated local historic districts, the Council staff shall forward the proposal to the Vieux Carré Commission, the Historic District Landmarks Commission, or the Central Business District Historic District Landmarks Commission, and shall request their review and comment. The respective agencies will have up to 30 days to comment. If there is objection to the proposal, the Council member may flag a candidate as in need of further consideration.
 5. If a resolution to effectuate the proposed honorary street dedication is adopted by the City, then the party requesting the dedication shall deposit funds for the cost of the signage with the City of New Orleans in such manner as is deemed appropriate by the Department of Finance. Upon receipt of funds the signage will be supplied and installed by the Department of Public Works in accordance with the City's existing design standards.

B. Proposed Amendments to Current Street Renaming Policy

The staff believes the City Planning Commission's current street renaming policy, which was recently amended in 2014, will sufficiently govern the street renaming process for future requests to change the Official Map. The staff, however, recommends that the policy be amended in order to be consistent with the presumptions described in the previous section regarding the suggested honorary street dedication program. As stated previously, the staff believes it should be the sole responsibility of the City Council to determine the validity of the biography or character of the proposed named party, and to accept or decline

the request based on these factors. Therefore, the staff recommends that the evaluative criteria listed in the current street renaming policy should be amended to exclude all language which would give the City Planning Commission authority to evaluate the significance of a named party, and limit the evaluation to only technical matters which the staff believes is the more appropriate responsibility of the CPC per the Home Rule Charter.

The staff recommends the following changes to the policy which would distinguish both the roles of City Council and the City Planning Commission in evaluating street name change proposals, and which would limit the CPC's evaluation to only those pertinent technical matters. Additions to the CPC's Administrative Rules, Policies, and Procedures are shown below as **underlined, bold** text and deletions are shown in ~~striketrough~~ text:

4. Street Naming Policy

[...]

B. Procedure

1. All requests must be channeled through the respective Councilmember. A written request for consideration of a street name change must be sent to the Councilmember or Councilmembers in whose district the subject street is located. **The written request shall include an explanation of the reason for the street name change, and if applicable, the biography of the individual, or a description of the organization, object, or event, to be named. The City Council shall evaluate whether or not the named individual or organization has made a demonstrable and significant positive impact on the city, state, or country.** The Councilmember(s) shall in turn request that the City Planning Commission and the Department of Public Works review the proposal. Prior to submitting such a request, the Councilmember or his or her designee must first meet with the staff of the City Planning Commission and the staff of the City's designated steward of electronic geographic information to become familiar with the procedure and standards for street name change requests and to review the proposed name change in the context of the City's system of street names and other geographical features in the vicinity of the subject street. All requests for consideration by the City Planning Commission must include the following information:
 - a. Name of person or group requesting the street name change;
 - b. Contact person and address;
 - c. Location of proposed name change, including total length of the street to be affected and bounding streets or other

boundaries;

- d. Total number of lots affected by proposal;
- e. Total number of residential, commercial, and industrial uses affected by proposal;
- f. Proposed street name; and
- g. Reason for the change.

[...]

C. Evaluation Criteria

- 1. Streets may be named after ~~historically significant~~ **historic** actions or events, as part of a theme, or after a person who ~~has made a demonstrable and significant positive impact on the city, state or country. In order to reduce any perception of misconduct, favoritism, or bias, no street shall be renamed to honor a person who has been deceased for less than five (5) years.~~

[...]

V. Reasons for Recommendation

- 1. Instituting an Honorary Street Dedication Policy would allow the City to honor deserving individuals and groups without resulting in the possible fragmenting of the street network or eliciting the multiple indirect costs associated with an official street name change.
- 2. Eliminating the requirement that the City Planning Commission evaluate the significance of individuals or groups of the proposed street name change would allow the CPC to evaluate each request solely based on technical criteria.

VI. Next Steps

This study, conducted at the request of City Council Motion M-15-444, is to be first considered by the City Planning Commission. The City Planning Commission will either accept or modify this recommendation, or create an alternative recommendation, which is then to be forwarded to the City Council. If the Council chooses to adopt an honorary street naming policy as recommended by this report, its members could elect to either amend the City Council's Rules and Regulations to include a new honorary street dedication policy, or amend the Code of Ordinances in order to codify the new honorary street dedication policy.²⁹ If the City Planning Commission chooses to amend their

²⁹ In accordance with Chapter 1, Section 1-10 – Amendments to Code.

Administrative Rules, Policies, and Procedures as recommended in this report, the Commission would have to act in accordance with *Chapter 2, Article XI, Section 2-1000 – Departmental Regulations* of the Code of Ordinances. Amendments to the City Planning Commission's Administrative Rules, Policies, and Procedures are ultimately considered by the City Council and approved by means of a resolution.

MOTION

NO. M-15-444

CITY HALL: September 3, 2015

BY: COUNCILMEMBER  CANTRELL

SECONDED BY:

BE IT MOVED BY THE CITY COUNCIL OF THE CITY OF NEW ORLEANS, That the City Planning Commission is directed to conduct a study and public hearing to amend its Administrative Rules, Policies & Procedures relative to the creation of an honorary street name change process.

THE FOREGOING MOTION WAS READ IN FULL, THE ROLL WAS CALLED ON THE ADOPTION OF THEREOF AND RESULTED AS FOLLOWS:

YEAS:

NAYS:

ABSENT:

AND THE MOTION WAS ADOPTED.